Periodic Transaction Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 (Updated Nov. 2019)

Executive Branch Personnel Public Financial Disclosure Report: Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge. /s/ DeJoy, Louis [electronically signed on 09/01/2020 by DeJoy, Louis in Integrity.gov] - Filer received a 31 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 09/08/2020 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by /s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 09/02/2020 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 11/18/2020 by Granahan, Megan in Integrity.gov]

Data Revised 10/02/2020

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	LOWES COMPANIES INC (LOW), OPENED WRITTEN CALL OPTION POSITION		07/20/2020	No	\$1,001 - \$15,000
2	VISHAY INTERTECHNOLOGY INC (VSH)	Sale	07/21/2020	No	\$1,001 - \$15,000
3	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	07/21/2020	No	\$1,001 - \$15,000
4	APTARGROUP INC (ATR)	Sale	07/21/2020	No	\$1,001 - \$15,000
5	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	07/21/2020	No	\$1,001 - \$15,000
6	BARRICK GOLD CORP (GOLD)	Purchase	07/22/2020	No	\$100,001 - \$250,000
7	PROLOGIS INC COM (PLD)	Purchase	07/22/2020	No	\$15,001 - \$50,000
8	BROADCOM INC (AVGO)	Purchase	07/22/2020	No	\$1,001 - \$15,000
9	PROLOGIS INC COM (PLD)	Purchase	07/22/2020	No	\$1,001 - \$15,000
10	CBRE GROUP INC CL A (CBRE)	Purchase	07/22/2020	No	\$1,001 - \$15,000
11	NORTHERN TRUST CORP (NTRS)	Purchase	07/22/2020	No	\$1,001 - \$15,000
12	CAL MAINE FOODS INC (CALM)	Purchase	07/22/2020	No	\$1,001 - \$15,000
13	SANDERSON FARMS (SAFM)	Purchase	07/22/2020	No	\$1,001 - \$15,000
14	SCHNEIDER ELEC SA UNSP ADR (SBGSY)	Sale	07/22/2020	No	\$1,001 - \$15,000
15	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	07/22/2020	No	\$1,001 - \$15,000
16	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	07/22/2020	No	\$1,001 - \$15,000
17	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	07/22/2020	No	\$1,001 - \$15,000
18	MOTOROLA SOLUTIONS INC (MSI), OPENED WRITTEN CALL OPTION POSITION		07/22/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
19	WALT DISNEY CO HLDG CO (DIS), OPENED WRITTEN CALL OPTION POSITION		07/22/2020	No	\$1,001 - \$15,000
20	BARRICK GOLD CORP (GOLD), OPENED WRITTEN CALL OPTION POSITION		07/22/2020	No	\$15,001 - \$50,000
21	CHURCH & DWIGHT CO INC (CHD)	Sale	07/22/2020	No	\$15,001 - \$50,000
22	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	07/22/2020	No	\$15,001 - \$50,000
23	VALERO ENERGY CP DELA NEW (VLO)	Sale	07/22/2020	No	\$50,001 - \$100,000
24	SEAGATE TECHNOLOGY PLC (STX)	Purchase	07/23/2020	No	\$100,001 - \$250,000
25	WELLS FARGO & CO 4.75% SER-Z (WFC.Z)	Purchase	07/23/2020	No	\$1,001 - \$15,000
26	WELLS FARGO & CO 4.75% SER-Z (WFC.Z)	Purchase	07/23/2020	No	\$1,001 - \$15,000
27	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	07/23/2020	No	\$1,001 - \$15,000
28	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	07/23/2020	No	\$1,001 - \$15,000
