

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 11/02/2020 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 11/19/2020 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 11/03/2020 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 12/22/2020 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	10/01/2020	No	\$1,001 - \$15,000
2	JPMORGAN CHASE & CO 6.15% BB (JPM.H)	Sale	10/01/2020	No	\$1,001 - \$15,000
3	GENERAL MILLS INC (GIS)	Purchase	10/02/2020	No	\$50,001 - \$100,000
4	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	10/02/2020	No	\$1,001 - \$15,000
5	DUKE ENERGY CORP 5.625% RATE:BAA2/BBB 5.625% DUE:2078-09-15 (DUKB)	Sale	10/02/2020	No	\$1,001 - \$15,000
6	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	10/02/2020	No	\$1,001 - \$15,000
7	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	10/02/2020	No	\$1,001 - \$15,000
8	DUKE ENERGY CORP 5.625% RATE:BAA2/BBB 5.625% DUE:2078-09-15 (DUKB)	Sale	10/02/2020	No	\$1,001 - \$15,000
9	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	10/02/2020	No	\$1,001 - \$15,000
10	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	10/02/2020	No	\$1,001 - \$15,000
11	CHARLES SCHWAB CORP 5.95%-D (SCHW.D)	Sale	10/02/2020	No	\$1,001 - \$15,000
12	CDN IMPL BK COMMERCE (CM)	Sale	10/02/2020	No	\$1,001 - \$15,000
13	PHILIP MORRIS INTL INC (PM)	Sale	10/02/2020	No	\$1,001 - \$15,000
14	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	10/05/2020	No	\$1,001 - \$15,000
15	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	10/05/2020	No	\$1,001 - \$15,000
16	XPO LOGISTICS INC (XPO)	Sale	10/05/2020	No	\$500,001 - \$1,000,000
17	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	10/06/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	10/06/2020	No	\$1,001 - \$15,000
19	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	10/07/2020	No	\$1,001 - \$15,000
20	NEW YORK CMNTY BC INC SER A (NYCB.A)	Sale	10/07/2020	No	\$1,001 - \$15,000
21	GILEAD SCIENCE (GILD)	Purchase	10/08/2020	No	\$50,001 - \$100,000
22	AMERICAN ELEC PWR CO INC (AEP)	Purchase	10/08/2020	No	\$1,001 - \$15,000
23	BRISTOL MYERS SQUIBB CO (BMY)	Purchase	10/08/2020	No	\$1,001 - \$15,000
24	EATON CORP PLC SHS (ETN)	Purchase	10/08/2020	No	\$1,001 - \$15,000
25	JPMORGAN CHASE & CO (JPM)	Sale	10/08/2020	No	\$50,001 - \$100,000
26	DISCOVER FINCL SVCS (DFS)	Sale	10/08/2020	No	\$50,001 - \$100,000
27	QUALCOMM INC (QCOM)	Purchase	10/13/2020	No	\$50,001 - \$100,000
28	JOHNSON & JOHNSON (JNJ)	Sale	10/13/2020	No	\$15,001 - \$50,000
29	CISCO SYS INC (CSCO)	Sale	10/13/2020	No	\$15,001 - \$50,000
30	BROOKFIELD FIN INC 4.625% SER-50 RATE:BAA3/BBB 4.625% DUE:2080-10-16 (HDTDZ)	Purchase	10/14/2020	No	\$15,001 - \$50,000
31	BROOKFIELD FIN INC 4.625% SER-50 RATE:BAA3/BBB 4.625% DUE:2080-10-16 (HDTDZ)	Purchase	10/14/2020	No	\$1,001 - \$15,000
32	QWEST CORP 6.5% RATE:BA2/BBB- 6.500% DUE:2056-09-01 (CTBB)	Purchase	10/14/2020	No	\$1,001 - \$15,000
33	QWEST CORP 6.5% RATE:BA2/BBB- 6.500% DUE:2056-09-01 (CTBB)	Purchase	10/14/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
