

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Trump, Ivanka

Assistant to the President & Adviser to the President, White House

Date of Termination: 01/20/2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Trump, Ivanka [electronically signed on 01/18/2021 by Trump, Ivanka in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gast, Scott F, Certifying Official [electronically signed on 01/18/2021 by Gast, Scott F in Integrity.gov]

Other review conducted by

/s/ Gast, Scott F, Ethics Official [electronically signed on 01/18/2021 by Gast, Scott F in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 01/18/2021

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(01/18/2021, Trump, Ivanka): The filer agreed to update the reported information if it changes before or on the indicated termination date.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Ivanka Trump Revocable Trust	New York, New York	Trust	Trustee	8/2010	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Ivanka M. Trump Business Trust (IT Collection LLC, Holding Company in New York NY) (value not readily ascertainable) See Endnote	N/A		Rent or Royalties	None (or less than \$201)
1.1	Ivanka Trump Marks LLC (Owner of Various Trademarks; New York, NY) See Endnote	N/A			
1.2	Ivanka Trump Marks Jewelry LLC (Licensing contracts for fine jewelry; New York, NY)	N/A			
1.3	IT Operations LLC (Management Company in New York, NY)	N/A			
1.4	IT Apparel II LLC (Licensing contracts for clothing and cold weather accessories; New York, NY)	N/A			
1.5	IT Baby Home LLC (Licensing contracts for baby products - bedding giftables; New York, NY)	N/A			
1.6	IT Eyewear LLC (Licensing contracts for sunglasses; New York, NY)	N/A			
1.7	IT Fashion Jewelry LLC (Licensing contracts for costume jewelry; New York, NY)	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	IT Fragrance LLC (Licensing contracts for perfume; New York, NY)		N/A			
1.9	IT Handbags, LLC (Licensing contracts for handbags; New York, NY)		N/A			
1.10	IT Home Marks LLC (Licensing contracts for home products; New York, NY)		N/A			
1.11	IT Promotions LLC (Event Promoter in New York, NY)		N/A			
1.12	Ivanka Trump Marks II LLC (Licensing contracts for shoes; New York, NY)		N/A			
1.13	Ivanka M. Trump Business Trust (IT Collection LLC, Holding Company in New York, NY)		N/A			
1.14	IT ECommerce LLC (Website sales of Ivanka Trump related products; New York, NY)		N/A			
1.15	IT Retail LLC (Retail store selling Ivanka Trump related products; New York, NY)		N/A			
2	Ivanka OPO LLC (Investment in Trump Old Post Office LLC; Washington, DC)	See Endnote	N/A	\$100,001 - \$250,000	Hotel-Related Revenue	\$1,463,449
3	T International Realty LLC (Luxury Real Estate Brokerage Company; obligation to pay filer \$100,000 per calendar year; New York, NY) (value not readily ascertainable)	See Endnote	N/A		Guaranteed payment	\$100,000
4	TTT Consulting LLC (Consulting, licensing, and management services for real estate projects; New York, NY) (value not readily ascertainable)	See Endnote	N/A		Guaranteed Payment	\$362,500
5	TTTT Venture LLC (Royalties and licensing for real estate projects; obligation to pay filer \$600,000 per calendar year; New York, NY) (value not readily ascertainable)	See Endnote	No		Guaranteed payment	\$662,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	4T Holdings One LLC (Holding company for future interests in Trump hotel manager entities; New York, NY)	N/A			
5.2	4T Holdings Two LLC (Holding company for future interests in Scion and Szion manager entities; New York, NY)	No			
5.2.1	SC Cleveland MS Management LLC (Hotel Management Company, Cleveland, MS)	N/A			
5.3	DT Tower Gurgaon LLC (license deal in India; New York, NY)	N/A			
5.4	DT Bali Golf Manager LLC (management of future golf course; New York, NY)	N/A			
5.5	DT Bali Hotel Manager LLC (Management of future hotel; New York, NY)	N/A			
5.6	DT Bali Technical Services Manager LLC (Technical services for hotel construction; New York, NY)	N/A			
5.7	DT Dubai II Golf Manager LLC (Management of future golf course; New York, NY)	N/A			
5.8	DT Lido Golf Manager LLC (Management of future golf course; New York, NY)	N/A			
5.9	DT Lido Hotel Manager LLC (Management of Future Hotel; New York, NY)	N/A			
5.10	DT Lido Technical Services Manager LLC (Technical services for hotel construction; New York, NY)	N/A			
5.11	T Express LLC (Hotel Franchising Agreements, New York, NY)	N/A			
5.12	T Retail LLC (ECommerce Venture, New York, NY)	N/A			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	IT WWW PUB LLC (Book Contract with Penguin Random House LLC; New York, NY)	See Endnote	N/A			
6.1	"Women Who Work," Penguin Random House LLC (value not readily ascertainable)	See Endnote	N/A			None (or less than \$201)
7	WWW OPERATIONS LLC (holds URL associated with Women Who Work; value not readily ascertainable; New York, NY)		N/A			None (or less than \$201)
8	"The Trump Card," Simon & Schuster (value not readily ascertainable)		N/A			None (or less than \$201)
9	Trump Payroll Corp, 401(k) plan		No			
9.1	Fidelity Overseas Fund		Yes	\$100,001 - \$250,000		\$201 - \$1,000
9.2	Fidelity Growth Company		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
