

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

DeJoy, Louis

Postmaster General, U.S. Postal Service

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ DeJoy, Louis [electronically signed on 12/03/2020 by DeJoy, Louis in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Bonanno, Natalie A, Certifying Official [electronically signed on 12/09/2020 by Bonanno, Natalie A in Integrity.gov]

Other review conducted by

/s/ Brewster-Johnson, Jessica Y., Ethics Official [electronically signed on 12/07/2020 by Brewster-Johnson, Jessica Y. in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 12/31/2020 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ABBVIE INC COM (ABBV)	Purchase	11/18/2020	No	\$15,001 - \$50,000
2	AGNC INVMNT CO 6.125% SER-F (AGNCP)	Purchase	11/24/2020	No	\$1,001 - \$15,000
3	AGNC INVT CORP CUM 1/1000 7% C (AGNCN)	Purchase	11/24/2020	No	\$1,001 - \$15,000
4	ALGONQUIN POWER & UTILITIES CORP 6.875%-A RATE:N/A/BB+ 6.875% DUE:2078-10-17 (AQNA)	Purchase	11/24/2020	No	\$1,001 - \$15,000
5	AMERICAN ELEC PWR CO INC (AEP)	Purchase	11/02/2020	No	\$15,001 - \$50,000
6	AMERICAN HMS 4 RENT PFD D 6.5% (AMH.D)	Purchase	11/02/2020	No	\$1,001 - \$15,000
7	ASPEN INSURANCE HLDG LTD 5.95% (AHL.C)	Purchase	11/24/2020	No	\$1,001 - \$15,000
8	AT&T INC (T)	Sale	11/06/2020	No	\$250,001 - \$500,000
9	AT&T INC (T)	Sale	11/12/2020	No	\$100,001 - \$250,000
10	AT&T INC 4.750% SER-C (T.C)	Sale	11/06/2020	No	\$15,001 - \$50,000
11	AT&T INC 4.750% SER-C (T.C)	Sale	11/06/2020	No	\$15,001 - \$50,000
12	AXIS CAP HOLDINGS LTD 5.5%-E (AXS.E)	Purchase	11/24/2020	No	\$1,001 - \$15,000
13	BROOKFIELD FIN I UK 4.50% (BKHYZ)	Purchase	11/19/2020	No	\$15,001 - \$50,000
14	BROOKFIELD FIN I UK 4.50% (BKHYZ)	Purchase	11/19/2020	No	\$15,001 - \$50,000
15	CARLYLE GROUP INC (CG), OPENED WRITTEN CALL OPTION POSITION		11/25/2020	No	\$15,001 - \$50,000
16	CHARLES SCHWAB (SCHW), CLOSED WRITTEN CALL OPTION POSITION		11/05/2020	No	\$15,001 - \$50,000
17	CHARLES SCHWAB (SCHW), OPENED WRITTEN CALL OPTION POSITION		11/05/2020	No	\$15,001 - \$50,000
18	CHS INC (CHSCN)	Purchase	11/24/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
19	CITIGROUP CAPT XIII 7.875% FIXED 10/30/15 FLOATS AFTER RATE:BAA3/BB+ 6.584% DUE:2040-10-30 (C.N)	Purchase	11/24/2020	No	\$1,001 - \$15,000
20	CITIGROUP INC 6.875%-K (C.K)	Purchase	11/24/2020	No	\$1,001 - \$15,000
21	CITIGRP INC 7.125%-J (C.J)	Purchase	11/24/2020	No	\$1,001 - \$15,000
22	COCA COLA CO (KO)	Sale	11/18/2020	No	\$50,001 - \$100,000
23	CROWN CASTLE INTL CORP (CCI)	Purchase	11/18/2020	No	\$15,001 - \$50,000
24	CVS CORPORATION (CVS), CLOSED WRITTEN CALL OPTION POSITION		11/09/2020	No	\$1,001 - \$15,000
25	CVS HEALTH CORP COM (CVS)	Sale	11/12/2020	No	\$100,001 - \$250,000
26	DIGITAL RLTY TR INC RED SER C (DLR.C)	Purchase	11/24/2020	No	\$1,001 - \$15,000
27	DISCOVER FINCL SVCS (DFS)	Sale	11/12/2020	No	\$100,001 - \$250,000
28	DISCOVER FINCL SVCS (DFS), CLOSED WRITTEN CALL OPTION POSITION		11/09/2020	No	\$15,001 - \$50,000
29	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	11/23/2020	No	\$1,001 - \$15,000
30	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	11/13/2020	No	\$1,001 - \$15,000
31	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	11/13/2020	No	\$1,001 - \$15,000
32	DUKE ENERGY CORP 5.75%-A (DUK.A)	Sale	11/20/2020	No	\$1,001 - \$15,000