29	SEAGATE TECHNOLOGY PLC (STX), OPENED WRITTEN CALL OPTION POSITION		07/23/2020	No	\$1,001 - \$15,000
30	CAL MAINE FOODS INC (CALM)	Purchase	07/24/2020	No	\$1,001 - \$15,000
31	1LIFE HEALTHCARE INC (ONEM)	Purchase	07/27/2020	No	\$100,001 - \$250,000
32	WELLS FARGO & CO 4.75% SER-Z (WFC.Z)	Purchase	07/27/2020	No	\$1,001 - \$15,000
33	1LIFE HEALTHCARE INC (ONEM), OPENED WRITTEN CALL OPTION POSITION		07/27/2020	No	\$15,001 - \$50,000
34	ABM INDUSTRIES INCORPORATED (ABM)	Purchase	07/28/2020	No	\$1,001 - \$15,000
35	DANA INCORPORATED (DAN)	Sale	07/28/2020	No	\$1,001 - \$15,000
36	TRAVELERS COMPANIES INC COM (TRV)	Purchase	07/29/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
37	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	07/30/2020	No	\$1,001 - \$15,000
38	WESCO INTL INC DP SH FXRT PFD (WCC.A)	Purchase	07/30/2020	No	\$1,001 - \$15,000
39	WESCO INTL INC DP SH FXRT PFD (WCC.A)	Purchase	07/30/2020	No	\$1,001 - \$15,000
40	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	07/30/2020	No	\$1,001 - \$15,000
41	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		07/31/2020	No	\$15,001 - \$50,000
42	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		07/31/2020	No	\$15,001 - \$50,000
43	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		07/31/2020	No	\$15,001 - \$50,000
44	FIFTH THIRD BANCORP 6.625%-I (FITBI)	Sale	07/31/2020	No	\$1,001 - \$15,000
45	FIFTH THIRD BANCORP 6.625%-I (FITBI)	Sale	07/31/2020	No	\$15,001 - \$50,000
46	TRUIST FINCL CORP 4.75% SER-R (TSTFL)	Purchase	08/03/2020	No	\$15,001 - \$50,000
47	TRUIST FINCL CORP 4.75% SER-R (TSTFL)	Purchase	08/03/2020	No	\$15,001 - \$50,000
48	INTEGER HOLDINGS CORP (ITGR)	Purchase	08/03/2020	No	\$1,001 - \$15,000
49	LEGG MASON INC EXCHANGE FOR CASH	Exchange	08/03/2020	No	\$1,001 - \$15,000
50	TERADYNE INC (TER)	Sale	08/03/2020	No	\$1,001 - \$15,000
51	BAXTER INTL INC (BAX)	Purchase	08/04/2020	No	\$1,001 - \$15,000
52	EVEREST RE GROUP LTD (RE)	Sale	08/04/2020	No	\$1,001 - \$15,000
53	AMERICAN WATER WORKS CO (AWK)	Sale	08/04/2020	No	\$1,001 - \$15,000
54	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	08/04/2020	No	\$1,001 - \$15,000
55	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	08/04/2020	No	\$1,001 - \$15,000
56	SANDERSON FARMS (SAFM)	Purchase	08/05/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
57	EPIROC AKTIEBOLAG ADR (EPOKY)	Sale	08/05/2020	No	\$1,001 - \$15,000
58	DENTSPLY SIRONA INC (XRAY)	Purchase	08/06/2020	No	\$1,001 - \$15,000
59	CHUBB LTD (CB)	Purchase	08/06/2020	No	\$1,001 - \$15,000
60	MUELLER WATER PROD INC SER A (MWA)	Sale	08/06/2020	No	\$1,001 - \$15,000
61	ASPEN INSURANCE HOLDINGS 5.625 (AHL.E)	Purchase	08/07/2020	No	\$1,001 - \$15,000
62	ASPEN INSURANCE HOLDINGS 5.625 (AHL.E)	Purchase	08/07/2020	No	\$1,001 - \$15,000
63	URSTADT BIDDLE PPTYS INC SER H (UBP.H)	Sale	08/07/2020	No	\$1,001 - \$15,000
64	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	08/07/2020	No	\$1,001 - \$15,000
65	DIAGEO PLC SPON ADR NEW (DEO)	Purchase	08/10/2020	No	\$1,001 - \$15,000