9.3	Fidelity Value Fund		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.4	Fidelity Dividend Growth Fund		Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
9.5	Fidelity Freedom 2040 Fund		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
10	Screen Actors Guild - Producers Pension Plan (defined benefit pension plan - value not readily ascertainable)		N/A			None (or less than \$201)
11	Universal City Studios LLC (Residuals from appearances on Saturday Night Live and Late Night with Jimmy Fallon) (value not readily ascertainable)	See Endnote	N/A			None (or less than \$201)
12	MGM/Mark Burnett Productions (Residuals from The Apprentice) (value not readily ascertainable)	See Endnote	N/A			None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE	
1	Trump Payroll Corp.	New York, New York	Continued participation in employer-sponsored 401(k) plan. The underlying assets of this plan are described in section 2.	10/2006	
2	T International Realty LLC	New York, New York	Following consultation with OGE, in order to reduce filer's interest in the performance of the business, filer's right to a share of the profits from this entity was converted to a guaranteed fixed payment of \$100,000 per year from T International Realty. To reduce filer's interest in the ability and willingness of T International Realty to make this payment, the payment was cross-guaranteed by TTT Consulting LLC and TTTT Venture LLC. Filer has resigned from all positions in this entity.	1/2017	
3	TTT Consulting, LLC	New York, New York	Following consultation with OGE, in order to reduce filer's interest in the performance of the business, filer's right to a share of the profits from this entity was converted to a guaranteed fixed payment of \$800,000 per year from TTT Consulting, LLC. To reduce filer's interest in the ability and willingness of TTT Consulting, LLC to make this payment, the payment was cross-guaranteed by T International Realty LLC and TTTT Venture LLC. Filer has resigned from all positions in this entity.	1/2017	
4	TTTT Venture LLC	New York, New York	Following consultation with OGE, in order to reduce filer's interest in the performance of the business, filer's right to a share of the profits from this entity was converted to a guaranteed fixed payment of \$600,000 per year from TTTT Venture, LLC. To reduce filer's interest in the ability and willingness of TTTT Venture, LLC to make this payment, the payment was cross-guaranteed by T International Realty LLC and TTT Consulting, LLC. Filer has resigned from all positions in this entity.	1/2017	
5	Screen Actors Guild	See Endnote	Bethesda, Maryland	Filer participates in the Screen Actors Guild - Producers Pension Plan. She will receive a monthly payment upon retirement once her pension vests.	10/2007

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Westminster Management, LLC (Management Company; New York, NY)	N/A	\$1,000,001 - \$5,000,000	Distributions	\$1,800,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Trust #1	No			
1.1	ELMWOOD V. ASSOCIATES, LP (Commercial Real Estate in Chicago, IL) See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$50,001 - \$100,000
1.2	LANDINGS APARTMENTS, LLC (Commercial Real Estate in Chicago, IL) See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties	\$50,001 - \$100,000
1.3	OAKWOOD GARDEN ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL) See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
1.4	WALLKILL APARTMENTS ASSOCIATES, LP (Commercial Real Estate in Chicago, IL) See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
1.5	VILLAGE KF 4-9-10 ASSOCIATES, LLC (Residential Real Estate in New York, NY) See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
1.6	PUCK BUILDING, LP (Commercial Real Estate in New York, NY) See Endnote	N/A	\$25,000,001 - \$50,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.7	U.S. bank account #1 (checking)		N/A	\$100,001 - \$250,000		None (or less than \$201)
2	Trust #2		No			
2.1	ELMWOOD V. ASSOCIATES, LP (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$15,001 - \$50,000	Rent or Royalties	\$15,001 - \$50,000
2.2	LANDINGS APARTMENTS, LLC (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
2.3	OAKWOOD GARDEN ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.4	WALKKILL APARTMENTS ASSOCIATES, LP (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$100,001 - \$1,000,000
2.5	VILLAGE KF 4-9-10 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.6	K GAIA VILLAGE 1 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$250,001 - \$500,000	Rent or Royalties	\$50,001 - \$100,000
2.7	K GAIA VILLAGE 2 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.8	PINECREST LAKE BUILDING ASSOCIATES, LP (Undeveloped Real Estate in Tobyhanna Township, PA)		N/A	\$100,001 - \$250,000		None (or less than \$201)
2.9	PUCK BUILDING, LP (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.10	PUCK RESIDENTIAL ASSOCIATES 2, LLC (Residential Real Estate in New York, NY and Leonia, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.11	PUCK RESIDENTIAL ASSOCIATES, LLC (Commercial Real Estate in New York)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.12	715 PARK AVENUE ASSOCIATES, LP (Residential Real Estate Investment in New York, NY)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.13	SIXTY ONE ASSOCIATES, LLC (Commercial Real Estate investment in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.14	WESTMINSTER MAD ASSOCIATES, LLC (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