33	ENBRIDGE INC 6.375%-B RATE:BA1/BBB- 6.375% DUE:2078-04-15 (ENBA)	Purchase	11/24/2020	No	\$1,001 - \$15,000
34	GENERAL MILLS INC (GIS)	Purchase	11/18/2020	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
35	GLAXOSMITHKLINE PLC ADR (GSK)	Sale	11/18/2020	No	\$50,001 - \$100,000
36	GOLDMAN SACHS GRP INC 5.50%-J (GS.J)	Purchase	11/24/2020	No	\$1,001 - \$15,000
37	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	11/02/2020	No	\$1,001 - \$15,000
38	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	11/03/2020	No	\$1,001 - \$15,000
39	GOLDMAN SACHS GRP INC 6.375%-K (GS.K)	Sale	11/04/2020	No	\$1,001 - \$15,000
40	HUNTINGTON BANCSHARES 6.25%-D (HBANO)	Purchase	11/24/2020	No	\$1,001 - \$15,000
41	INTL BUSINESS MACHINES CORP (IBM)	Sale	11/12/2020	No	\$100,001 - \$250,000
42	JP MORGAN CHASE&CO (JPM), CLOSED WRITTEN CALL OPTION POSITION		11/09/2020	No	\$15,001 - \$50,000
43	JPMORGAN CHASE & CO (JPM)	Sale	11/06/2020	No	\$250,001 - \$500,000
44	JPMORGAN CHASE & CO (JPM)	Sale	11/12/2020	No	\$100,001 - \$250,000
45	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	11/06/2020	No	\$1,001 - \$15,000
46	JPMORGAN CHASE & CO 5.75-DD (JPM.D)	Sale	11/06/2020	No	\$1,001 - \$15,000
47	JPMORGAN CHASE & CO 6.0% S-EE (JPM.C)	Sale	11/06/2020	No	\$1,001 - \$15,000
48	JPMORGAN CHASE & CO 6.0% S-EE (JPM.C)	Sale	11/06/2020	No	\$1,001 - \$15,000
49	KIMBERLY CLARK CORP (KMB)	Purchase	11/18/2020	No	\$50,001 - \$100,000
50	LAS VEGAS SANDS CORPORATION (LVS), OPENED WRITTEN CALL OPTION POSITION		11/09/2020	No	\$1,001 - \$15,000
51	LINCOLN NTL CP IND (LNC), OPENED WRITTEN CALL OPTION POSITION		11/24/2020	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
52	MC DONALDS CORP (MCD), CLOSED WRITTEN CALL OPTION POSITION		11/27/2020	No	\$15,001 - \$50,000
53	MC DONALDS CORP (MCD), OPENED WRITTEN CALL OPTION POSITION		11/27/2020	No	\$15,001 - \$50,000
54	MICROSOFT CORP (MSFT)	Sale	11/06/2020	No	\$250,001 - \$500,000
55	MORGAN STANLEY 6.875%-F (MS.F)	Purchase	11/24/2020	No	\$1,001 - \$15,000
56	NATIONAL RURAL UTIL COOP 5.50% RATE:A3/BBB+ 5.500% DUE:2064-05-15 (NRUC)	Sale	11/16/2020	No	\$1,001 - \$15,000
57	NATIONAL RURAL UTIL COOP 5.50% RATE:A3/BBB+ 5.500% DUE:2064-05-15 (NRUC)	Sale	11/24/2020	No	\$1,001 - \$15,000
58	NATIONAL RURAL UTIL COOP 5.50% RATE:A3/BBB+ 5.500% DUE:2064-05-15 (NRUC)	Sale	11/16/2020	No	\$1,001 - \$15,000
59	NATIONAL RURAL UTIL COOP 5.50% RATE:A3/BBB+ 5.500% DUE:2064-05-15 (NRUC)	Sale	11/24/2020	No	\$1,001 - \$15,000
60	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	11/16/2020	No	\$1,001 - \$15,000
61	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	11/17/2020	No	\$1,001 - \$15,000
62	NEXTERA ENERGY CAPITAL HOLDINGS INC 5.65% RATE:BAA2/BBB 5.650% DUE:2079-03-01 (NEE.N)	Sale	11/19/2020	No	\$1,001 - \$15,000
63	PARTNERRE LTD 7.25% RED SER H (PRE.H)	Purchase	11/24/2020	No	\$1,001 - \$15,000
64	PNC FINL-P 6.125% FLTS 5/01/22 (PNC.P)	Purchase	11/24/2020	No	\$1,001 - \$15,000
65	PUBLIC STORAGE 3.9% SER-O (PSAOL)	Purchase	11/10/2020	No	\$1,001 - \$15,000
66	PUBLIC STORAGE 3.9% SER-O (PSAOL)	Purchase	11/10/2020	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
67	PUBLIC STORAGE 3.9% SER-O (PSAOL)		Purchase	11/11/2020	No	\$1,001 - \$15,000
68	REGIONS FINANCIAL CO 6.375%-B (RF.B)		Sale	11/16/2020	No	\$1,001 - \$15,000
