

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Date of Appointment: 06/15/2020

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 06/15/2020 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 08/06/2020 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 06/25/2020 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 12/17/2020 by Apol, David in Integrity.gov]

Data Revised 10/05/2020

Data Revised 10/02/2020

Data Revised 06/25/2020

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	XPO Logistics, Inc.		Greenwich, Connecticut	Corporation	Director - Board of Directors	12/2015	5/2018
2	LDJ Global Strategies, LLC		Greensboro, North Carolina	Limited Liability Company	President	1/2016	Present
3	The Louis DeJoy and Aldona Z. Wos Family Foundation, Inc.		Greensboro, North Carolina	Private Foundation	President (Uncompensated)	9/2005	Present
4	The Louis DeJoy Family Partnership, LLC	See Endnote	Greensboro, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	6/2011	Present
5	LMD Properties, LLC		Greensboro, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	9/1998	Present
6	DeJoy Investments No. 1		Greensboro, North Carolina	Partnership	Managing Partner (Uncompensated)	8/1999	Present
7	3280 General Williams W. Drive, LLC		Florence, South Carolina	Limited Liability Company	Managing Member (Uncompensated)	4/2017	Present
8	3401 Old Burlington Rd .Associates, LLC		Greensboro, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	2/2017	Present
9	4035 Piedmont Parkway Associates, LLC		Greensboro, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	1/2013	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
10	Elon University	Elon, North Carolina	University/College	Member, Board of Trustees - non-compensated	1/2007	Present
11	Fund For American Studies	Washington, District of Columbia	Non-Profit	Member, Board of Directors - non-compensated	2/2004	Present
12	Piedmont Triad Charitable Foundation - Wyndham Championship	Greensboro, North Carolina	Non-Profit	Member, Board of Directors - non-compensated	8/2011	Present
13	Piedmont Triad Partnership	Greensboro, North Carolina	Private Leadership Organization	Member, Board of Directors - non-compensated	4/2017	Present
14	LDJ Global Holdings, LLC	Greensboro, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	3/2016	Present
15	Military Cutoff Associates, LLC	Wilmington, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	9/2018	Present
16	LDJ Davie Industrial Ctr 1, LLC	Mocksville, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	8/2018	Present
17	2570 Florence Harlee Boulevard, LLC	Florence, South Carolina	Limited Liability Company	Managing Member (Uncompensated)	1/2019	Present

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
18	2494 Florence Harllee Boulevard, LLC		Florence, South Carolina	Limited Liability Company	Managing Member (Uncompensated)	6/2019	Present
19	4000 Piedmont Parkway, LLC		Greensboro, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	12/2017	Present
20	500 Taylor Street, LLC		Columbia, South Carolina	Limited Liability Company	Managing Member (Uncompensated)	10/2019	Present
21	Aircraft Services, LLC		Greensboro, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	9/2014	Present
22	LDJ Development 1, LLC		Greensboro, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	1/2020	Present
23	LDJ Portfolio II, LLC		Greensboro, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	10/2019	Present
24	4000 Ossi Court Associates, LLC		Greensboro, North Carolina	Limited Liability Company	Managing Member (Uncompensated)	11/2019	Present
25	Sandy Point Investments, LLC	See Endnote	Greensboro, North Carolina	Limited Liability Company	Manager (uncompensated)	3/2015	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	LDJ Global Strategies, LLC 401(K) Plan	No			
1.1	American Funds 2020 Target Date Fund R5	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
2	LDJ Global Strategies, LLC (Real Estate Investing & Management, Greensboro, NC)	No			
2.1	Management Fees	N/A		Management Fees	\$2,377,358
2.2	LMD Properties, LLC	No			
2.2.1	Commercial Real Estate, Greensboro/High Point, NC	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.2.2	U.S. bank account (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.3	4035 Piedmont Parkway Associates, LLC	No			
2.3.1	Commercial Real Estate, High Point, NC	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.3.2	U.S. bank account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.4	4000 Piedmont Parkway Associates, LLC	No			
2.4.1	Commercial Real Estate, High Point, NC	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
2.4.2	U.S. bank account (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.5	3280 General Williams W. Drive, LLC	No			
2.5.1	Commercial Real Estate, Florence, NC	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.5.2	U.S. bank account (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.6	3401 Old Burlington Rd Associates, LLC	No			
2.6.1	Commercial Real Estate, Greensboro, NC	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
2.6.2	U.S. bank account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7	Military Cutoff Associates, LLC	No			
2.7.1	Commercial Real Estate, Wilmington, NC	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
2.7.2	U.S. bank account (cash) #1	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.7.3	U.S. bank account (cash) #2	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.8	LDJ Davie Industrial Ctr 1, LLC	No			
2.8.1	Commercial Real Estate, Mocksville, NC	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
2.9	2570 Florence Harlee Boulevard, LLC	No			
2.9.1	Commercial Real Estate, Florence, SC	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
2.9.2	U.S. bank account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Aircraft Services, LLC	No			
2.10.1	Aircraft Charter Services, Greensboro, NC	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
2.10.2	U.S. bank account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	U.S. bank account (cash) #1	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
2.12	U.S. bank account (cash) #2	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.13	U.S. Brokerage Account #5 - Institution #6	No			
2.13.1	FIDELITY INSTITUTIONAL MONEY MARKET TREASURY PORTFOLIO - CL II (FCEXX)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
2.14	LDJ Portfolio II, LLC	No			
2.14.1	U.S. bank account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14.2	2494 Florence Harllee Boulevard LLC	No			
2.14.2.1	Commercial Real Estate, Florence, SC	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
2.14.2.2	U.S. bank account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14.3	500 Taylor Street, LLC	No			
2.14.3.1	Commercial Real Estate, Columbia, SC	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.14.3.2	U.S. bank account (cash) #1	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14.3.3	U.S. bank account (cash) #2	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	LDJ Development 1, LLC	No			
3.1	U.S. bank account (cash)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	LDJ Global Strategies, LLC	Greensboro, North Carolina	I do not currently contribute to the LDJ Global Strategies 401K plan. The assets in this account represent what was rolled from a previous employer.	6/2016

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	LDJ Global Strategies, LLC	Greensboro, North Carolina	President

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Profit Sharing Keogh, U.S. Brokerage Account #1 - Institution #3	No			
1.1	Profit Sharing U.S. Keogh Account - Cash	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2	Berkshire Hathaway Inc Delaware Fixed Rate Senior Note	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3	Microsoft Corp Fixed Rate Note	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4	Invesco Conservative Income Fund Institutional Class (ICIFX)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.5	SPDR S&P 500 ETF (SPY)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Retirement Insured Money Market, U.S. Bank Acct #4 (Cash) - Institution #1	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	TransAmerica Financial Life Insurance Company - Max Savers - Annuity - 3% Fixed	N/A	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Brokerage Account #2 Institution #3	No			
1.1	U.S. Brokerage Account #2 (cash) Institution #3	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2	Pfizer Inc Fixed Rate Note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.3	Colgate-Palmolive Co Medium Term Notes, Fixed Rate Notes Serg G	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.4	Berkshire Hathaway Inc Delaware Fixed Rate Senior Note	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
1.5	Lord Abbett Growth Leaders Fund (LGLCX)	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
1.6	Lord Abbett Short Duration Income Fund Class A (LALDX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
1.7	Putnam Growth Opportunities Fund Class C (POGCX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2	U.S. Bank Account #1 (Cash) - Institution #2	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	U.S. Bank Account #1 (cash) - Institution #3	N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	U.S. Bank Account #2 (cash) - Institution #2	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
5	U.S. Bank Account #3 (cash) - Institution #2	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
6	U.S. Bank Account #4 (Cash) - Institution #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	U.S. Bank Account #5 (Cash) - Institution #2	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
8	U.S. Brokerage Account #1 Institution #4	No			
8.1	U.S. Brokerage Account #1 (cash) Institution #4	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
8.2	ABBOTT LABORATORIES (ABT)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
8.3	ABBVIE INC COM (ABBV)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
8.4	AIR PROD & CHEM INC (APD)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$15,001 - \$50,000
8.5	AMGEN INC (AMGN)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
8.6	AUTOMATIC DATA PROCESSING INC (ADP)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
8.7	BLACKROCK INC (BLK)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
8.8	BROADCOM INC (AVGO)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
8.9	CHEVRON CORP (CVX)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
8.10	CISCO SYS INC (CSCO)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.11	COCA COLA CO (KO)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
8.12	COMCAST CORP (NEW) CLASS A (CMCSA)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
8.13	CROWN CASTLE INTL CORP (CCI)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
8.14	EATON CORP PLC SHS (ETN)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.15	ELI LILLY & CO (LLY)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
8.16	EVERSOURCE ENERGY COM (ES)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.17	HOME DEPOT INC (HD)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
8.18	HONEYWELL INTERNATIONAL INC (HON)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
8.19	ILL TOOL WORKS INC (ITW)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
8.20	JOHNSON & JOHNSON (JNJ)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
8.21	JPMORGAN CHASE & CO (JPM)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$15,001 - \$50,000
8.22	LOCKHEED MARTIN CORP (LMT)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
8.23	LYONDELLBASELL NV CL-A (LYB)	N/A	\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000
8.24	MARSH & MCLENNAN COS INC (MMC)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.25	MAXIM INTEGRATED PRODUCTS INC (MXIM)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
8.26	MC DONALDS CORP (MCD)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
8.27	MEDTRONIC PLC SHS (MDT)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
8.28	MERCK & CO INC NEW COM (MRK)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
8.29	MICROSOFT CORP (MSFT)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$100,001 - \$1,000,000
8.30	MONDELEZ INTL INC COM (MDLZ)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
8.31	NEXTERA ENERGY INC (NEE)	N/A	\$500,001 - \$1,000,000	Dividends Capital Gains	\$15,001 - \$50,000
8.32	PAYCHEX INC (PAYX)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$15,001 - \$50,000
8.33	PEPSICO INC NC (PEP)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
8.34	PHILLIPS 66 COM (PSX)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
8.35	PNC FINL SVCS GP (PNC)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
8.36	PROCTER & GAMBLE (PG)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
8.37	PROLOGIS INC COM (PLD)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
8.38	REALTY INCOME CORP (O)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.39	SEMPRA ENERGY (SRE)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
8.40	STARBUCKS CORP WASHINGTON (SBUX)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
8.41	TEXAS INSTRUMENTS (TXN)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$15,001 - \$50,000
8.42	TRUIST FINL CORP (TFC)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
8.43	U S BANCORP COM NEW (USB)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