2.15	WEST END BUILDING ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
2.16	WESTMINSTER LINDEN ASSOCIATES, LLC (Residential Real Estate in Brooklyn, NY)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
2.17	MILFORD REALTY BUILDING ASSOCIATES, LP (Undeveloped Real Estate in Milford, PA)		N/A	\$100,001 - \$250,000		None (or less than \$201)
2.18	U.S. money market account #1 (cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
2.19	PUCK RESIDENTIAL ASSOCIATES, LLC (Residential Real Estate in Brooklyn, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
3	Trust #3		No			
3.1	30 FLORHAM ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)		N/A	\$250,001 - \$500,000	Rent or Royalties	\$100,001 - \$1,000,000
3.2	CK BERGEN ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
3.3	COLTS NECK BUILDING ASSOCIATES, LLC (Undeveloped Real Estate in Colts Neck, NJ)		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.4	COLUMBIA CORPORATE CENTER DEVELOPMENT ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
3.5	DEER TREE BUILDING ASSOCIATES, LLC (Undeveloped Real Estate in Hardyston Township, NJ)		N/A	\$1,001 - \$15,000		None (or less than \$201)
3.6	FLORHAM PARK REALTY ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.7	LANDINGS AT CASPIAN POINTE, LLC (Undeveloped Real Estate in Atlantic City, NJ)		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3.8	MAGNOLIA BUILDING ASSOCIATES, LLC (Undeveloped Assets in Pemberton, NJ)		N/A	\$1,001 - \$15,000		None (or less than \$201)
3.9	SIXTY-SIX WEST ASSOCIATES, LLC (Commercial Real Estate in Livingston, NJ)		N/A	\$250,001 - \$500,000	Rent or Royalties	\$50,001 - \$100,000
3.10	THE LANDINGS AT HARBORSIDE, LLC (Residential Real Estate and Undeveloped Assets in Perth Amboy, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
3.11	VREELAND AVENUE ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$100,001 - \$1,000,000
3.12	55 CHALLENGER ROAD ASSOCIATES, LLC (Commercial Real Estate in Ridgefield Park, NJ)	See Endnote	N/A	None (or less than \$1,001)	Rent or Royalties Capital Gains	\$1,000,001 - \$5,000,000
3.13	LINDENLAWN APARTMENT ASSOCIATES, LLC (Residential Real Estate in Englewood, NJ)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
3.14	MERRITT ASSOCIATES, LLC (Residential Real Estate in Dumont, NJ)		N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
3.15	ROUTE 10 ASSOCIATES, LLC (Industrial & Commercial Real Estate in Whippany and Hanover, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4	Trust #4		No			
4.1	184 KENT ASSOCIATES, LLC (Residential Real Estate & Real Estate Under Construction in Brooklyn, NY)	See Endnote	N/A	\$250,001 - \$500,000	Income from Sale of Condo Units and Rent	\$97,000
4.2	CHATHAM HILL ASSOCIATES, LLC (Residential Real Estate in Chatham, NJ)	See Endnote	N/A	\$250,001 - \$500,000	Rent or Royalties	\$100,001 - \$1,000,000
4.3	ELMWOOD V. ASSOCIATES, LP (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$15,001 - \$50,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.4	LANDINGS APARTMENTS, LLC (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$250,001 - \$500,000	Rent or Royalties	\$100,001 - \$1,000,000
4.5	GELLERT 80 WEST END, LLC (Residential and Commercial Real Estate in Long Branch, NJ)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
4.6	PIER V, LLC (Residential and Commercial Real Estate in Long Branch, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.7	KGM 365 BOND, LLC (Loan Receivable from LSG 365 Bond Street Holdco 1, LLC; New York, NY)	See Endnote	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.8	IROQUOIS PROPERTIES, LP (Residential Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.9	K 26 JOURNAL SQUARE, LLC (Commercial Real Estate in Jersey City, NJ)	See Endnote	N/A	\$250,001 - \$500,000	Rent or Royalties	\$100,001 - \$1,000,000
4.10	K 30 JOURNAL SQUARE, LLC (Undeveloped Real Estate in Jersey City, NJ)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$15,001 - \$50,000
4.11	HACK PROSPECT JV, LLC (Residential Real Estate in Hackensack, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.12	K STONEHAGE VILLAGE 1 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$100,001 - \$1,000,000
4.13	VILLAGE KF 2 KM, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.14	VILLAGE KF 4-9-10 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.15	65 BAY, LLC (Residential Real Estate in Jersey City, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.16	PV BUNGALOW, LLC (Hotel in Long Branch, NJ)		N/A	\$250,001 - \$500,000	Hotel Revenue	\$388,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.17	RP MD, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
4.18	184 KENT ASSOCIATES 2, LLC (Residential Real Estate & Real Estate Under Construction in Brooklyn, NY)	See Endnote	N/A	\$100,001 - \$250,000	Rent, Income from Sale of Condo Units	\$44,000