34	AMERICAN ELEC PWR CO INC (AEP)	Purchase	10/14/2020	No	\$1,001 - \$15,000
35	XPO LOGISTICS INC (XPO)	Sale	10/14/2020	No	\$500,001 - \$1,000,000
36	BROOKFIELD FIN INC 4.625% SER-50 RATE:BAA3/BBB 4.625% DUE:2080-10-16 (HDTDZ)	Purchase	10/15/2020	No	\$1,001 - \$15,000
37	AMERICAN ELEC PWR CO INC (AEP)	Purchase	10/15/2020	No	\$1,001 - \$15,000
38	QWEST CORP 6.5% RATE:BA2/BBB- 6.500% DUE:2056-09-01 (CTBB)	Purchase	10/15/2020	No	\$1,001 - \$15,000
39	BROOKFIELD FIN INC 4.625% SER-50 RATE:BAA3/BBB 4.625% DUE:2080-10-16 (HDTDZ)	Purchase	10/15/2020	No	\$1,001 - \$15,000
40	QWEST CORP 6.5% RATE:BA2/BBB- 6.500% DUE:2056-09-01 (CTBB)	Purchase	10/15/2020	No	\$1,001 - \$15,000
41	XPO LOGISTICS INC (XPO)	Sale	10/15/2020	No	\$500,001 - \$1,000,000
42	DISCOVER FINCL SVCS (DFS), CLOSED WRITTEN CALL OPTION POSITION		10/16/2020	No	\$15,001 - \$50,000
43	DISCOVER FINCL SVCS (DFS), OPENED WRITTEN CALL OPTION POSITION		10/16/2020	No	\$15,001 - \$50,000
44	XPO LOGISTICS INC (XPO)	Sale	10/19/2020	No	\$250,001 - \$500,000
45	XPO LOGISTICS INC (XPO)	Sale	10/19/2020	No	\$1,000,001 - \$5,000,000
46	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/20/2020	No	\$100,001 - \$250,000
47	PUBLIC STORAGE 4.625%-L (PSA.L)	Sale	10/20/2020	No	\$1,001 - \$15,000
48	PUBLIC STORAGE 4.625%-L (PSA.L)	Sale	10/20/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
49	PRUDENTIAL FINANCIAL INC 5.625% RATE:BAA1/BBB+ 5.625% DUE:2058-08-15 (PRS)	Sale	10/20/2020	No	\$1,001 - \$15,000
50	PUBLIC STORAGE 4.625%-L (PSA.L)	Sale	10/20/2020	No	\$1,001 - \$15,000
51	PRUDENTIAL FINANCIAL INC 5.625% RATE:BAA1/BBB+ 5.625% DUE:2058-08-15 (PRS)	Sale	10/20/2020	No	\$1,001 - \$15,000
52	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$250,001 - \$500,000
53	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$250,001 - \$500,000
54	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$250,001 - \$500,000
55	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$250,001 - \$500,000
56	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$250,001 - \$500,000
57	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$1,000,001 - \$5,000,000
58	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$1,000,001 - \$5,000,000
59	XPO LOGISTICS INC (XPO)	Sale	10/20/2020	No	\$1,000,001 - \$5,000,000
60	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/21/2020	No	\$100,001 - \$250,000
61	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/21/2020	No	\$100,001 - \$250,000
62	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/21/2020	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
63	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/22/2020	No	\$100,001 - \$250,000
64	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/22/2020	No	\$100,001 - \$250,000
65	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/22/2020	No	\$100,001 - \$250,000
66	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/22/2020	No	\$100,001 - \$250,000