8.44	VALERO ENERGY CP DELA NEW (VLO)	N/A	\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000
8.45	VERIZON COMMUNICATIONS (VZ)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
8.46	WEC ENERGY GROUP INC COM (WEC)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$5,001 - \$15,000
8.47	3M COMPANY (MMM)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
8.48	ALTRIA GROUP INC (MO)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
8.49	DARDEN RESTAURANTS (DRI)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
8.50	EXXON MOBIL CORP (XOM)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
8.51	HASBRO INC (HAS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
8.52	HP INC COM (HPQ)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.53	MC CORMICK AND CO NON VOTING (MKC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
8.54	ONEOK INC (OKE)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
8.55	PFIZER INC (PFE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
8.56	SIMON PPTY GROUP INC (SPG)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
8.57	SYSCO CORP (SYY)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
8.58	WELLS FARGO & CO NEW (WFC)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
8.59	WILLIAMS CO INC (WMB)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
9	U.S. Brokerage Account #2 Institution #4	No			
9.1	U.S. Brokerage Account #2 (cash) Institution #4	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
9.2	ABBVIE INC COM (ABBV)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.3	AMERICAN ELECTRIC POWER CO (AEP)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$50,001 - \$100,000
9.4	AMGEN INC (AMGN)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
9.5	AT&T INC (T)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.6	BCE INC (BCE)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.7	BP PLC ADS (BP)	N/A	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.8	BRITISH AMER TOB SPON ADR (BTI)	N/A	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
9.9	CDN IMPL BK COMMERCE (CM)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.10	CHEVRON CORP (CVX)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.11	CISCO SYS INC (CSCO)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
9.12	COCA COLA CO (KO)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$15,001 - \$50,000
9.13	DOMINION ENERGY INC (D)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.14	DUKE ENERGY CORPORATION (DUK)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$15,001 - \$50,000
9.15	ENBRIDGE INC (ENB)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
9.16	EXXON MOBIL CORP (XOM)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.17	GILEAD SCIENCE (GILD)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$50,001 - \$100,000
9.18	GLAXOSMITHKLINE PLC ADR (GSK)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
9.19	HUNTINGTON BANCSHARES (HBAN)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
9.20	INTL BUSINESS MACHINES CORP (IBM)	N/A	\$100,001 - \$250,000		None (or less than \$201)
9.21	MERCK & CO INC NEW COM (MRK)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.22	NATIONAL GRID PLC SPON ADR (NGG)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
9.23	PEPSICO INC NC (PEP)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
9.24	PFIZER INC (PFE)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
9.25	PHILIP MORRIS INTL INC (PM)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.26	PNC FINL SVCS GP (PNC)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
9.27	PPL CORPORATION (PPL)	N/A	\$100,001 - \$250,000	Dividends	\$15,001 - \$50,000
9.28	REGIONS FINANCIAL CORP NEW (RF)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
9.29	SOUTHERN CO (SO)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
9.30	TC ENERGY CORP (TRP)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
9.31	UNILEVER PLC (NEW) ADS (UL)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
9.32	UNITED PARCEL SER INC CL-B (UPS)	N/A	\$250,001 - \$500,000	Dividends Capital Gains	\$5,001 - \$15,000
9.33	VERIZON COMMUNICATIONS (VZ)	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
9.34	VODAFONE GROUP PLC (VOD)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
9.35	ALTRIA GROUP INC (MO)	N/A	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.36	AMCOR PLC (AMCR)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
9.37	CROWN CASTLE INTL CORP (CCI)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
9.38	GENERAL MILLS INC (GIS)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
9.39	INVESCO LTD (IVZ)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
9.40	KIMBERLY CLARK CORP (KMB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
9.41	ISHARES SELECT DIVIDEND ETF (DIV)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
9.42	LYONDELLBASELL NV CL-A (LYB)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
9.43	OCCIDENTAL PETROLEUM CORP DE (OXY)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
9.44	PROCTER & GAMBLE (PG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
9.45	REALTY INCOME CORP (O)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
9.46	ASTRAZENECA PLC ADS (AZN)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
9.47	ROYAL DUTCH SHELL PLC CL B (RDS'B)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
9.48	TOTAL S A SPON ADR (TOT)	N/A	None (or less than \$1,001)	Dividends	\$5,001 - \$15,000
9.49	VENTAS INC (VTR)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.50	WELLTOWER INC (WELL)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
9.51	PUBLIC SERVICE ENTERPRISE GP (PEG)	N/A	\$50,001 - \$100,000		None (or less than \$201)
10	U.S. Brokerage Account #3 Institution #4	No			
10.1	XPO LOGISTICS INC (XPO)	N/A	\$25,000,001 - \$50,000,000	Capital Gains	\$1,000,001 - \$5,000,000
10.2	CLEARFORK CAPITAL FUND LLC	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
10.3	GS EXCHANGE PLACE LP	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
10.4	XPO LOGISTICS INC (XPO), OPEN POSITION FOR WRITTEN CALL OPTION: 75,000 SHARES, STRIKE \$80.00, EXP. 11/2020	N/A			None (or less than \$201)
10.5	XPO LOGISTICS INC (XPO), OPEN POSITION FOR WRITTEN CALL OPTION: 20,000 SHARES, STRIKE \$85.00, EXP. 11/2020	N/A			None (or less than \$201)
10.6	XPO LOGISTICS INC (XPO), OPEN POSITION FOR WRITTEN CALL OPTION: 105,000 SHARES, STRIKE \$90.00, EXP. 11/2020	N/A			None (or less than \$201)
10.7	U.S. Brokerage Account #3 (cash) Institution #4	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
10.8	XPO LOGISTICS INC (XPO), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
10.9	XPO LOGISTICS INC (XPO), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.10	XPO LOGISTICS INC (XPO), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.11	XPO LOGISTICS INC (XPO), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.12	AMERICAN WATER WORKS CO (AWK)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.13	AQUA AMER INC (WTR)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.14	ATMOS ENERGY CP (ATO)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.15	BANK OF HAWAII CORP (BOH)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.16	BAXTER INTL INC (BAX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.17	CARTER'S (CRI)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.18	CHEVRON CORP (CVX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.19	CHURCH & DWIGHT CO INC (CHD)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.20	EXXON MOBIL CORP (XOM)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.21	FLOWERS FOODS INC (FLO)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.22	HARRIS CORPORATION DELAWARE (HRS)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.23	HAWAIIAN ELECTRIC IND (HE)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.24	HIGHWOODS PROPERTIES (HIW)	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.25	JOHNSON & JOHNSON (JNJ)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.26	ELI LILLY & CO (LLY)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.27	MC DONALDS CORP (MCD)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.28	PFIZER INC (PFE)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.29	PHILLIPS 66 COM (PSX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.30	TAPESTRY INC (TPR)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.31	UNITED TECHNOLOGIES CORP (UTX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.32	WALT DISNEY CO HLDG CO (DIS)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
10.33	MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUNDS PRIME (MPFXX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
11	U.S. Brokerage Account #4 Institution #4	No			
11.1	U.S. Brokerage Account #4 (cash) Institution #4	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
11.2	AFFILIATED MANAGERS GROUP INC 5.875% NOTE (MGR)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.3	AGNC INVESTMENT CORP 06.875%-D (AGNCM)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.4	AGNC INVMNT CO 6.125% SER-F (AGNCP)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.5	AGNC INVSTMNT CORP 6.50% SER-E (AGNCO)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.6	AGNC INVT CORP CUM 1/1000 7% C (AGNCN)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11.7	AIR LEASE CORP NON CUM PFD A (AL.A)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
11.8	ALGONQUIN POWER & UTILITIES CORP 6.875%-A NOTE (AQNA)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.9	ALGONQUIN PWR & UTILS CORP A NOTE (AQNB)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
11.10	ALLSTATE CORP 5.1% FIXED TO 01/2023 THEN FLOATS THEREAFTER NOTE (ALL.B)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.11	ALLSTATE CORP 5.100 H (ALL.H)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.12	AMER EQ INV HLDG CO 5.95%-A (AEL.A)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
11.13	AMERICAN HMS 4 RENT PFD D 6.5% (AMH.D)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.14	AMERICAN HOMES 4 RENT 6.25%-H (AMH.H)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.15	ANNALY CAP MGMNT 6.95%-F (NLY.F)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11.16	ANNALY CAP MGMT INC PFD SER I (NLY.I)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11.17	ASPEN INSURANCE HLDG LTD 5.95% (AHL.C)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
11.18	ASPEN INSURANCE HOLDINGS 5.625 (AHL.E)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.19	ASPEN INSURANCE HOLDINGS 5.625 (AHL.D)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.20	AT&T INC 4.750% SER-C (T.C)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.21	AT&T INC 5.625% NOTE (TBC)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.22	ATHENE HOLDING LTD 5.625 SER-B (ATH.B)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.23	ATHENE HOLDING LTD 6.35 SER A (ATH.A)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.24	AXIS CAP HOLDINGS LTD 5.5%-E (AXS.E)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
11.25	BANCO SANTANDER SA GTD SECS 6 (SAN.B)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.26	BANK OF AMERICA CO 5.00 SER LL (BAC.N)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.27	BANK OF AMERICA CORP 6%-GG (BAC.B)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.28	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
11.29	BK OF AMERICA CO 5.875% SER-HH (BAC.K)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.30	CAPITAL ONE FINANCIAL 5%-I PRF (COF.I)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
11.31	CAPITAL ONE FINANCIAL CO 6%-H (COF.H)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.32	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
11.33	CHS INC (CHSCN)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.34	CHS INC (CHSCM)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
11.35	CHS INC PERPETUAL PRF CL B (CHSCO)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
11.36	CHS INC/RED PFD CL B S 4 (CHSCL)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
11.37	CITIGROUP CAPT XIII 7.875% FIXED 10/30/15 FLOATS AFTER NOTE (C.N)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
11.38	CITIGROUP INC 6.875%-K (C.K)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
11.39	CITIGRP INC 7.125%-J (C.J)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
11.40	CITIZENS FIN GROUP 6.35%-D (CFG.D)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
11.41	CMS ENERGY CORP 5.875% NOTE (CMSD)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.42	CMS ENERGY CORP 5.875% NOTE (CMSC)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11.43	DIGITAL REALTY TRST 5.850-K (DLR.K)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11.44	DIGITAL RLTY TR INC RED SER C (DLR.C)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11.45	DIGITAL RLTY TST INC 5.20% SERL (DLR.L)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.46	DUKE ENERGY CORP 5.625% NOTE (DUKB)	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
11.47	DUKE ENERGY CORP 5.75%-A (DUK.A)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.48	ENBRIDGE INC 6.375%-B NOTE (ENBA)	N/A	\$50,001 - \$100,000	Interest Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.49	ENSTAR GROUP LTD 7%-D (ESGRP)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
11.50	EQUITABLE HLDGS DEP RP PFD A (EQH.A)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.51	F N B CORP FLA DEP SHS REPSTG (FNB.E)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