4.19	KF WESTWOOD PARTNER, LLC (Residential Real Estate in Westwood & River Vale, NJ)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.20	KF WESTWOOD PARTNER 2, LLC (Residential Real Estate in Westwood & River Vale, NJ)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.21	KF-BLS MEMBER, LLC (Residential Real Estate in Brooklyn, NY)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
4.22	PUCK BUILDING, LP (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.23	STATE TOWER OF SYRACUSE ASSOCIATES, LLC (Residential Real Estate in Leonia, NJ)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$50,001 - \$100,000
4.24	TIMES SQUARE ASSOCIATES, LLC (Commercial Real Estate in New York, NY)	See Endnote	N/A	None (or less than \$1,001)	Rent or Royalties	\$1,000,001 - \$5,000,000
4.25	WARREN AT BAY ASSOCIATES, LLC (Commercial Real Estate in Jersey City, NJ)	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4.26	115 EAST 87, LLC (Contingent right to ownership interest in Residential Real Estate in New York, NY)	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4.27	80 ML, LLC (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
4.28	PIER VILLAGE III HOTEL JV, LLC (Commercial Real Estate in Long Branch, NJ)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.29	KKC ASSOCIATES, LLC (Residential Real Estate in Kansas City, MO)	See Endnote	N/A	None (or less than \$1,001)	Rent or Royalties Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.30	STONE 16 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
4.31	P.V. DEVELOPMENT, LLC (Commercial Real Estate Under Construction in Long Branch, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4.32	BUNGALOW HOTEL LIQUOR LICENSE, LLC (Liquor License of a hotel in Long Branch, NJ)	See Endnote	N/A	\$15,001 - \$50,000	Hotel Bar, Restaurant, Management Fee Revenue	\$4,500
4.33	KG MANA, LLC (Loan Receivable from DB Mana Wynwood, LLC; New York, NY)	See Endnote	N/A	None (or less than \$1,001)	Interest	\$50,001 - \$100,000
4.34	OBSERVER CAPITAL SM FUND, LP (Preferred Interest in Source Media Holdings Inc.; New York, NY)		N/A	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000
4.35	KC DUMBO OFFICE, LLC (Commercial Real Estate, Brooklyn, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.36	KC DUMBO OFFICE, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)	See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties	\$2,501 - \$5,000
4.37	KC DUMBO NEWCO, LLC (Commercial Real Estate, Brooklyn, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.38	KC DUMBO NEWCO, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)	See Endnote	N/A	\$15,001 - \$50,000	Rent or Royalties	\$1,001 - \$2,500
4.39	PV MOTEL, LLC (Undeveloped Real Estate in Long Branch, NJ)		N/A	\$250,001 - \$500,000		None (or less than \$201)
5	Trust #5		No			
5.1	BRYNWOOD GARDENS (Residential Real Estate in Old Bridge, NJ)		N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
5.2	CHERRY PINES APARTMENTS (Residential Real Estate in Elizabeth, NJ)		N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.3	COLONIAL HEIGHTS, LLC (Residential Real Estate in Parsippany, NJ)	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
5.4	DARTMOUTH VILLAGE, LLC (Residential Real Estate in Parsippany, NJ)	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
5.5	EASTON NORTH ASSOCIATES, LP (Residential Real Estate in Franklin Township, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
5.6	FELMORE ASSOCIATES (Residential Real Estate in South River, NJ)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
5.7	FIVE ACRE JV (Industrial Real Estate in Union, NJ)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$2,501 - \$5,000
5.8	KAY & ARR REALTY ASSOCIATES (Residential Real Estate in Elizabeth, NJ)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
5.9	LMP JV (Industrial Real Estate in Edison, NJ)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
5.10	LODI PHILLIPSBURG APARTMENT ASSOCIATES (Residential Real Estate in Lodi, NJ)	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
5.11	MARTHA BELL ASSOCIATES, LLC (Residential Real Estate in Elizabeth, NJ)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
5.12	MT. PLEASANT JV (Commercial Real Estate in West Orange, NJ)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$5,001 - \$15,000
5.13	NETCONG HEIGHTS ASSOCIATES, LLC (Residential Real Estate in Netcong, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
5.14	OXFORD ARMS ASSOCIATES LIMITED PARTNERSHIP (Residential Real Estate in Edison, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
5.15	PARK LAKE VILLAGE (Residential Real Estate in Parsippany, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.16	PARK LANE MOBILE HOME PARK (Residential Real Estate in Union, NJ)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$1,001 - \$2,500
5.17	PINEFIELD MANOR, LLC (Residential Real Estate in Denville, NJ)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
5.18	PRINCETON HORIZON APARTMENTS (Residential Real Estate in South Brunswick, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
5.19	RIVER EDGE APARTMENTS (Residential Real Estate in Ewing, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
5.20	SKYTOP GARDENS (Residential Real Estate in Sayreville, NJ)	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