67	RAYTHEON TECHNOLOGIES CORP (RTX)	Purchase	10/22/2020	No	\$100,001 - \$250,000
68	GILEAD SCIENCE (GILD)	Purchase	10/22/2020	No	\$15,001 - \$50,000
69	MERCK & CO INC NEW COM (MRK)	Purchase	10/22/2020	No	\$15,001 - \$50,000
70	U.S. BANCORP 3.75% SER-L (UBKPL)	Purchase	10/22/2020	No	\$1,001 - \$15,000
71	U.S. BANCORP 3.75% SER-L (UBKPL)	Purchase	10/22/2020	No	\$1,001 - \$15,000
72	VODAFONE GROUP PLC (VOD)	Purchase	10/22/2020	No	\$1,001 - \$15,000
73	RAYTHEON TECHNOLOGIES CO (RTX), OPENED WRITTEN CALL OPTION POSITION		10/22/2020	No	\$1,001 - \$15,000
74	PUBLIC STORAGE 4.625%-L (PSA.L)	Sale	10/22/2020	No	\$1,001 - \$15,000
75	GLAXOSMITHKLINE PLC ADR (GSK)	Sale	10/22/2020	No	\$50,001 - \$100,000
76	XPO LOGISTICS INC (XPO)	Sale	10/22/2020	No	\$500,001 - \$1,000,000
77	XPO LOGISTICS INC (XPO)	Sale	10/22/2020	No	\$1,000,001 - \$5,000,000
78	WELLS FARGO & CO 4.70% SER-AA (WFCCL)	Purchase	10/23/2020	No	\$1,001 - \$15,000
79	WELLS FARGO & CO 4.70% SER-AA (WFCCL)	Purchase	10/23/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
80	KEYCORP 6.125% SER-E (KEY.I)	Sale	10/23/2020	No	\$1,001 - \$15,000
81	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	10/23/2020	No	\$1,001 - \$15,000
82	KEYCORP 6.125% SER-E (KEY.I)	Sale	10/23/2020	No	\$1,001 - \$15,000
83	GLAXOSMITHKLINE PLC ADR (GSK)	Sale	10/23/2020	No	\$15,001 - \$50,000
84	XPO LOGISTICS INC (XPO)	Sale	10/23/2020	No	\$250,001 - \$500,000
85	WELLS FARGO & CO 4.70% SER-AA (WFCCL)	Purchase	10/26/2020	No	\$1,001 - \$15,000
86	WELLS FARGO & CO 4.70% SER-AA (WFCCL)	Purchase	10/26/2020	No	\$1,001 - \$15,000
87	KEYCORP 6.125% SER-E (KEY.I)	Sale	10/26/2020	No	\$1,001 - \$15,000
88	KEYCORP 6.125% SER-E (KEY.I)	Sale	10/26/2020	No	\$1,001 - \$15,000
89	PFIZER INC (PFE)	Sale	10/26/2020	No	\$1,001 - \$15,000
90	VERIZON COMMUNICATIONS (VZ)	Sale	10/26/2020	No	\$15,001 - \$50,000
91	AT&T INC (T)	Sale	10/26/2020	No	\$15,001 - \$50,000
92	XPO LOGISTICS INC (XPO)	Sale	10/26/2020	No	\$250,001 - \$500,000
93	XPO LOGISTICS INC (XPO)	Sale	10/26/2020	No	\$1,000,001 - \$5,000,000
94	XPO LOGISTICS INC (XPO)	Sale	10/27/2020	No	\$1,000,001 - \$5,000,000
95	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/28/2020	No	\$50,001 - \$100,000
96	XPO LOGISTICS INC (XPO)	Sale	10/28/2020	No	\$1,000,001 - \$5,000,000
97	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		10/29/2020	No	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
98	BANK OF AMERICA COR 4.375%-NN (BACPL)	Purchase	10/29/2020	No	\$15,001 - \$50,000
99	BANK OF AMERICA COR 4.375%-NN (BACPL)	Purchase	10/29/2020	No	\$15,001 - \$50,000
100	XPO LOGISTICS INC (XPO)	Sale	10/29/2020	No	\$500,001 - \$1,000,000
101	BANK OF AMERICA COR 4.375%-NN (BACPL)	Purchase	10/30/2020	No	\$15,001 - \$50,000
102	AMERICAN HMS 4 RENT PFD D 6.5% (AMH.D)	Purchase	10/30/2020	No	\$1,001 - \$15,000
103	BANK OF AMERICA COR 4.375%-NN (BACPL)	Purchase	10/30/2020	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant

to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