11.52	FARMLAND PARTNERS INC 6%-B (FPI.B)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11.53	FIFTH THIRD BANCORP 6% NCUM PF (FITBP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.54	FIFTH THIRD BANCORP 6.625%-I (FITBI)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
11.55	GLOBAL NET LEASE INC PFD SER A (GNL.A)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.56	GLOBE LIFE INC 6.125 BOND (GL.C)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
11.57	GMAC CAP TRUST I 8.125% TO 2/15/2016 FLOATS AFTER NOTE (ALLY.A)	N/A	\$100,001 - \$250,000	Interest	\$15,001 - \$50,000
11.58	GOLDMAN SACHS GRP INC 5.50%-J (GS.J)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
11.59	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
11.60	HARTFORD FINL SVC GRP 7.875% TO 4/15/22 FLOATS AFTER NOTE (HGH)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
11.61	HUNTINGTON BANCSHARES 6.25%-D (HBANO)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
11.62	IBERIABANK CORP SHS PFD SR B (IBKCP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.63	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.64	JPMORGAN CHASE & CO 6.0% S-EE (JPM.C)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.65	JPMORGAN CHASE & CO 6.1%-AA (JPM.G)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.66	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
11.67	KEYCORP 6.125% SER-E (KEY.I)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
11.68	MORGAN STANLEY 5.85%-K (MS.K)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.69	MORGAN STANLEY 6.375%-I (MS.I)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
11.70	MORGAN STANLEY 7.125%-E (MS.E)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
11.71	MORGAN STANLEY 6.875%-F (MS.F)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
11.72	NATIONAL RURAL UTIL COOP 5.50% NOTE (NRUC)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11.73	NEW YORK CMNTY BC INC SER A (NYCB.A)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
11.74	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% NOTE (NEE.N)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.75	NISOURCE INC 6.5% DEPSHS PFDB (NI.B)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.76	PARTNERRE LTD 6.50% RED PFD G (PRE.G)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.77	PARTNERRE LTD 7.25% RED SER H (PRE.H)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
11.78	PEOPLES UNITED FIN INC SER A (PBCTP)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.79	PNC FINL-P 6.125% FLTS 5/01/22 (PNC.P)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
11.80	PRUDENTIAL FINANCIAL INC 5.625% NOTE (PRS)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11.81	PS BUSINESS PARKS INC 4.875%-Z (PSB.Z)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.82	QWEST CORP 6.875% NOTE (CTV)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.83	REGIONS FINANCIAL CO 6.375%-B (RF.B)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
11.84	REGIONS FINL CORP 6.375%-A (RF.A)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
11.85	REINSURANCE GROUP AMERICA INC NOTE (RZA)	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
11.86	REINSURANCE GRP OF AMERICA NOTE (RZB)	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
11.87	SCE TRUST IV 5.375%-J (SCE.J)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.88	SCE TRUST V 5.45%-K (SCE.K)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
11.89	SOUTH JERSEY INDUSTRIES INC 5.6250% NOTE (SJIJ)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
11.90	SPIRE INC 5.90% SER-A (SR.A)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.91	STATE ST CO 5.90%-D FXD-TO-FLT (STT.D)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
11.92	STATE STREET CORP 5.350%-G (STT.G)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
11.93	STIFEL FINANCIAL CORP 6.25% (SF.A)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.94	TCF FINANCIAL CORPORATION NEW (TCFCP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.95	THE HARTORD FIN SER6.0%SER-G (HIG.G)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.96	THE SOUTHERN CO 4.95% SER-A NOTE (SOJD)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
11.97	U.S. BANCORP 5.5%-K (USB.P)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.98	URSTADT BIDDLE PPTYS INC SER H (UBP.H)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.99	US BANCORP-F 6.5% FLTS 1/15/22 (USB.M)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
11.100	VALLEY NATL BANCORP SER-A (VLYPP)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
11.101	VALLEY NATL BANCRP 5.50% PFD-B (VLYPO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.102	W R BERKLEY CORP 5.10% BOND (WRB.F)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.103	WELLS FARGO & CO 4.75% SER-Z (WFC.Z)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.104	WELLS FARGO & CO 5.5% SER-X (WFC.X)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.105	WELLS FARGO & CO 5.7%-W (WFC.W)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.106	WELLS FARGO & COMPANY 6%-V (WFC.V)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
11.107	WELLS FARGO & COMPANY 6.625%-R (WFC.R)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
11.108	WELLS FARGO 5.85-Q FIXD-TO-FLT (WFC.Q)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.109	WELLS FARGO 7.5 NON-CUM CL A (WFC.L)	N/A	\$100,001 - \$250,000		None (or less than \$201)
11.110	WINTRUST FINANCIAL CORP (WTFCM)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
11.111	WINTRUST FINL COR DEP REP SR E (WTFCP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.112	ZIONS BANCORP NA 6.3000% SRS G (ZIONO)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
11.113	AEGON FUNDING CORP LLC 5.10% NOTES (AEFC)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
11.114	AEGON NV 6.3750% SERIES (AEH)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
11.115	AEGON NV 6.5000 SERIES (AED)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
11.116	ALLSTATE CORP 6.625%-E (ALL.E)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
11.117	BANK OF AMERICA CORP 6.5%-Y (BAC.Y)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
11.118	BANK OF AMERICA CORP 6.625%-W (BAC.W)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
11.119	CAPITAL ONE 6.25%-C (COF.C)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
11.120	CAPITAL ONE FINANCIAL 6%-B (COF.P)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
11.121	CAPITAL ONE FINL CORP 6.70%-D (COF.D)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
11.122	COLONY CAP 7.125% CUM J (CLNY.J)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.123	COLONY CAP INC NEW CUM E PRF (CLNY.E)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
11.124	DIGITAL REALTY TRUST 7.375%-H (DLR.H)	Yes	None (or less than \$1,001)		\$201 - \$1,000
11.125	ING GROEP NV 6.1250% SER (ISG)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
11.126	INVESCO MTG CAP INC 7.75%-B (IVR.B)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
11.127	JPMORGAN CHASE & CO 6.3%-W (JPM.E)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
11.128	MB FINANCIAL INC NEW SER C (MBFPP)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
11.129	SYNOVUS FINL CORP PFD SER D (SNV.D)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
11.130	SYNOVUS FINL CORP SER E 5.875% (SNV.E)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
11.131	TAUBMAN CENTERS INC 6.5% SER-J (TCO.J)	Yes	None (or less than \$1,001)		\$201 - \$1,000
11.132	TORCHMARK CORP NOTE (TMK.C)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
11.133	TWO HARBORS INVSTMNT C 7.25%-C (TWO.C)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
11.134	AMER EQ INV HLDG CO 5.95%-A (AEL.A)	N/A	\$50,001 - \$100,000		None (or less than \$201)
11.135	ATHENE HOLDING LTD 6.375%-C (ATHHL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.136	ATLANTIC UN BANKSHARES 6.875-A (AUBKL)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.137	FIRST HORIZON NATIONAL 6.50%-E (FHNCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.138	PINNACLE FIN PRTRNS 6.75%-B (PNFPP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11.139	TRUIST FINANCIAL CORP 5.25%-O (TFC.O)	N/A	\$15,001 - \$50,000		None (or less than \$201)
12	U.S. Brokerage Account #1 Institution #5	No			
12.1	ABBOTT LABS COM (ABT)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.2	ALTRIA GROUP INC COM (MO)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
12.3	AMDOCS LTD COM GUERNSEY (DOX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.4	AMERICAN WTR WKS CO INC NEW COM (AWK)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
12.5	ANNALY CAP MGMT INC REIT (NLY)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
12.6	AQUA AMERICA INC COM (WTR)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
12.7	AT&T INC COM (T)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
12.8	ATMOS ENERGY CORP COM (ATO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
12.9	BANK HAWAII CORP COM (BOH)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.10	BAXTER INTL INC COM (BAX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
12.11	BECTON DICKINSON & CO COM (BDX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.12	CARTER HLDGS INC COM (CRI)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.13	CHEVRON CORP COM (CVX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
12.14	CHURCH & DWIGHT INC COM (CHD)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.15	CLOROX CO COM (CLX)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
12.16	CVS HEALTH CORP COM (CVS)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.17	DISNEY WALT CO COM DISNEY (DIS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
12.18	DOLLAR GEN CORP NEW COM (DG)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.19	EQUITY LIFESTYLE PPTYS INC REITS (ELS)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
12.20	EXXON MOBIL CORP COM (XOM)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
12.21	FEDERATED GOVT OBLIGS FUND PREMIER CLASS (GOFXX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
12.22	GENPACT LTD COM (G)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
12.23	HARRIS CORP DEL COM (HRS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
12.24	HASBRO INC (HAS)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.25	HAWAIIAN ELEC INDUSTRIES COM (HE)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.26	HIGHWOODS PPTYS INC COM (HIW)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
12.27	INTERNATIONAL FLAVORS&FRAGRANC COM (IFF)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.28	JOHNSON & JOHNSON COM (JNJ)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
12.29	KELLOGG CO COM (K)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.30	KEURIG DR PEPPER INC COM (KDP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
12.31	LIFE STORAGE INC REITS (LSI)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
12.32	LILLY ELI & CO COM (LLY)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.33	MCDONALDS CORP COM (MCD)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.34	MOTOROLA SOLUTIONS INC NEW COM (MSI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
12.35	NXP SEMICONDUCTORS NV COM NETHERLANDS (NXPI)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.36	PFIZER INC COM (PFE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
12.37	PHILIP MORRIS INTL INC COM (PM)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
12.38	PHILLIPS 66 COM (PSX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.39	RAYTHEON CO COM NEW (RTN)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.40	RENAISSANCERE HOLDINGS LTD COM BERMUDA (RNR)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.41	ROYAL GOLD INC COM (RGLD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
12.42	SUN COMMUNITIES INC REITS (SUI)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
12.43	SYSCO CORP COM (SYU)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
12.44	TAPESTRY INC COM (TPR)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.45	UNITED TECHNOLOGIES CORP COM (UTX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.46	ZOETIS INC CL A COM (ZTS)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
12.47	ASPEN INS HLDGS LTD (AHL)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.48	AUTOZONE INC COM (AZO)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
12.49	DUNKIN BRANDS GROUP INC (DNKN)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
12.50	TWENTY-FIRST CENTY FOX INC CL A COM (FOXA)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
12.51	U S G CORP COM NEW (USG)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
13	U.S. Brokerage Account #1 Institution #6	No			
13.1	TRUIST FINANCIAL CORP NON_CUMULATIVE PERPETUAL PREFERRED SERIES F (TFC.F)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.2	TRUIST FINANCIAL CORP NON_CUMULATIVE PERPETUAL PREFERRED SERIES G (TFC.G)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13.3	PIONEER HIGH INCOME MUNICIPAL FUND CLASS Y (HIMYX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
13.4	PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS Y(PSDYX)	Yes	\$5,000,001 - \$25,000,000		\$15,001 - \$50,000
13.5	EATON VANCE FLOATING RATE FUND I (EIBLX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
13.6	FEDERATED PRIME CASH OBLIGATIONS WS (PCOXX)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