5.21	TAYLOR EDISON JV (Industrial Real Estate in Edison, NJ)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$2,501 - \$5,000
5.22	THE PINES APARTMENTS (Residential Real Estate in Elizabeth, NJ)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
5.23	TOV MANOR ASSOCIATES, LP (Residential Real Estate in New Brunswick, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
5.24	WESTFIELD JV (Commercial Real Estate in Westfield, NJ)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$5,001 - \$15,000
5.25	SCHOOLHOUSE JV (Commercial Real Estate in Milburn, NJ)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$2,501 - \$5,000
6	Trust #6	No			
6.1	200 EAST 62ND 25A, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.2	200 EAST 62ND 25B, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.3	200 EAST 62ND 26D, LLC (Residential Real Estate in New York, NY)	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.4	212 5TH 7C, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.5	212 5TH 8C, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.6	212 5TH 9C, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.7	184 KENT C212, LLC (Residential Real Estate in Brooklyn, NY)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
6.8	184 KENT C614, LLC (Residential Real Estate in Brooklyn, NY)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
6.9	184 KENT B512, LLC (Residential Real Estate in Brooklyn, NY)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
6.10	438 East 12th 3M, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.11	438 East 12th 5P, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.12	438 East 12th 6P, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.13	269 WEST 7C LLC (Residential Real Estate in New York, NY)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
6.14	269 WEST 8C, LLC (Residential Real Estate in New York, NY)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
6.15	269 WEST 9C, LLC (Residential Real Estate in New York, NY)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
6.16	21 EAST 12TH 10A, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.17	21 EAST 12TH 11A, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.18	21 EAST 12TH 12A, LLC (Residential Real Estate in New York, NY)		N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
7	Trust #7		No			
7.1	Lincoln National Life Insurance Company, Variable Life		No			
7.1.1	SSGA Bond Index Fund		Yes	\$100,001 - \$250,000		None (or less than \$201)
7.1.2	JPMorgan High Yield Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
7.1.3	Delaware Diversified Floating Rate Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
7.1.4	Delaware VIP REIT Series		Yes	\$50,001 - \$100,000		None (or less than \$201)
7.1.5	Dimensional International Core Equity Fund		Yes	\$100,001 - \$250,000		None (or less than \$201)
7.1.6	Dimensional U.S. Core Equity 2 Fund		Yes	\$250,001 - \$500,000		None (or less than \$201)
8	Trust #8		No			
8.1	GELLERT 80 WEST END, LLC (Residential and Commercial Real Estate in Long Branch, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
8.2	PIER V, LLC (Residential and Commercial Real Estate in Long Branch, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
9	Trust #9		No			
9.1	KUSHNER RICHMOND HOLDCO, LLC (Residential Real Estate in Chesterfield & Midlothian, VA)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	55 CHALLENGER ROAD ASSOCIATES, LLC (Commercial Real Estate in Ridgefield Park, NJ)	See Endnote	N/A	None (or less than \$1,001)	Rent or Royalties Capital Gains	\$1,000,001 - \$5,000,000
11	570 WEST MT. PLEASANT ASSOCIATES, LLC (Residential Real Estate in Livingston, NJ)		N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
12	CHATHAM HILL ASSOCIATES, LLC (Residential Real Estate in Chatham, NJ)	See Endnote	N/A	\$1,001 - \$15,000	Rent or Royalties	\$201 - \$1,000
13	K 30 JOURNAL MANAGER CORP. (Commercial Real Estate in Jersey City, NJ)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	K MARYLAND ASSOCIATES, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
15	RP MD PROMOTE, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
16	NEW PUCK CORP. (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$50,001 - \$100,000
17	TIMES SQUARE ASSOCIATES, LLC (Commercial Real Estate in New York, NY)	See Endnote	N/A	None (or less than \$1,001)	Rent or Royalties	\$100,001 - \$1,000,000
18	411 WEST PUTNAM AVENUE (Commercial Real Estate in Greenwich, CT)		N/A	\$1,001 - \$15,000		None (or less than \$201)
19	BFPS VENTURES, LLC (Holding Company; New York, NY)	See Endnote	No	\$5,000,001 - \$25,000,000	Rent or Royalties	\$15,001 - \$50,000
19.1	U.S. bank account #2 (checking)		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
19.2	Contingent rights to ownership interests in entities holding real estate directly or indirectly (value not readily ascertainable) (entities listed in endnote)	See Endnote	N/A			None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.3	K STONEHAGE VILLAGE 1 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$15,001 - \$50,000	Rent or Royalties	\$5,001 - \$15,000
19.4	KC PROFIT PARTICIPATION - K GAIA VILLAGE 2 SERIES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