69	REGIONS FINANCIAL CO 6.375%-B (RF.B)		Sale	11/16/2020	No	\$1,001 - \$15,000
70	REINSURANCE GROUP AMERICA INC RATE:BAA2/BBB+ 6.200% DUE:2042-09-15 (RZA)		Sale	11/20/2020	No	\$1,001 - \$15,000
71	REINSURANCE GROUP AMERICA INC RATE:BAA2/BBB+ 6.200% DUE:2042-09-15 (RZA)		Sale	11/24/2020	No	\$1,001 - \$15,000
72	SCE TRUST V 5.45%-K (SCE.K)		Purchase	11/24/2020	No	\$1,001 - \$15,000
73	SCE TRUST VI 5%-L (SCE.L)		Purchase	11/11/2020	No	\$1,001 - \$15,000
74	SCE TRUST VI 5%-L (SCE.L)		Purchase	11/11/2020	No	\$1,001 - \$15,000
75	SPIRE INC 5.90% SER-A (SR.A)		Sale	11/11/2020	No	\$1,001 - \$15,000
76	SPIRE INC 5.90% SER-A (SR.A)		Sale	11/16/2020	No	\$1,001 - \$15,000
77	SPIRE INC 5.90% SER-A (SR.A)		Sale	11/30/2020	No	\$1,001 - \$15,000
78	VALERO ENERGY CP DELA NEW (VLO)		Sale	11/18/2020	No	\$15,001 - \$50,000
79	VIATRIS INC (VTRS)	See Endnote	Sale	11/20/2020	No	\$15,001 - \$50,000
80	VORNADO REALTY TR 5.25% SER-N (VORTP)		Purchase	11/24/2020	No	\$1,001 - \$15,000
81	WELLS FARGO & CO 5.5% SER-X (WFC.X)		Purchase	11/24/2020	No	\$1,001 - \$15,000
82	WELLS FARGO 5.85-Q FIXD-TO-FLT (WFC.Q)		Purchase	11/24/2020	No	\$1,001 - \$15,000
83	WELLS FARGO 7.5 NON-CUM CL A (WFC.L)		Purchase	11/24/2020	No	\$1,001 - \$15,000
84	XPO LOGISTICS INC (XPO)		Sale	11/02/2020	No	\$250,001 - \$500,000
85	XPO LOGISTICS INC (XPO)		Sale	11/02/2020	No	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
86	XPO LOGISTICS INC (XPO)	Sale	11/03/2020	No	\$250,001 - \$500,000
87	XPO LOGISTICS INC (XPO)	Sale	11/03/2020	No	\$250,001 - \$500,000
88	XPO LOGISTICS INC (XPO)	Sale	11/03/2020	No	\$500,001 - \$1,000,000
89	XPO LOGISTICS INC (XPO)	Sale	11/03/2020	No	\$1,000,001 - \$5,000,000
90	XPO LOGISTICS INC (XPO)	Sale	11/04/2020	No	\$500,001 - \$1,000,000
91	XPO LOGISTICS INC (XPO)	Sale	11/04/2020	No	\$1,000,001 - \$5,000,000
92	XPO LOGISTICS INC (XPO)	Sale	11/05/2020	No	\$100,001 - \$250,000
93	XPO LOGISTICS INC (XPO)	Sale	11/05/2020	No	\$500,001 - \$1,000,000
94	XPO LOGISTICS INC (XPO)	Sale	11/05/2020	No	\$500,001 - \$1,000,000
95	XPO LOGISTICS INC (XPO)	Sale	11/05/2020	No	\$1,000,001 - \$5,000,000
96	XPO LOGISTICS INC (XPO)	Sale	11/06/2020	No	\$500,001 - \$1,000,000
97	XPO LOGISTICS INC (XPO)	Sale	11/09/2020	No	\$1,000,001 - \$5,000,000
98	XPO LOGISTICS INC (XPO)	Sale	11/09/2020	No	\$1,000,001 - \$5,000,000
99	XPO LOGISTICS INC (XPO)	Sale	11/09/2020	No	\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
100	XPO LOGISTICS INC (XPO)	Sale	11/19/2020	No	\$1,000,001 - \$5,000,000
101	XPO LOGISTICS INC (XPO)	Sale	11/19/2020	No	\$1,000,001 - \$5,000,000
102	XPO LOGISTICS INC (XPO)	Sale	11/19/2020	No	\$1,000,001 - \$5,000,000
103	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		11/06/2020	No	\$100,001 - \$250,000
104	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		11/19/2020	No	\$250,001 - \$500,000
105	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		11/19/2020	No	\$100,001 - \$250,000
106	XPO LOGISTICS INC (XPO), CLOSED WRITTEN CALL OPTION POSITION		11/19/2020	No	\$100,001 - \$250,000
107	ZIONS BANCORP NA 6.3000% SRS G (ZIONO)	Purchase	11/24/2020	No	\$1,001 - \$15,000

Endnotes

PART	#	ENDNOTE
Transactions	79	Received shares from stock spin-off on 11/17/20

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other exempted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