13.7	U.S. Brokerage Account #1 (cash) Institution #6	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
14	U.S. Brokerage Account #2 Institution #6	No			
14.1	MILLBURN MULTI MKTS A LP	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
14.2	NUVEEN INTERMEDIATE DURATION MUNICIPAL TERM FUND (NID)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
14.3	HANOVER VA, RATE 4.0%, MATURES 07/01/22 - MUNICIPAL BONDS	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
14.4	PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS A (PSDTX)	Yes	\$5,000,001 - \$25,000,000		\$50,001 - \$100,000
14.5	TIAA-CREF MONEY MARKET FUND PREMIER (TPPXX)	Yes	\$5,000,001 - \$25,000,000		\$2,501 - \$5,000
14.6	FIDELITY INVESTMENTS MONEY MARKET FUND CL II (FCIXX)	N/A	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000
14.7	U.S. Brokerage Account #2 (cash) Institution #6	N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.8	JPM SECURITIES COMMERCIAL PAPER DISCOUNTED DUE 02/06/20	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
14.9	EXXON MOBIL COMMERCIAL PAPER DISCOUNTED DUE 02/21/20	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
15	U.S. Brokerage Account #1 Institution #7	No			
15.1	SCHWAB VALUE ADVANTAGE MONEY FUND (SWVXX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
15.2	U.S. Brokerage Account #1 (cash) Institution #7	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	WARBURG PINCUS XI FUND	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
17	WARBURG PINCUS XII FUND	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
18	DeJoy Investments No. 1 (U.S. bank account (cash))	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	The Louis DeJoy Family Partnership, LLC	No			
19.1	U.S. Bank Account #6 (cash) - Institution #2	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
19.2	U.S. Bank Account #7 (cash) - Institution #2	N/A	\$50,001 - \$100,000		None (or less than \$201)
19.3	U.S. Brokerage Account #3 Institution #6	No			
19.3.1	PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS Y(PSDYX)	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
19.3.2	DWS GOVERNMENT & AGENCY MONEY FUND (DTGXX)	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
19.3.3	EATON VANCE FLOATING RATE FUND I (EIBLX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
19.4	U.S. Brokerage Account #4 Institution #6	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.4.1	PUTNAM ULTRA SHORT DURATION INCOME FUND CLASS A (PSDTX)	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
19.4.2	TIAA-CREF MONEY MARKET FUND PREMIER (TPPXX)	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
19.4.3	FIDELITY INVESTMENTS MONEY MARKET FUND CL II (FCIXX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
19.4.4	U.S. Brokerage Account #4 (cash) Institution #6	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
19.4.5	JPM SECURITIES COMMERCIAL PAPER	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
19.4.6	FIRST HORIZON NATIONAL 6.50%-E (FHNCL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.5	U.S. Brokerage Account #5 Institution #4	No			
19.5.1	XPO LOGISTICS INC (XPO)	N/A	\$5,000,001 - \$25,000,000	Capital Gains	\$1,000,001 - \$5,000,000
19.5.2	XPO LOGISTICS INC (XPO), OPEN POSITION FOR WRITTEN CALL OPTION: 50,000 SHARES, STRIKE \$80.00, EXP. 11/2020	N/A			None (or less than \$201)
19.5.3	XPO LOGISTICS INC (XPO), OPEN POSITION FOR WRITTEN CALL OPTION: 10,000 SHARES, STRIKE \$85.00, EXP. 11/2020	N/A			None (or less than \$201)
19.5.4	XPO LOGISTICS INC (XPO), OPEN POSITION FOR WRITTEN CALL OPTION: 10,000 SHARES, STRIKE \$90.00, EXP. 11/2020	N/A			None (or less than \$201)
19.5.5	MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUNDS - TREASURY PORTFOLIO (MISXX)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.5.6	BELGARD CAPITAL FUND LLC	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
19.5.7	CLEARFORK CAPTL LLC	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
19.5.8	GS EXCHANGE PLACE LP	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
19.5.9	U.S. Brokerage Account #5 (cash) Institution #4	N/A	\$250,001 - \$500,000		None (or less than \$201)
19.5.10	XPO LOGISTICS INC (XPO), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
19.5.11	XPO LOGISTICS INC (XPO), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
19.5.12	XPO LOGISTICS INC (XPO), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
19.6	U.S. Brokerage Account #6 Institution #4	No			
19.6.1	AFFILIATED MANAGERS GROUP INC 5.875% NOTE (MGR)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
19.6.2	AGNC INVESTMENT CORP 06.875%-D (AGNCM)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
19.6.3	AGNC INVMNT CO 6.125% SER-F (AGNCP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.6.4	AGNC INVSTMNT CORP 6.50% SER-E (AGNCO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.6.5	AGNC INVT CORP CUM 1/1000 7% C (AGNCN)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
19.6.6	AIR LEASE CORP NON CUM PFD A (AL.A)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.7	ALGONQUIN POWER & UTILITIES CORP 6.875%-A NOTE (AQNA)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.6.8	ALGONQUIN PWR & UTILS CORP A NOTE (AQNB)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
19.6.9	ALLSTATE CORP 5.1% FIXED TO 01/2023 THEN FLOATS THEREAFTER NOTE (ALL.B)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
19.6.10	ALLSTATE CORP 5.100 H (ALL.H)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.6.11	AMER EQ INV HLDG CO 5.95%-A (AEL.A)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.12	AMERICAN HMS 4 RENT PFD D 6.5% (AMH.D)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.6.13	AMERICAN HOMES 4 RENT 6.25%-H (AMH.H)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
19.6.14	ANNALY CAP MGMNT 6.95%-F (NLY.F)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
19.6.15	ANNALY CAP MGMT INC PFD SER I (NLY.I)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
19.6.16	ASPEN INSURANCE HLDG LTD 5.95% (AHL.C)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
19.6.17	ASPEN INSURANCE HOLDINGS 5.625 (AHL.D)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.18	ASPEN INSURANCE HOLDINGS 5.625 (AHL.E)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.19	AT&T INC 4.750% SER-C (T.C)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.6.20	AT&T INC 5.625% NOTE (TBC)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
19.6.21	ATHENE HOLDING LTD 5.625 SER-B (ATH.B)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.6.22	ATHENE HOLDING LTD 6.35 SER A (ATH.A)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.6.23	AXIS CAP HOLDINGS LTD 5.5%-E (AXS.E)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.24	BANCO SANTANDER SA GTD SECS 6 (SAN.B)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.6.25	BANK OF AMERICA CO 5.00 SER LL (BAC.N)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.6.26	BANK OF AMERICA CORP 6%-GG (BAC.B)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.27	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.28	BK OF AMERICA CO 5.875% SER-HH (BAC.K)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.29	CAPITAL ONE FINANCIAL 5%-I PRF (COF.I)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.30	CAPITAL ONE FINANCIAL CO 6%-H (COF.H)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.31	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.32	CHS INC (CHSCN)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
19.6.33	CHS INC (CHSCM)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
19.6.34	CHS INC PERPETUAL PRF CL B (CHSCO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.35	CHS INC/RED PFD CL B S 4 (CHSCL)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
19.6.36	CITIGROUP CAPT XIII 7.875% FIXED 10/30/15 FLOATS AFTER NOTE (C.N)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
19.6.37	CITIGROUP INC 6.875%-K (C.K)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.6.38	CITIGRP INC 7.125%-J (C.J)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
19.6.39	CITIZENS FIN GROUP 6.35%-D (CFG.D)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.40	CMS ENERGY CORP 5.875% NOTE (CMSD)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
19.6.41	CMS ENERGY CORP 5.875% NOTE (CMSC)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
19.6.42	DIGITAL REALTY TRST 5.850-K (DLR.K)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19.6.43	DIGITAL RLTY TR INC RED SER C (DLR.C)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
19.6.44	DIGITAL RLTY TST INC 5.20%SERL (DLR.L)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
19.6.45	DUKE ENERGY CORP 5.625% NOTE (DUKB)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
19.6.46	DUKE ENERGY CORP 5.75%-A (DUK.A)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.47	ENBRIDGE INC 6.375%-B NOTE (ENBA)	N/A	\$15,001 - \$50,000	Dividends Interest	\$2,501 - \$5,000
19.6.48	ENSTAR GROUP LTD 7%-D (ESGRP)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
19.6.49	EQUITABLE HLDGS DEP RP PFD A (EQH.A)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
19.6.50	F N B CORP FLA DEP SHS REPSTG (FNB.E)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
19.6.51	FARMLAND PARTNERS INC 6%-B (FPI.B)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
19.6.52	FIFTH THIRD BANCORP 6% NCUM PF (FITBP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.6.53	FIFTH THIRD BANCORP 6.625%-I (FITBI)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
19.6.54	GLOBAL NET LEASE INC PFD SER A (GNL.A)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
19.6.55	GLOBE LIFE INC 6.125 BOND (GL.C)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
19.6.56	GMAC CAP TRUST I 8.125% TO 2/15/2016 FLOATS AFTER NOTE (ALLY.A)	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
19.6.57	GOLDMAN SACHS GRP INC 5.50%-J (GS.J)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
19.6.58	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
19.6.59	HARTFORD FINL SVC GRP 7.875% TO 4/15/22 FLOATS AFTER NOTE (HGH)	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
19.6.60	HUNTINGTON BANCSHARES 6.25%-D (HBANO)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.61	IBERIABANK CORP SHS PFD SR B (IBKCP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.62	ING GROEP NV 6.1250% SER (ISG)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
19.6.63	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.64	JPMORGAN CHASE & CO 6.0% S-EE (JPM.C)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.65	JPMORGAN CHASE & CO 6.1%-AA (JPM.G)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.66	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.67	KEYCORP 6.125% SER-E (KEY.I)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
19.6.68	MORGAN STANLEY 5.85%-K (MS.K)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.6.69	MORGAN STANLEY 6.375%-I (MS.I)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
19.6.70	MORGAN STANLEY 6.875%-F (MS.F)	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
19.6.71	MORGAN STANLEY 7.125%-E (MS.E)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.72	NATIONAL RURAL UTIL COOP 5.50% NOTE (NRUC)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
19.6.73	NEW YORK CMNTY BC INC SER A (NYCB.A)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
19.6.74	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% NOTE (NEE.N)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
19.6.75	NISOURCE INC 6% DEP PFD B (NI.B)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.76	PARTNERRE LTD 6.50% RED PFD G (PRE.G)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.77	PARTNERRE LTD 7.25% RED SER H (PRE.H)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
19.6.78	PEOPLES UNITED FIN INC SER A (PBCTP)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
19.6.79	PNC FINL-P 6.125% FLTS 5/01/22 (PNC.P)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
19.6.80	PRUDENTIAL FINANCIAL INC 5.625% NOTE (PRS)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
19.6.81	PS BUSINESS PARKS INC 4.875%-Z (PSB.Z)	Yes	\$1,001 - \$15,000		None (or less than \$201)
19.6.82	QWEST CORP 6.875% NOTE (CTV)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.6.83	REGIONS FINANCIAL CO 6.375%-B (RF.B)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
19.6.84	REGIONS FINL CORP 6.375%-A (RF.A)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.85	REINSURANCE GROUP AMERICA INC NOTE (RZA)	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
19.6.86	REINSURANCE GRP OF AMERICA NOTE (RZB)	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
19.6.87	SCE TRUST IV 5.375%-J (SCE.J)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.88	SCE TRUST V 5.45%-K (SCE.K)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
19.6.89	SOUTH JERSEY INDUSTRIES INC 5.6250% NOTE (SJIJ)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
19.6.90	SPIRE INC 5.90% SER-A (SR.A)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.91	STATE ST CO 5.90%-D FXD-TO-FLT (STT.D)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
19.6.92	STATE STREET CORP 5.350%-G (STT.G)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
19.6.93	STIFEL FINANCIAL CORP 6.25% (SF.A)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.94	TCF FINANCIAL CORPORATION NEW (TCFCP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.95	THE HARTORD FIN SER6.0%SER-G (HIG.G)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.96	THE SOUTHERN CO 4.95% SER-A NOTE (SOJD)	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