19.5	KC PROFIT PARTICIPATION - 65 BAY STREET SERIES, LLC (Residential Real Estate in Jersey City, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
19.6	KC PROFIT PARTICIPATION - KF WESTWOOD PARTNER SERIES, LLC (Residential Real Estate in Westwood & River Vale, NJ)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
20	KUSHNER DUMBO PARTNERS 1, LLC (Commercial Real Estate in Brooklyn, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
21	KC DUMBO PROMOTE, LLC (Commercial Real Estate, Brooklyn, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
22	KC DUMBO PROMOTE, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
23	QUAIL RIDGE, LLC (Residential Real Estate in Plainsboro, NJ)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
24	JCK CADRE, LLC (Holding Company for Quadro Partners, Inc. (d/b/a Cadre); Real Estate Investment Platform in New York, NY)		N/A	\$25,000,001 - \$50,000,000		None (or less than \$201)
25	Artwork		N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
26	U.S. money market account #3 (cash)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
27	U.S. money market account #6 (cash)		N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
28	U.S. money market account #5 (cash)	N/A	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000
29	U.S. money market account #4 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
30	U.S. bank account #3 (checking)	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
31	U.S. bank account #4 (checking)	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
32	U.S. bank account #5 (savings)	N/A	\$50,001 - \$100,000		None (or less than \$201)
33	U.S. bank account #6 (checking)	N/A	\$1,001 - \$15,000		None (or less than \$201)
34	U.S. bank account #7 (checking)	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	U.S. bank account #8 (checking)	N/A	\$1,001 - \$15,000		None (or less than \$201)
36	U.S. bank account #14 (checking)	N/A	\$1,001 - \$15,000		None (or less than \$201)
37	ISHARES RUSSELL 1000 ETF (IWB)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
38	INVESCO QQQ TR UNIT SER 1 (QQQ)	Yes	\$5,000,001 - \$25,000,000		\$50,001 - \$100,000
39	JANUS ENTERPRISE FUND CL I (JMGR X)	Yes	\$5,000,001 - \$25,000,000		\$15,001 - \$50,000
40	WELLS FARGO SPECIAL SMALL CAP VALUE (ESPNX)	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
41	KUSHNER DUMBO PARTNERS 1, LLC (Commercial Real Estate in Brooklyn, NY)	See Endnote	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
42	KC DUMBO NEWCO, LLC (Commercial Real Estate, Brooklyn, NY)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
43	KC DUMBO NEWCO, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)	See Endnote	N/A	\$15,001 - \$50,000	Rent or Royalties	\$201 - \$1,000
44	QUAIL RIDGE, LLC (Residential Real Estate in Plainsboro, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
45	IT REVOCABLE TRUST RICHMOND, LLC (Residential Real Estate in Chesterfield & Midlothian, VA)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
46	K MARYLAND ASSOCIATES, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
47	RP MD, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
48	RICH VA, LLC (Residential Real Estate in Chesterfield & Midlothian, VA)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
49	Brokerage Account #1		No			
49.1	U.S. bank account #9 (cash)		N/A	\$100,001 - \$250,000		None (or less than \$201)
49.2	ISHARES MSCI EAFE ETF (EFA)		Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
49.3	ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)		Yes	\$100,001 - \$250,000		None (or less than \$201)
49.4	VANGUARD VALUE ETF (VTV)		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
49.5	VANGUARD MID-CAP ETF (VO)		Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
49.6	VANGUARD GROWTH ETF (VUG)		Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
49.7	VANGUARD TOTAL WORLD STK ETF (VT)	Yes	\$250,001 - \$500,000		None (or less than \$201)
49.8	INVESCO DEVELOPING MARKETS FUND CLASS Y (ODVYX)	See Endnote	Yes	\$500,001 - \$1,000,000	\$1,001 - \$2,500
49.9	PRINCIPAL SMALL-MIDCAP DIVIDEND INC FUND CLASS INST	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
49.10	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
49.11	THORNBURG INTL VALUE FUND 1	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
49.12	VIRTUS KAR SMALL-CAP CORE FUND CLASS I	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
49.13	FLEXSHARES IBOXX 3 YR TARGET DURATION TIPS INDEX FD ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
49.14	FLEXSHARES IBOXX 5YR TARGET DURATION TIPS INDEX FD ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
49.15	ISHARES NATL MUNI BOND ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
49.16	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
49.17	PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD	Yes	\$100,001 - \$250,000		None (or less than \$201)
49.18	VANGUARD INTER TERM TREAS ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
49.19	GOLDMAN SACHS DYNAMIC MUNICIPAL INCOME FUND CLASS INST	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
49.20	LORD ABBETT HIGH YIELD FUND CLASS F	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