66	HARSCO CORP (HSC)	Purchase	08/10/2020	No	\$1,001 - \$15,000
67	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	08/10/2020	No	\$1,001 - \$15,000
68	CERENCE INC (CRNC)	Sale	08/10/2020	No	\$1,001 - \$15,000
69	BROOKS-AUTOMATION INC (BRKS)	Sale	08/10/2020	No	\$1,001 - \$15,000
70	PARTNERRE LTD 7.25% RED SER H (PRE.H)	Sale	08/10/2020	No	\$1,001 - \$15,000
71	HARSCO CORP (HSC)	Purchase	08/11/2020	No	\$1,001 - \$15,000
72	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	08/11/2020	No	\$1,001 - \$15,000
73	XPO LOGISTICS INC PREFERENTIAL RATE (XPO)	Sale	08/11/2020	No	\$500,001 - \$1,000,000
74	EATON CORP PLC SHS (ETN)	Purchase	08/12/2020	No	\$15,001 - \$50,000
75	EATON CORP PLC SHS (ETN)	Purchase	08/12/2020	No	\$1,001 - \$15,000
76	ABBVIE INC COM (ABBV)	Sale	08/12/2020	No	\$1,001 - \$15,000
77	BANK OF AMERICA CORP 6.2%-CC (BAC.C)	Sale	08/12/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
78	ABBVIE INC COM (ABBV)	Sale	08/12/2020	No	\$1,001 - \$15,000
79	ABBVIE INC COM (ABBV)	Sale	08/12/2020	No	\$15,001 - \$50,000
80	XPO LOGISTICS INC PREFERENTIAL RATE (XPO)	Sale	08/12/2020	No	\$500,001 - \$1,000,000
81	TRUIST FINCL CORP 4.75% SER-R (TFC.R)	Purchase	08/13/2020	No	\$1,001 - \$15,000
82	WILLSCOT MOBIL MINI HOLDN CL A (WSC)	Purchase	08/13/2020	No	\$1,001 - \$15,000
83	TRUIST FINCL CORP 4.75% SER-R (TFC.R)	Purchase	08/13/2020	No	\$1,001 - \$15,000
84	URSTADT BIDDLE PPTYS INC SER H (UBP.H)	Sale	08/14/2020	No	\$1,001 - \$15,000
85	EATON CORP PLC SHS (ETN)	Purchase	08/18/2020	No	\$15,001 - \$50,000
86	MERCK & CO INC NEW COM (MRK)	Purchase	08/18/2020	No	\$15,001 - \$50,000
87	GILEAD SCIENCE (GILD)	Purchase	08/18/2020	No	\$15,001 - \$50,000
88	MERCK & CO INC NEW COM (MRK)	Purchase	08/18/2020	No	\$1,001 - \$15,000
89	GILEAD SCIENCE (GILD)	Purchase	08/18/2020	No	\$1,001 - \$15,000
90	EATON CORP PLC SHS (ETN)	Purchase	08/18/2020	No	\$1,001 - \$15,000
91	TRUIST FINCL CORP 4.75% SER-R (TFC.R)	Purchase	08/18/2020	No	\$1,001 - \$15,000
92	TRUIST FINCL CORP 4.75% SER-R (TFC.R)	Purchase	08/18/2020	No	\$1,001 - \$15,000
93	HEALTHCARE REALTY TRUST INCORP (HR)	Purchase	08/18/2020	No	\$1,001 - \$15,000
94	BP PLC ADS (BP)	Sale	08/18/2020	No	\$1,001 - \$15,000
95	HONEYWELL INTERNATIONAL INC (HON)	Sale	08/18/2020	No	\$1,001 - \$15,000
96	HONEYWELL INTERNATIONAL INC (HON)	Sale	08/18/2020	No	\$50,001 - \$100,000
97	BP PLC ADS (BP)	Sale	08/18/2020	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
98	PRUDENTIAL FINANCIAL INC RATE:N/A/BBB+ 4.125% DUE:2060-09-01 (HGTYZ)	Purchase	08/20/2020	No	\$15,001 - \$50,000
99	PRUDENTIAL FINANCIAL INC RATE:N/A/BBB+ 4.125% DUE:2060-09-01 (HGTYZ)	Purchase	08/20/2020	No	\$15,001 - \$50,000
100	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	08/20/2020	No	\$1,001 - \$15,000
101	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	08/20/2020	No	\$1,001 - \$15,000
102	CAL MAINE FOODS INC (CALM)	Purchase	08/20/2020	No	\$1,001 - \$15,000
103	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	08/21/2020	No	\$1,001 - \$15,000