19.6.97	U.S. BANCORP 5.5%-K (USB.P)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.98	URSTADT BIDDLE PPTYS INC SER H (UBP.H)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.6.99	US BANCORP-F 6.5% FLTS 1/15/22 (USB.M)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
19.6.10 0	VALLEY NATL BANCORP SER-A (VLYPP)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
19.6.10 1	VALLEY NATL BANCORP 5.50% PFD-B (VLYPO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.10 2	W R BERKLEY CORP 5.10% BOND (WRB.F)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.6.10 3	WELLS FARGO & CO 4.75% SER-Z (WFC.Z)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.6.10 4	WELLS FARGO & CO 5.5% SER-X (WFC.X)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.6.10 5	WELLS FARGO & CO 5.7%-W (WFC.W)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.10 6	WELLS FARGO & COMPANY 6%-V (WFC.V)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
19.6.10 7	WELLS FARGO & COMPANY 6.625%-R (WFC.R)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
19.6.10 8	WELLS FARGO 5.85-Q FIXD-TO-FLT (WFC.Q)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
19.6.10 9	WELLS FARGO 7.5 NON-CUM CL A (WFC.L)	N/A	\$50,001 - \$100,000		None (or less than \$201)
19.6.11 0	WINTRUST FINANCIAL CORP (WTFM)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
19.6.11 1	WINTRUST FINL COR DEP REP SR E (WTFCP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.6.11 2	ZIONS BANCORP NA 6.3000% SRS G (ZIONO)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
19.6.11 3	U.S. Brokerage Account #6 (cash) Institution #4	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.6.11 4	AEGON NV 6.3750% SERIES (AEH)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
19.6.11 5	AEGON NV 6.5000 SERIES (AED)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
19.6.11 6	ALLSTATE CORP 6.625%-E (ALL.E)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
19.6.11 7	BANK OF AMERICA CORP 6.5%-Y (BAC.Y)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
19.6.11 8	BANK OF AMERICA CORP 6.625%-W (BAC.W)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
19.6.11 9	CAPITAL ONE 6.25%-C (COF.C)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
19.6.12 0	CAPITAL ONE FINANCIAL 6%-B (COF.P)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
19.6.12 1	CAPITAL ONE FINL CORP 6.70%-D (COF.D)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
19.6.12 2	COLONY CAP 7.125% CUM J (CLNY.J)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
19.6.12 3	COLONY CAP INC NEW CUM E PRF (CLNY.E)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
19.6.12 4	DIGITAL REALTY TRUST 7.375%-H (DLR.H)	Yes	None (or less than \$1,001)		\$201 - \$1,000
19.6.12 5	INVESCO MTG CAP INC 7.75%-B (IVR.B)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
19.6.12 6	JPMORGAN CHASE & CO 6.3%-W (JPM.E)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
19.6.12 7	MB FINANCIAL INC NEW SER C (MBFPP)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.6.12 8	SYNOVUS FINL CORP PFD SER D (SNV.D)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
19.6.12 9	SYNOVUS FINL CORP SER E 5.875% (SNV.E)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
19.6.13 0	TAUBMAN CENTERS INC 6.5% SER-J (TCO.J)	Yes	None (or less than \$1,001)		\$201 - \$1,000
19.6.13 1	TORCHMARK CORP (TMK.C)	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
19.6.13 2	TWO HARBORS INVSTMNT C 7.25%-C (TWO.C)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
19.6.13 3	AMERICAN FINANCIAL GROUP 5.625% (AFGD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.6.13 4	ATHENE HOLDING LTD 6.375%-C (ATHHL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.6.13 5	ATLANTIC UN BANKSHARES 6.875-A (AUBKL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.6.13 6	PINNACLE FIN PRTNRS 6.75%-B (PNFPP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.6.13 7	TRUIST FINANCIAL CORP 5.25%-O (TFC.O)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.7	Bell Olmsted Park NHW, LLC (residential real estate - Boston, MA)	N/A	\$250,001 - \$500,000		None (or less than \$201)
19.8	BlueVoyant LLC (cybersecurity services)	N/A	\$250,001 - \$500,000		None (or less than \$201)
20	Sandy Point Investments, LLC	No			
20.1	U.S. Bank Account #9 (cash) - Institution #2	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
20.2	U.S. Brokerage Account #7 Institution #4	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.1	3M COMPANY (MMM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.2	A O SMITH CORP (AOS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.3	ABB LTD (ABB)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.4	ABBOTT LABORATORIES (ABT)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.5	ABBVIE INC COM (ABBV)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
20.2.6	ABM INDUSTRIES INCORPORATED (ABM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.7	ACI WORLDWIDE INC (ACIW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.8	ADVANCED DISPOSAL SERVICES INC (ADSW)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
20.2.9	AFLAC INCORPORATED (AFL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
20.2.10	AIR LIQUIDE ADR (AIQUY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
20.2.11	AIR PROD & CHEM INC (APD)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.12	ALLERGAN PLC SHS (AGN)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.13	ALLETE INC NEW (ALE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.14	ALLSCRIPTS HEALTHCARE SOLU INC (MDRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.15	ALTRA INDL MOTION CORP (AIMC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.16	ALTRIA GROUP INC (MO)	N/A	None (or less than \$1,001)	Dividends	\$2,501 - \$5,000
20.2.17	AMC NETWORKS INC CL A (AMCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.18	AMCOR PLC (AMCR)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.19	AMERICAN ELECTRIC POWER CO (AEP)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.20	AMERICAN EQ INVT LIFE HLDG C (AEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.21	AMERICAN WATER WORKS CO (AWK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.22	AMGEN INC (AMGN)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.23	AMN HEALTHCARE SVCS INC (AMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.24	AMPHENOL CORP NEW CL A (APH)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.25	ANADARKO PETE (APC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
20.2.26	APPLIED MATERIALS INC (AMAT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.27	APTARGROUP INC (ATR)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.28	ARCOSA INC (ACA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.29	ASBURY AUTOMOTIVE GROUP INC (ABG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.30	ASTRAZENECA PLC ADS (AZN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
20.2.31	AT&T INC (T)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
20.2.32	ATKORE INTRL GRP (ATKR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.33	ATLAS COPCO AS A ADR A NEW (ATLKY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
20.2.34	AUTODESK INC DELAWARE (ADSK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.2.35	AUTOMATIC DATA PROCESSING INC (ADP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.36	AVALONBAY COMM INC (AVB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.37	AVANOS MEDICAL INC (AVNS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.38	BANCORPSOUTH INC (BXS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.39	BANK OF NT BUTTERFIELD&SON LTD (NTB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.40	BAXTER INTL INC (BAX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
20.2.41	BB & T CORP (BBT)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
20.2.42	BCE INC (BCE)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
20.2.43	BECTON DICKINSON & CO (BDX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.44	BECTON DICKINSON & CO SER A (BDXA)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.45	BERKLEY W R CORP (WRB)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.46	BERRY GLOBAL GROUP INC (BERY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.47	BIOGEN INC COM (BIIB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.2.48	BLACK HILLS CORP (BKH)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.49	BLACKROCK INC (BLK)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.50	BMC STK HLDGS INC (BMCH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.51	BOSTON PROPERTIES INC (BXP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.2.52	BP PLC ADS (BP)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
20.2.53	BRANDYWINE REALTY TR SBI NEW (BDN)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.54	BRITISH AMER TOB SPON ADR (BTI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.55	BROADCOM INC (AVGO)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
20.2.56	BROOKS-AUTOMATION INC (BRKS)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.57	BROWN FORMAN CORP CL B (BF'B)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
20.2.58	BRUNSWICK CORP (BC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.59	CABLE ONE INC COM (CABO)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.60	CAL MAINE FOODS INC (CALM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.61	CALAVO GROWERS INC (CVGW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.62	CALIF WATER SVC GP DEL (CWT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.63	CARTER'S (CRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.64	CASELLA WASTE SYS INC CL A (CWST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.65	CATALENT INC (CTLT)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
20.2.66	CDN IMPL BK COMMERCE (CM)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
20.2.67	CENTRAL GARDEN & PET CO CL A (CENTA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.68	CERENCE INC (CRNC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.69	CERNER CORP (CERN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
20.2.70	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.71	CHARLES SCHWAB NEW (SCHW)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.72	CHEVRON CORP (CVX)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
20.2.73	CHOICE HOTELS INTL INC NEW (CHH)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.74	CHUBB LTD (CB)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
20.2.75	CIRRUS LOGIC INC (CRUS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.76	CISCO SYS INC (CSCO)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
20.2.77	CITRIX SYSTEMS INC (CTXS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.78	CLOROX CO (CLX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
20.2.79	CMNTY BK SYST INCORPORATED (CBU)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
20.2.80	COCA COLA CO (KO)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.81	COHERENT INC (COHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.82	COMCAST CORP (NEW) CLASS A (CMCSA)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
20.2.83	CONNECTICUT WATER SERVICES INC (CTWS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
20.2.84	CORE MARK HOLDING CO INC (CORE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.85	CRACKER BARREL OLD CTRY STORE (CBRL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.86	CREE RESEARCH INC (CREE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.2.87	CROWN CASTLE INTL CORP (CCI)	Yes	\$15,001 - \$50,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.88	CULLEN FROST BANKERS INC (CFR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.89	CVB FINCL CP (CVBF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.90	DANA INCORPORATED (DAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.91	DELEK US HLDGS INC (DK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.92	DELUXE CORPORATION (DLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.93	DENTSPLY SIRONA INC (XRAY)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.94	DIAGEO PLC SPON ADR NEW (DEO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.2.95	DIODES INC (DIOD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.96	DISCOVERY INC SER A (DISCA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.97	DOLBY CLA A COM STK (DLB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.98	DOMINION ENERGY INC (D)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
20.2.99	DRILL-QUIP INC (DRQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.10 0	DUKE ENERGY CORPORATION (DUK)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.10 1	EAST WEST BANCORP (EWBC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.10 2	EASTGROUP PROPERTIES INC (EGP)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.10 3	EATON CORP PLC SHS (ETN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.10 4	ECOLAB INC (ECL)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
20.2.10 5	EL PASO ELECTRIC CO NEW (EE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.10 6	ELI LILLY & CO (LLY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.10 7	EMCOR GROUP INC (EME)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
20.2.10 8	ENBRIDGE INC (ENB)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.10 9	ENTEGRIS INC (ENTG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