49.21	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
49.22	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND		Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
49.23	VANGUARD FTSE DEVELOPED MKT ETF (VEA)	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
49.24	VANECK VECTORS AMT-FREE INTER MUNICIPAL INDEX ETF	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
49.25	VANGUARD FTSE EMERGING MARKETS ETF	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
49.26	ISHARES IBOXX \$ INVT GRADE CORPORATE BOND ETF	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
49.27	SPDR PORTFOLIO S&P 500 VALUE ETF	See Endnote	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
49.28	ISHARES MSCI EAFE SMALL CAP ETF		Yes	\$250,001 - \$500,000		None (or less than \$201)
49.29	VANGUARD RUSSELL 2000 ETF		Yes	\$250,001 - \$500,000		None (or less than \$201)
49.30	TCW EMERGING MARKETS INCOME FUND CLASS I		Yes	\$100,001 - \$250,000		None (or less than \$201)
50	Brokerage Account #2		No			
50.1	CollegeAmerica 529 2033 A (Virginia)		Yes	\$250,001 - \$500,000		None (or less than \$201)
51	Brokerage Account #3		No			
51.1	CollegeAmerica 529 2030 A (Virginia)		Yes	\$250,001 - \$500,000		None (or less than \$201)
52	Brokerage Account #4		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
52.1	CollegeAmerica 529 2036 A (Virginia)	Yes	\$100,001 - \$250,000		None (or less than \$201)
53	Brokerage Account #8	No			
53.1	U.S. bank account #11 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
53.2	ISHARES MSCI EAFE ETF	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
53.3	ISHARES CORE MSCI EMERGING (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
53.4	VANGUARD VALUE ETF (VTV)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
53.5	VANGUARD MID-CAP ETF (VO)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
53.6	VANGUARD GROWTH ETF	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
53.7	VANGUARD TOTAL WORLD STK ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
53.8	INVESCO DEVELOPING MARKETS FUND CLASS Y (ODVYX)	See Endnote	Yes	\$50,001 - \$100,000	None (or less than \$201)
53.9	PRINCIPAL SMALL-MIDCAP DIVIDEND INC FUND CLASS INST	Yes	\$15,001 - \$50,000		None (or less than \$201)
53.10	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL	Yes	\$50,001 - \$100,000		None (or less than \$201)
53.11	THORNBURG INTL VALUE FUND I	Yes	\$50,001 - \$100,000		None (or less than \$201)
53.12	VIRTUS KAR SMALL-CAP CORE FUND CLASS I	Yes	\$15,001 - \$50,000		None (or less than \$201)
53.13	FLEXSHARES IBOXX 3 YR TARGET DURATION TIPCS INDEX FD ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
53.14	ISHARES 20+ YEAR TREAS BOND ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
53.15	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
53.16	PIMCO ENHANCED SHORT MAT ACTIVE ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
53.17	PIMCO 15+ YR US TIPS INDEX ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
53.18	ISHARES MSCI EAFE SMALL CAP ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
53.19	VANGUARD RUSSELL 2000 ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
53.20	ISHARES NATL MUNI BOND ETF	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
53.21	ISHARES IBOXX \$ INVT GRADE CORPOATE BOND ETF	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
54	Brokerage Account #9		No			
54.1	U.S. bank account #12 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
54.2	ISHARES MSCI EAFE ETF (EFA)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
54.3	ISHARES CORE MSCI EMERGING MARKETS ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
54.4	VANGUARD VALUE ETF (VTV)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
54.5	VANGUARD MID-CAP ETF		Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
54.6	VANGUARD GROWTH ETF		Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
54.7	VANGUARD TOTAL WORLD STK ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
54.8	INVESCO DEVELOPING MARKETS FUND CLASS Y	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
54.9	PRINCIPAL SMALL-MIDCAP DIVIDEND INC FUND CLASS INST		Yes	\$15,001 - \$50,000		None (or less than \$201)
54.10	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
54.11	THORNBURG INTL VALUE FUND I		Yes	\$15,001 - \$50,000		None (or less than \$201)
54.12	VIRTUS KAR SMALL-CAP CORE FUND CLASS I		Yes	\$15,001 - \$50,000		None (or less than \$201)
54.13	FLEXSHARES IBOXX 3 YR TARGET DURATION TIPS INDEX FD ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
54.14	ISHARES 20+ YEAR TREAS BOND		Yes	\$1,001 - \$15,000		\$201 - \$1,000
54.15	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
54.16	PIMCO ENHANCED SHORT MAT ACTIVE ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
54.17	PIMCO 15+ YR US TIPS INDEX ETF (LTPZ)		Yes	\$1,001 - \$15,000		None (or less than \$201)
54.18	ISHARES MSCI EAFE SMALL CAP ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
54.19	VANGUARD RUSSELL 2000 ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
54.20	ISHARES NATL MUNI BOND ETF	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
55	Brokerage Account #10		No			
55.1	U.S. Bank Account		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