104	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	08/21/2020	No	\$1,001 - \$15,000
105	BECTON DICKINSON & CO DEP REPSTG PFD B RATE:N/A/N/A 6.000% DUE:2023-06-01 (BDXB)	Purchase	08/21/2020	No	\$1,001 - \$15,000
106	LINDSAY MFG CO (LNN)	Sale	08/21/2020	No	\$1,001 - \$15,000
107	AARON'S INCORPORATED (AAN)	Purchase	08/24/2020	No	\$1,001 - \$15,000
108	AMERICAN EQUITY 6.625%-B (AEL.B)	Purchase	08/24/2020	No	\$1,001 - \$15,000
109	CVS CORPORATION (CVS), OPENED WRITTEN CALL OPTION POSITION		08/24/2020	No	\$1,001 - \$15,000
110	PENSKE AUTO GP INC (PAG), OPENED WRITTEN CALL OPTION POSITION		08/24/2020	No	\$15,001 - \$50,000
111	GILEAD SCIENCE (GILD)	Purchase	08/25/2020	No	\$15,001 - \$50,000
112	BRITISH AMER TOB SPON ADR (BTI)	Purchase	08/25/2020	No	\$15,001 - \$50,000
113	EATON CORP PLC SHS (ETN)	Purchase	08/25/2020	No	\$15,001 - \$50,000
114	BRITISH AMER TOB SPON ADR (BTI)	Purchase	08/25/2020	No	\$1,001 - \$15,000
115	GILEAD SCIENCE (GILD)	Purchase	08/25/2020	No	\$1,001 - \$15,000
116	EATON CORP PLC SHS (ETN)	Purchase	08/25/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
117	CAL MAINE FOODS INC (CALM)	Purchase	08/25/2020	No	\$1,001 - \$15,000
118	VANGUARD FTSE EMERGING MARKETS (VWO), OPENED WRITTEN CALL OPTION POSITION		08/25/2020	No	\$1,001 - \$15,000
119	BP PLC ADS (BP)	Sale	08/25/2020	No	\$1,001 - \$15,000
120	BP PLC ADS (BP)	Sale	08/25/2020	No	\$50,001 - \$100,000
121	QUALCOMM INC (QCOM)	Purchase	08/26/2020	No	\$1,001 - \$15,000
122	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	08/26/2020	No	\$1,001 - \$15,000
123	JOHNSON & JOHNSON (JNJ)	Sale	08/26/2020	No	\$1,001 - \$15,000
124	MAXIM INTEGRATED PRODUCTS INC (MXIM)	Sale	08/26/2020	No	\$15,001 - \$50,000
125	JOHNSON & JOHNSON (JNJ)	Sale	08/26/2020	No	\$50,001 - \$100,000
126	QUALCOMM INC (QCOM)	Purchase	08/27/2020	No	\$50,001 - \$100,000
127	WESBANCO INC 6.75% SER-A (WSBCP)	Purchase	08/27/2020	No	\$1,001 - \$15,000
128	WESBANCO INC 6.75% SER-A (WSBCP)	Purchase	08/27/2020	No	\$1,001 - \$15,000
129	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	08/27/2020	No	\$1,001 - \$15,000
130	ESSENTIAL UTILS INC RATE:N/A/N/A 6.000% DUE:2022-04-30 (WTRU)	Sale	08/27/2020	No	\$1,001 - \$15,000
131	AMER EQ INV HLDG CO 5.95%-A (AEL.A)	Sale	08/27/2020	No	\$15,001 - \$50,000
132	HOME DEPOT INC (HD)	Sale	08/27/2020	No	\$15,001 - \$50,000
133	XPO LOGISTICS INC PREFERENTIAL RATE (XPO)	Sale	08/27/2020	No	\$500,001 - \$1,000,000
134	CALIF WATER SVC GP DEL (CWT)	Purchase	08/28/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
135	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	08/28/2020	No	\$1,001 - \$15,000
136	APTARGROUP INC (ATR)	Sale	08/28/2020	No	\$1,001 - \$15,000
137	DIAGEO PLC SPON ADR NEW (DEO)	Purchase	08/31/2020	No	\$1,001 - \$15,000
138	DENTSPLY SIRONA INC (XRAY)	Sale	08/31/2020	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U.S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person.

department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.