20.2.11 0	ENVISTA HLDGS CORP (NVST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.11 1	EPIROC AKTIEBOLAG ADR (EPOKY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.11 2	EQUITY COMWLTH COM SH BEN INT (EQC)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
20.2.11 3	ESCO TECHNOLOGIES (ESE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.11 4	ESSENTIAL UTILS INC (WTRG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
20.2.11 5	ESSENTIAL UTILS INC 6% (WTRU)	N/A	\$15,001 - \$50,000	Capital Gains Interest	\$1,001 - \$2,500
20.2.11 6	EVEREST RE GROUP LTD (RE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.11 7	EVERSOURCE ENERGY COM (ES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.11 8	EXXON MOBIL CORP (XOM)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
20.2.11 9	FIREEYE INC (FEYE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.12 0	FIRST FINCL BNCP (FFBC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.12 1	FIRST HAWAIIAN INC (FHB)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.12 2	FIRST INTERSTATE BANC SYSTEM (FIBK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.12 3	FIRST MIDW BNCP DELA (FMBI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.12 4	FLEXTRONICS INTL LTD (FLEX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.12 5	FLUOR CORP NEW (FLR)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.12 6	FNB CORPORATION (FNB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.12 7	FORWARD AIR CORP (FWRD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.12 8	FREEMPORT-MCMORAN CL-B (FCX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.12 9	FULLER H B & COMPANY (FUL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.13 0	GCI LIBERTY INC CL A (GLIBA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.13 1	GENERAL MILLS INC (GIS)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.13 2	GIBRALTAR INDUSTRIES INC (ROCK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.13 3	GILEAD SCIENCE (GILD)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
20.2.13 4	GLACIER BANCORP INC NEW (GBCI)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.13 5	GLAXOSMITHKLINE PLC ADR (GSK)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
20.2.13 6	GLOBUS MEDICAL INC A (GMED)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
20.2.13 7	GRACO INC (GGG)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.13 8	GREAT WESTN BANCORP INC (GWB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.13 9	GUARDANT HEALTH INC (GH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.14 0	H&E EQUIPMENT SVCS INC (HEES)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.14 1	HANCOCK WHITNEY CORPORATION (HWC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.14 2	HANOVER INSURANCE GROUP INC (THG)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.14 3	HEALTHCARE REALTY TRUST INCORP (HR)	Yes	None (or less than \$1,001)		\$201 - \$1,000
20.2.14 4	HENKEL AG & CO KGAA (HENKY)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.14 5	HIGHWOODS PROPERTIES (HIW)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.14 6	HOME DEPOT INC (HD)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
20.2.14 7	HONEYWELL INTERNATIONAL INC (HON)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.14 8	HORACE MANN EDUCATORS CP (HMN)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.14 9	HP INC COM (HPQ)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.15 0	HUB GROUP INC CL A (HUBG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
20.2.15 1	HUNTINGTON BANCSHARES (HBAN)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.15 2	HUNTSMAN CORP (HUN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.15 3	IBERIA BK CORP (IBKC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.15 4	ICF INTL INC (ICFI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.15 5	ICU MEDICAL INC (ICUI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.2.15 6	ILL TOOL WORKS INC (ITW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.15 7	IMMUNOGEN INC (IMGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.15 8	INDEPENDENT BK MASS (INDB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.15 9	INGEVITY CORP (NGVT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
20.2.16 0	INTEGER HOLDINGS CORP (ITGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.16 1	INTERNATIONAL SPEEDWAY CRP CLA (ISCA)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
20.2.16 2	INTL BUSINESS MACHINES CORP (IBM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.2.16 3	INVESCO LTD (IVZ)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.16 4	IONIS PHARMACEUTICALS INC (IONS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.2.16 5	ITT INC (ITT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.16 6	J&J SNACK FOODS (JJSF)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.16 7	JB HUNT TRANS SERV (JBHT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
20.2.16 8	JOHNSON & JOHNSON (JNJ)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.16 9	JOHNSON CTLS INTL PLC (JCI)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.17 0	JPMORGAN CHASE & CO (JPM)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
20.2.17 1	KAISER ALUMINUM CORP (KALU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.17 2	KB HOME (KBH)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.17 3	KEMPER CORP DEL COM (KMPR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.17 4	KIMBERLY CLARK CORP (KMB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
20.2.17 5	KIRBY CP (KEX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.17 6	KITE RLTY GROUP TR (KRG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.2.17 7	KNOLL INC (KNL)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.17 8	L3 TECHNOLOGIES INC COM (LLL)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.17 9	L3HARRIS TECHNOLOGIES INC (LHX)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
20.2.18 0	LA Z BOY INCORPORATED (LZB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.18 1	LAKELAND FINCL (LKFN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.18 2	LANCASTER COLONY CRP (LANC)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.18 3	LEGG MASON INC (LM)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.18 4	LEGRAND SA (LGRDY)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
20.2.18 5	LEXINGTON REALTY TRUST (LXP)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.18 6	LIBERTY BROADBAND CORP S-A (LBRDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.18 7	LIBERTY BROADBAND CORP S-C (LBRDK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.18 8	LIBERTY MEDIA C SER A SIRIUSXM (LSXMA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.18 9	LIBERTY MEDIA C SER C SIRIUSXM (LSXMK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.19 0	LIFE STORAGE INC (LSI)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.19 1	LINDSAY MFG CO (LNN)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.19 2	LIONS GATE ENTMNT CORP CL B (LGF'B)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.19 3	LITHIA MOTORS INC A (LAD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
20.2.19 4	LITTELFUSE INC (LFUS)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.19 5	LOCKHEED MARTIN CORP (LMT)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.19 6	LOUISIANA PACIFIC CORP (LPX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.19 7	LYONDELLBASELL NV CL-A (LYB)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
20.2.19 8	M K S INSTRUMENTS (MKS)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.19 9	MAGNOLIA OIL & GAS CORP CL A (MGY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.20 0	MAIN STREET CAPITAL CORP (MAIN)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.20 1	MARSH & MCLENNAN COS INC (MMC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.20 2	MARTIN MARIETTA MATERIALS (MLM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.20 3	MASTEC INC (MTZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.20 4	MATADOR RES CO (MTDR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.20 5	MAXIM INTEGRATED PRODUCTS INC (MXIM)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.20 6	MC CORMICK AND CO NON VOTING (MKC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
20.2.20 7	MC DONALDS CORP (MCD)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.20 8	MEDTRONIC PLC SHS (MDT)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.20 9	MERCK & CO INC NEW COM (MRK)	N/A	\$50,001 - \$100,000		None (or less than \$201)
20.2.21 0	MERIT MED SYST (MMSI)	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
20.2.21 1	MERITAGE HOME CORPORATION (MTH)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
20.2.21 2	METHODE ELEC INC (MEI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.21 3	METLIFE INC 4.0000% SER A (MET.A)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.21 4	METLIFE INC 4.75% F (MET.F)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.21 5	METLIFE INC 5.62% NCUM PFD E (MET.E)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.21 6	METLIFE INCORPORATED (MET)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.21 7	MGE ENERGY INC (MGEE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.21 8	MICROSOFT CORP (MSFT)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$15,001 - \$50,000
20.2.21 9	MIDDLESEX WATER CO (MSEX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.22 0	MONDELEZ INTL INC COM (MDLZ)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.22 1	MUELLER WATER PROD INC SER A (MWA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.22 2	MURPHY USA INC COM (MUSA)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
20.2.22 3	NATIONAL GRID PLC SPON ADR (NGG)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.22 4	NATIONAL OILWELL VARCO INC (NOV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.22 5	NBT BANCORP (NBTB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.22 6	NCR CORPORATION (NCR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.22 7	NETSCOUT SYSTEMS INC (NTCT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.22 8	NEXTERA ENERGY INC (NEE)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.22 9	NORTHERN TRUST CORP (NTRS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.23 0	NORTHERN TRUST CORP 5.85%-C (NTRSP)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.23 1	NOW INC (DNOW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.23 2	NUANCE COMMUNICATIONS INC (NUAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.23 3	NUCOR CORPORATION (NUE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.23 4	OCCIDENTAL PETROLEUM CORP DE (OXY)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
20.2.23 5	OLIN CORPORATION (OLN)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.23 6	ON SEMICONDUCTOR CORP (ON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.23 7	ONE GAS INC (OGS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.23 8	ONEOK INC (OKE)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.23 9	OUTFRONT MEDIA INC COM NPV (OUT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.24 0	OXFORD INDUSTRIES INC (OXM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.24 1	P H GLATFELTER (GLT)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.24 2	PATTERSON -UTI ENERGY INC (PTEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.24 3	PAYCHEX INC (PAYX)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.24 4	PEBBLEBROOK HOTEL TR COM (PEB)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.24 5	PENTAIR PLC (PNR)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.24 6	PEPSICO INC NC (PEP)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.24 7	PERFORMANCE FOOD GROUP CO (PFGC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.24 8	PERNOD RICARD SA UNSPONS ADR (PDRDY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.24 9	PFIZER INC (PFE)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
20.2.25 0	PHILIP MORRIS INTL INC (PM)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
20.2.25 1	PHILLIPS 66 COM (PSX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.25 2	PHYSICIANS REALTY TRUST (DOC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.25 3	PLEXUS CORP (PLXS)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
20.2.25 4	PNC FINL SVCS GP (PNC)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.25 5	PNM RESOURCES INC HLDG CO (PNM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.25 6	PPL CORPORATION (PPL)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.25 7	PRIMORIS SVCS CORP COM (PRIM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.25 8	PROCTER & GAMBLE (PG)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
20.2.25 9	PROLOGIS INC COM (PLD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
20.2.26 0	PROSPERITY BANCSHARES (PB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.26 1	PTC INC COM (PTC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
20.2.26 2	PUBLIC SERVICE ENTERPRISE GP (PEG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.26 3	QTS RLTY TR INC COM CL A (QTS)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.26 4	QURATE RETAIL INC SER A (QRTEA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.26 5	RAMBUS INC (DEL) (RMBS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.26 6	RAYMOND JAMES FINCL INC (RJF)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
20.2.26 7	REALTY INCOME CORP (O)	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
20.2.26 8	REGIONS FINANCIAL CORP NEW (RF)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.26 9	REINSURANCE GROUP OF AMERICA (RGA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.27 0	REXNORD CORP NEW (RXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.27 1	ROCKWELL AUTOMATION INC (ROK)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
20.2.27 2	ROGERS CORP (ROG)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
20.2.27 3	ROYAL DUTCH SHELL PLC CL B (RDS'B)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.27 4	RPT REALTY COM SH BEN INT (RPT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.27 5	S & T BANCORP (STBA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.27 6	SAIA INC (SAIA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.27 7	SALMAR ASA ADR (SALRY)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.27 8	SANDERSON FARMS (SAFM)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
20.2.27 9	SANDY SPRING BANCORP (SASR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.28 0	SCE TRUST VI 5%-L (SCE.L)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.28 1	SCHNEIDER ELEC SA UNSP ADR (SBGSY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.28 2	SEAGATE TECHNOLOGY PLC (STX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.28 3	SELECTIVE INSURANCE GROUP (SIGI)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
20.2.28 4	SEMPRA ENERGY (SRE)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.28 5	SEMTECH CORP (SMTC)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
20.2.28 6	SERVICE CORP INTL (SCI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.28 7	SIMON PPTY GROUP INC (SPG)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
20.2.28 8	SIMPSON MANUFACTURING CO INC (SSD)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
20.2.28 9	SJW GROUP (SJW)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.29 0	SKYWEST INC (SKYW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.29 1	SMITH & NEPHEW PLC ADR (SNN)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.29 2	SOUTH JERSEY IND INC (SJI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.29 3	SOUTH ST CORP COM (SSB)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.29 4	SOUTHERN CO (SO)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
20.2.29 5	SOUTHWEST GAS HOLDINGS INC (SWX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.29 6	SPECTRUM BRANDS HLDGS INC (SPB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.29 7	SPIRIT REALTY CAPITAL, INC. (SRC)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.29 8	STAG INDL INC COM (STAG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.29 9	STANDARD MOTOR PRODUCTS INC (SMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.30 0	STANDEX INTERNATIONAL CORP (SXI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.30 1	STARBUCKS CORP WASHINGTON (SBUX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.30 2	STERIS PLC (STE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
20.2.30 3	STEVEN MADDEN LTD (SHOO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.30 4	STIFEL FINANCIAL CORPORATION (SF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.30 5	STONERIDGE INC (SRI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.30 6	STRYKER CORP (SYK)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
20.2.30 7	SUMMIT HOTEL PROPERTIES INC (INN)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.30 8	SYNNEX CORP (SNX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.30 9	SYNOPSIS INC (SNPS)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
20.2.31 0	SYSCO CORP (SYY)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.31 1	TC ENERGY CORP (TRP)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.31 2	TD AMERITRADE HOLDING CORP (AMTD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.31 3	TE CONNECTIVITY LTD NEW (TEL)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.31 4	TECH DATA CORP (TECD)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
20.2.31 5	TERADYNE INC (TER)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
20.2.31 6	TEXAS INSTRUMENTS (TXN)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
20.2.31 7	TEXAS ROADHOUSE INC CL A (TXRH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.31 8	THE SCOTTS MIRACLE-GRO COMPANY (SMG)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.31 9	THE SIMPLY GOOD FOODS COMPANY (SMPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.32 0	TOTAL S A SPON ADR (TOT)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.32 1	TOWER SEMICONDUCTOR LTD NEW (TSEM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.32 2	TOWNEBANK VA (TOWN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.32 3	TRAVELERS COMPANIES INC COM (TRV)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
20.2.32 4	TRUIST FINL CORP (TFC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.32 5	TTM TECH INC (TTMI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.32 6	TWITTER INC (TWTR)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.32 7	U S BANCORP COM NEW (USB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.32 8	U.S BANCORP PFD B (USB.H)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.32 9	UMB FINANCIAL CORP (UMBF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.33 0	UMPQUA HOLDINGS CORP (UMPQ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.33 1	UNIFIRST CP (UNF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.33 2	UNILEVER PLC NEW ADS (UL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20.2.33 3	UNITED PARCEL SER INC CL-B (UPS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.33 4	UNITEDHEALTH GP INC (UNH)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
20.2.33 5	UNIVERSAL HEALTH SERVICES B (UHS)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.33 6	UNUMPROVIDENT CORP (UNM)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.33 7	US BANCORP-F 6.5% FLTS 1/15/22 (USB.M)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.33 8	US ECOLOGY INC (ECOL)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.33 9	VALERO ENERGY CP DELA NEW (VLO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
20.2.34 0	VALLEY NATL BANCORP (VLY)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.34 1	VARIAN MEDICAL SYS INC (VAR)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
20.2.34 2	VENTAS INC (VTR)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
20.2.34 3	VERIZON COMMUNICATIONS (VZ)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.34 4	VERRA MOBILITY CORPORATION (VRRM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.34 5	VERTEX PHARMACEUTICALS (VRTX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.2.34 6	VIAVI SOLUTIONS INC COM (VIAV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.34 7	VISHAY INTERTECHNOLOGY INC (VSH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.34 8	VISTEON CORP (VC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.34 9	VODAFONE GROUP PLC (VOD)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.35 0	W.R. BERKLEY CORP 5.625% BOND (WRB.B)	N/A	None (or less than \$1,001)	Interest Capital Gains	\$201 - \$1,000
20.2.35 1	WABCO HLDGS INC (WBC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.2.35 2	WASH REAL EST INV TR MARYLAND (WRE)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
20.2.35 3	WATERS CORP (WAT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
20.2.35 4	WEBSTER FINCL CORP (WBS)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.35 5	WEC ENERGY GROUP INC COM (WEC)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
20.2.35 6	WELLS FARGO & CO NEW (WFC)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.35 7	WELLTOWER INC (WELL)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
20.2.35 8	WERNER ENTERPRISE INC (WERN)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
20.2.35 9	WESBANCO (WSBC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.36 0	WESCO INTL INC (WCC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.36 1	WESTERN ALLIANCE BANCORP (WAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.36 2	WESTERN DIGITAL CORPORATION (WDC)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20.2.36 3	WHITE MOUNTAIN GRP BERMUDA (WTM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
20.2.36 4	WILLIAMS CO INC (WMB)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
20.2.36 5	WOLVERINE WORLD WIDE (WWW)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
20.2.36 6	WOODWARD INC COM (WWD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.36 7	WPX ENERGY INC (WPX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.36 8	WRIGHT MEDICAL GROUP (WMGI)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.2.36 9	XYLEM INC COM (XYL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.37 0	U.S. Brokerage Account #7 (cash) Institution #4	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
20.2.37 1	ACUSHNET HOLDINGS CORP (GOLF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.37 2	BECTON DICKINSON & CO DEP REPSTG PFD B (BDXB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
20.2.37 3	CALLON PETROLEUM COMPANY (CPE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.37 4	CNX RESOURCES CORP (CNX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.37 5	HELIX ENERGY SOLUTIONS GRP INC (HLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.2.37 6	TEGNA INC COM (TGNA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
20.3	Metlife Life Insurance, Universal Life	N/A	\$100,001 - \$250,000		None (or less than \$201)
20.4	Hartford Life Insurance, Universal Life	N/A	\$100,001 - \$250,000		None (or less than \$201)
21	Family Trust #1	No			
21.1	Northwestern Mutual Life Insurance, Whole Life	N/A	\$250,001 - \$500,000		None (or less than \$201)
21.2	Northwestern Mutual Life Insurance, Whole Life	N/A	\$250,001 - \$500,000		None (or less than \$201)
21.3	Northwestern Mutual Life Insurance, Whole Life	N/A	\$100,001 - \$250,000		None (or less than \$201)
21.4	Lincoln National Financial Group, Variable Life	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21.4.1	LVIP SSGA S&P 500 Index SC	Yes	\$15,001 - \$50,000		None (or less than \$201)
21.5	Hartford Life Insurance, Universal Life	N/A	\$250,001 - \$500,000		None (or less than \$201)
21.6	Northwestern Mutual Life Insurance, Whole Life	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
21.7	U.S. Bank Account #9 (cash) - Institution #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
21.8	Lincoln National Financial Group, Variable Life	No			
21.8.1	LVIP SSGA S&P 500 Index SC	Yes	\$100,001 - \$250,000		None (or less than \$201)
22	Family Trust #2	No			
22.1	Northwestern Mutual Life Insurance, Whole Life	N/A	\$250,001 - \$500,000		None (or less than \$201)
22.2	Northwestern Mutual Life Insurance, Whole Life	N/A	\$250,001 - \$500,000		None (or less than \$201)
22.3	Northwestern Mutual Life Insurance, Whole Life	N/A	\$100,001 - \$250,000		None (or less than \$201)
22.4	Lincoln National Financial Group, Variable Life	No			
22.4.1	LVIP SSGA S&P 500 Index SC	Yes	\$50,001 - \$100,000		None (or less than \$201)
22.5	Hartford Life Insurance, Universal Life	N/A	\$250,001 - \$500,000		None (or less than \$201)
22.6	Northwestern Mutual Life Insurance, Whole Life	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
22.7	U.S. Bank Account #10 (cash) - Institution #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
22.8	Lincoln National Financial Group, Variable Life	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22.8.1	LVIP SSGA S&P 500 Index SC	Yes	\$15,001 - \$50,000		None (or less than \$201)
23	Rhode Island CollegeBoundFund 529 Plan - Account #1	No		Cash Payments	\$64,000
23.1	Invesco CollegeBound Today Portfolio A	Yes	\$50,001 - \$100,000		None (or less than \$201)
23.2	Invesco CollegeBound Today Portfolio BX	Yes	\$50,001 - \$100,000		None (or less than \$201)
24	Rhode Island CollegeBoundFund 529 Plan - Account #2	No		Cash Payments	\$72,000
24.1	Invesco CollegeBound Today Portfolio A	Yes	\$15,001 - \$50,000		None (or less than \$201)
24.2	Invesco CollegeBound Today Portfolio BX	Yes	None (or less than \$1,001)		None (or less than \$201)
24.3	Invesco CollegeBound Today Portfolio C	Yes	None (or less than \$1,001)		None (or less than \$201)
25	U.S. Bank Account #11 (cash) - Institution #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
26	Hartford Life Insurance, Universal Life	N/A	\$100,001 - \$250,000		None (or less than \$201)
27	Allstate Life Insurance, Universal Life	N/A	\$100,001 - \$250,000		None (or less than \$201)
28	WARBURG PINCUS GLOBAL GROWTH FUND	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
29	U.S. Bank Account #1 (Cash) - Institution #1	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	Allstate Life Insurance, Universal Life	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31	BlueVoyant LLC (cybersecurity services)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
32	U.S. Bank Account #12 (cash) - Institution #2	N/A	\$1,001 - \$15,000		None (or less than \$201)
33	U.S. Brokerage Account #8 Institution #4	No			
33.1	ABBOTT LABORATORIES (ABT)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
33.2	ACTIVISION BLIZZARD INC (ATVI)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
33.3	AMAZON COM INC (AMZN)	N/A	\$100,001 - \$250,000		None (or less than \$201)
33.4	AT&T INC (T)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
33.5	BANK OF AMERICA CORP (BAC)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
33.6	BAXTER INTL INC (BAX)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
33.7	BLACKROCK INC (BLK)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
33.8	BOEING CO (BA)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
33.9	CARLYLE GROUP INC (CG)	N/A	\$100,001 - \$250,000	Dividends Capital Gains Interest	\$5,001 - \$15,000
33.10	CHEVRON CORP (CVX)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
33.11	CHURCH & DWIGHT CO INC (CHD)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.12	CVS HEALTH CORP COM (CVS)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
33.13	DISCOVER FINCL SVCS (DFS)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
33.14	DOW INC (DOW)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
33.15	ELI LILLY & CO (LLY)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$2,501 - \$5,000
33.16	EXELON CORP (EXC)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
33.17	FOX CORP CL A (FOXA)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
33.18	GENERAL ELECTRIC CO (GE)	N/A	\$50,001 - \$100,000		None (or less than \$201)
33.19	INTEL CORP (INTC)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
33.20	INTL BUSINESS MACHINES CORP (IBM)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
33.21	JOHNSON & JOHNSON (JNJ)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
33.22	JPMORGAN CHASE & CO (JPM)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
33.23	LAS VEGAS SANDS CORPORATION (LVS)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$15,001 - \$50,000
33.24	LINCOLN NTL CORP IND (LNC)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
33.25	LOWES COMPANIES INC (LOW)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.26	MC DONALDS CORP (MCD)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
33.27	MOTOROLA SOLUTIONS INC (MSI)	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$5,001 - \$15,000
33.28	PENN NATIONAL GAMING (PENN)	N/A	\$100,001 - \$250,000		None (or less than \$201)
33.29	PENSKE AUTO GP INC (PAG)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
33.30	PFIZER INC (PFE)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
33.31	SPDR S&P 500 ETF TRUST (SPY)	Yes	\$100,001 - \$250,000		None (or less than \$201)
33.32	UBER TECHNOLOGIES INC (UBER)	N/A	\$100,001 - \$250,000		None (or less than \$201)
33.33	VALERO ENERGY CP DELA NEW (VLO)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
33.34	VANGUARD FTSE EMERGING MARKETS (VWO)	Yes	\$100,001 - \$250,000		None (or less than \$201)
33.35	VIACOMCBS INC CL B (VIAC)	N/A	\$250,001 - \$500,000		None (or less than \$201)
33.36	WALT DISNEY CO HLDG CO (DIS)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
33.37	U.S. Brokerage Account #8 (cash) Institution #4	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
33.38	ABBOTT LABORATORIES (ABT), OPEN POSITION FOR WRITTEN CALL OPTION: 800 SHARES, STRIKE \$90.00, EXP. 6/2020	N/A			None (or less than \$201)
33.39	ACTIVISION BLIZZARD INC (ATVI), OPEN POSITION FOR WRITTEN CALL OPTION: 4,000 SHARES, STRIKE \$70, EXP. 1/2021	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.40	AMAZON COM INC (AMZN), OPEN POSITION FOR WRITTEN CALL OPTION: 100 SHARES, STRIKE \$1960.00, EXP. 10/2020	N/A			None (or less than \$201)
33.41	AT&T INC (T), OPEN POSITION FOR WRITTEN CALL OPTION: 6,000 SHARES, STRIKE \$31.00, EXP. 10/2020	N/A			None (or less than \$201)
33.42	BANK OF AMERICA CORP (BAC), OPEN POSITION FOR WRITTEN CALL OPTION: 6,000 SHARES, STRIKE \$34.00, EXP. 6/2020	N/A			None (or less than \$201)
33.43	BAXTER INTL INC (BAX), OPEN POSITION FOR WRITTEN CALL OPTION: 1,000 SHARES, STRIKE \$87.50, EXP. 8/20	N/A			None (or less than \$201)
33.44	BLACKROCK INC (BLK), OPEN POSITION FOR WRITTEN CALL OPTION: 500 SHARES, STRIKE \$540.00, EXP. 9/2020	N/A			None (or less than \$201)
33.45	CARLYLE GROUP INC (CG), OPEN POSITION FOR WRITTEN CALL OPTION: 8,000 SHARES, STRIKE \$30.00, EXP. 6/2020	N/A			None (or less than \$201)
33.46	CHEVRON CORP (CVX), OPEN POSITION FOR WRITTEN CALL OPTION: 1,500 SHARES, STRIKE \$115.00, EXP. 9/2020	N/A			None (or less than \$201)
33.47	CHURCH & DWIGHT CO INC (CHD), OPEN POSITION FOR WRITTEN CALL OPTION: 2,000 SHARES, STRIKE \$70.00, EXP. 7/2020	N/A			None (or less than \$201)
33.48	CVS HEALTH CORP COM (CVS), OPEN POSITION FOR WRITTEN CALL OPTION: 3,000 SHARES, STRIKE \$67.50, EXP. 8/2020	N/A			None (or less than \$201)
33.49	DISCOVER FINCL SVCS (DFS), OPEN POSITION FOR WRITTEN CALL OPTION: 4,000 SHARES, STRIKE \$42.50, EXP. 10/2020	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.50	DOW INC (DOW), OPEN POSITION FOR WRITTEN CALL OPTION: 4,000 SHARES, STRIKE \$52.50, EXP. 6/2020	N/A			None (or less than \$201)
33.51	ELI LILLY & CO (LLY), OPEN POSITION FOR WRITTEN CALL OPTION: 1,400 SHARES, STRIKE \$130.00, EXP. 9/2020	N/A			None (or less than \$201)
33.52	EXELON CORP (EXC), OPEN POSITION FOR WRITTEN CALL OPTION: 5,500 SHARES, STRIKE \$45.00, EXP. 1/2021	N/A			None (or less than \$201)
33.53	FOX CORP CL A (FOXA), OPEN POSITION FOR WRITTEN CALL OPTION: 5,000 SHARES, STRIKE \$39.00, EXP. 7/2020	N/A			None (or less than \$201)
33.54	GENERAL ELECTRIC CO (GE), OPEN POSITION FOR WRITTEN CALL OPTION: 10,000 SHARES, STRIKE \$13.00, EXP. 8/2020	N/A			None (or less than \$201)
33.55	INTEL CORP (INTC), OPEN POSITION FOR WRITTEN CALL OPTION: 4,000 SHARES, STRIKE \$55.00, EXP. 6/2020	N/A			None (or less than \$201)
33.56	INTL BUSINESS MACHINES CORP (IBM), OPEN POSITION FOR WRITTEN CALL OPTION: 1,500 SHARES, STRIKE \$135.00, EXP. 6/2020	N/A			None (or less than \$201)
33.57	JOHNSON & JOHNSON (JNJ), OPEN POSITION FOR WRITTEN CALL OPTION: 1,400 SHARES, STRIKE \$150.00, EXP. 6/2020	N/A			None (or less than \$201)
33.58	JPMORGAN CHASE & CO (JPM), OPEN POSITION FOR WRITTEN CALL OPTION: 1,500 SHARES, STRIKE \$100.00, EXP. 1/2021	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.59	LAS VEGAS SANDS CORPORATION (LVS), OPEN POSITION FOR WRITTEN CALL OPTION: 2,500 SHARES, STRIKE \$60.00, EXP. 9/2020	N/A			None (or less than \$201)
33.60	LINCOLN NTL CORP IND (LNC), OPEN POSITION FOR WRITTEN CALL OPTION: 3,000 SHARES, STRIKE \$60.00, EXP. 7/2020	N/A			None (or less than \$201)
33.61	LOWES COMPANIES INC (LOW), OPEN POSITION FOR WRITTEN CALL OPTION: 2,000 SHARES, STRIKE \$75.00, EXP. 10/2020	N/A			None (or less than \$201)
33.62	MC DONALDS CORP (MCD), OPEN POSITION FOR WRITTEN CALL OPTION: 400 SHARES, STRIKE \$220.00, EXP. 6/2020	N/A			None (or less than \$201)
33.63	MOTOROLA SOLUTIONS INC (MSI), OPEN POSITION FOR WRITTEN CALL OPTION: 1,300 SHARES, STRIKE \$170.00, EXP. 7/2020	N/A			None (or less than \$201)
33.64	PENN NATIONAL GAMING (PENN), OPEN POSITION FOR WRITTEN CALL OPTION: 5,000 SHARES, STRIKE \$24.00, EXP. 10/2020	N/A			None (or less than \$201)
33.65	PENSKE AUTO GP INC (PAG), OPEN POSITION FOR WRITTEN CALL OPTION: 4,000 SHARES, STRIKE \$50.00, EXP. 8/2020	N/A			None (or less than \$201)
33.66	PFIZER INC (PFE), OPEN POSITION FOR WRITTEN CALL OPTION: 4,500 SHARES, STRIKE \$38.00, EXP. 6/2020	N/A			None (or less than \$201)
33.67	SPDR S&P 500 ETF TRUST (SPY), OPEN POSITION FOR WRITTEN CALL OPTION: 600 SHARES, STRIKE \$290.00, EXP. 9/2020	N/A			None (or less than \$201)
33.68	UBER TECHNOLOGIES INC (UBER), OPEN POSITION FOR WRITTEN CALL OPTION: 5,000 SHARES, STRIKE \$40.00, EXP. 6/2020	N/A			None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.69	VALERO ENERGY CP DELA NEW (VLO), OPEN POSITION FOR WRITTEN CALL OPTION: 1,200 SHARES, STRIKE \$90.00, EXP. 6/2020	N/A			None (or less than \$201)
33.70	VANGUARD FTSE EMERGING MARKETS (VWO), OPEN POSITION FOR WRITTEN CALL OPTION: 5,000 SHARES, STRIKE \$36.00, EXP. 9/2020	N/A			None (or less than \$201)
33.71	VIACOMCBS INC CL B (VIAC), OPEN POSITION FOR WRITTEN CALL OPTION: 9,000 SHARES, STRIKE \$20.00, EXP. 9/2020	N/A			None (or less than \$201)
33.72	WALT DISNEY CO HLDG CO (DIS), OPEN POSITION FOR WRITTEN CALL OPTION: 1,500 SHARES, STRIKE \$145.00, EXP. 7/2020	N/A			None (or less than \$201)
33.73	ALTRIA GROUP INC (MO)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
33.74	AMERICAN AIRLS GROUP INC (AAL)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
33.75	AMERICAN WATER WORKS CO (AWK)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
33.76	ESSENTIAL UTILITIES INC. (WTR)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
33.77	ATMOS ENERGY CP (ATO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
33.78	ARCH CAPITAL GROUP LTD (ACGL)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
33.79	BECTON DICKINSON & CO (BDX)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.80	CBOE GLOBAL MARKETS INC (CBOE)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.81	CLOROX CO (CLX)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
33.82	DOLLAR GEN CORP NEW COM (DG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
33.83	EMERSON ELECTRIC CO (EMR)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.84	EMPIRE ST RLTY TR INC CL A (ESRT)	Yes	None (or less than \$1,001)		\$201 - \$1,000
33.85	EQUITY LIFESTYLE PROPERTIES (ELS)	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
33.86	EQUITY COMWLTH COM SH BEN INT (EQC)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
33.87	FLOWERS FOODS INC (FLO)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
33.88	GENPACT LTD (G)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.89	HASBRO INC (HAS)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
33.90	HAWAIIAN ELECTRIC IND (HE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
33.91	HERSHEY COMPANY (HSY)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
33.92	IAC INTERACTIVECORP COM (IAC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
33.93	INTL FLAVORS & FRAGRANCES (IFF)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
33.94	KEURIG DR PEPPER INC (KDP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.95	IQVIA HOLDINGS INC (IQV)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
33.96	L3HARRIS TECHNOLOGIES INC (LHX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
33.97	LIFE STORAGE INC (LSI)	Yes	None (or less than \$1,001)		\$201 - \$1,000
33.98	LULULEMON ATHLETICA INC (LULU)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
33.99	MADISON SQUARE GARDEN CL A (MSGS)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.100	NXP SEMICONDUCTORS NV (NXPI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
33.101	PHILIP MORRIS INTL INC (PM)	N/A	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
33.102	RENAISSANCE RE HOLDINGS LTD (RNR)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
33.103	ROYAL GOLD INC (RGLD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.104	SUN COMMUNITIES INC (SUI)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
33.105	SYSCO CORP (SYY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
33.106	ZOETIS INC CLASS-A (ZTS)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
33.107	AMERICAN AIRLIS GROUP INC (AAL), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.108	ABBOTT LABORATORIES (ABT), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
33.109	CHURCH & DWIGHT CO INC (CHD), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.110	CHEVRON CORP (CVX), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.111	WALT DISNEY CO HLDG CO (DIS), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.112	DOW INC (DOW), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
33.113	FOX CORP CL A (FOXA), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.114	INTL BUSINESS MACHINES CORP (IBM), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
33.115	JOHNSON & JOHNSON (JNJ), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
33.116	MC DONALDS CORP (MCD), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
33.117	MOTOROLA SOLUTIONS INC (MSI), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.118	PENN NATIONAL GAMING (PENN), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.119	PFIZER INC (PFE), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33.120	EXELON CORP (EXC), CLOSED POSITION FOR WRITTEN CALL OPTION	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
34	U.S. Brokerage Account #2 Institution #1	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34.1	Apple Inc Sr Fixed Rate Note	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
34.2	Procter & Gamble Co Fixed Rate Senior Note	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	BB&T	Secured Term Loan	\$5,000,001 - \$25,000,000	2019	3.17% Fixed	15 Years
2	BB&T	Personal Loan	\$500,001 - \$1,000,000	2018	4.4% Fixed	15 Years
3	Warburg Pincus XII Fund	Private Equity Fund Capital Commitment	\$100,001 - \$250,000	2015	N/A	On Demand
4	Warburg Pincus Global Growth Fund	Private Equity Fund Capital Commitment	\$5,000,001 - \$25,000,000	2019	N/A	On Demand
5	Morgan Stanley Bank	Margin Loan	\$500,001 - \$1,000,000	2020	2.75%	Revolving

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	4	I intend to resign from this position and transfer my interests in this entity to my adult children. I will continue to abide by recusal commitments until I have fully resolved all conflicts of interest.
1.	25	I intend to resign from this position and transfer my interests in this entity to my adult children. I will continue to abide by recusal commitments until I have fully resolved all conflicts of interest.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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