55.2	ISHARES MSCI EAFE ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
55.3	ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
55.4	VANGUARD VALUE ETF (VTV)	Yes	\$50,001 - \$100,000		None (or less than \$201)
55.5	VANGUARD MID-CAP ETF	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
55.6	VANGUARD GROWTH ETF	Yes	\$50,001 - \$100,000		\$15,001 - \$50,000
55.7	VANGUARD TOTAL WORLD STK ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
55.8	INVESCO DEVELOPING MARKETS FUND CLASS Y	See Endnote	Yes	\$50,001 - \$100,000	None (or less than \$201)
55.9	PRINCIPAL SMALL-MIDCAP DIVIDEND INC FUND CLASS INST	Yes	\$50,001 - \$100,000		None (or less than \$201)
55.10	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL	Yes	\$50,001 - \$100,000		None (or less than \$201)
55.11	THORNBURG INTL VALUE FUND I	Yes	\$50,001 - \$100,000		None (or less than \$201)
55.12	VIRTUS KAR SMALL-CAP CORE FUND CLASS I	Yes	\$50,001 - \$100,000		None (or less than \$201)
55.13	FLEXSHARES IBOXX 3 YR TARGET DURATION TIPS INDEX FD ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
55.14	ISHARES 20+ YEAR TREAS BOND ETF	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
55.15	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
55.16	PIMCO ENHANCED SHORT MAT ACTIVE ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
55.17	PIMCO 15+ YR US TIPS INDEX ETF (LTPZ)		Yes	\$1,001 - \$15,000		None (or less than \$201)
55.18	ISHARES MSCI EAFE SMALL CAP ETF		Yes	\$1,001 - \$15,000		None (or less than \$201)
55.19	ISHARES NATL MUNI BOND ETF	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
55.20	ISHARES IBOXX \$ HIGH YIELD CORPORATE BOND ETF	See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
55.21	SPDR PORTFOLIO S&P 500 VALUE ETF	See Endnote	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
56	Treasury Notes		No			
56.1	Treasury Note		N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
56.2	Treasury Note		N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
56.3	Treasury Note		N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
56.4	Treasury Note		N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
56.5	Treasury Note		N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
56.6	Treasury Note		N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
56.7	Treasury Note		N/A	\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500
56.8	Treasury Note		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
56.9	Treasury Note		N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
56.10	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
56.11	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
56.12	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
56.13	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
56.14	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
56.15	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
56.16	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
56.17	Treasury Note	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
56.18	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
56.19	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
56.20	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
56.21	Treasury Note	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
57	502 6G LLC (Residential Real Estate in New York, NY)	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
58	CONESTOGA SMALL CAP FUND (CCALX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	55 CHALLENGER, LLC (Commercial Real Estate in Ridgefield Park, NJ)	See Endnote	Sale	09/01/2020	\$5,000,001 - \$25,000,000
2	184 KENT OWNER, LLC (20 Condo units in Brooklyn, NY) (multiple)	See Endnote	Sale		\$50,001 - \$100,000
3	KCOF - 365 BOND, LLC (Loan Receivable from LSG 365 Bond Street Holdco 1, LLC; New York, NY)	See Endnote	Purchase	04/27/2020	\$100,001 - \$250,000
4	KKC ASSOCIATES, LLC (Residential Real Estate in Kansas City, MO)	See Endnote	Sale	04/01/2020	\$1,001 - \$15,000
5	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (multiple)		Sale		\$100,001 - \$250,000
6	VANECK VECTORS AMT-FREE INTER MUNICIPAL INDEX ETF (multiple)		Sale		\$250,001 - \$500,000
7	VANGUARD FTSE DEVELOPED MKT ETF (multiple)		Purchase		\$250,001 - \$500,000
8	VANGUARD FTSE DEVELOPED MKT ETF (multiple)		Sale		\$1,000,001 - \$5,000,000
9	VANGUARD FTSE EMERGING MARKETS ETF (multiple)		Purchase		\$100,001 - \$250,000
10	VANGUARD FTSE EMERGING MARKETS ETF (multiple)		Sale		\$100,001 - \$250,000
11	VANGUARD TOTAL INTERNATIONAL BOND INDEX (multiple)		Sale		\$100,001 - \$250,000
12	VANGUARD VALUE ETF (multiple)		Purchase		\$500,001 - \$1,000,000
13	VANGUARD VALUE ETF (multiple)		Sale		\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
14	VANGUARD GROWTH ETF (multiple)	Sale		\$250,001 - \$500,000
15	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (multiple)	Sale		\$1,001 - \$15,000
16	VANGUARD MID-CAP ETF (multiple)	Purchase		\$100,001 - \$250,000
17	VANGUARD MID-CAP ETF (multiple)	Sale		\$250,001 - \$500,000
18	FLEXSHARES IBOXX 5YR TARGET DURATION TIPS INDEX FD ETF (multiple)	Sale		\$15,001 - \$50,000
19	ISHARES CORE MSCI EMERGING MARKETS ETF (multiple)	Purchase		\$100,001 - \$250,000
20	ISHARES CORE MSCI EMERGING MARKETS ETF (multiple)	Sale		\$250,001 - \$500,000
21	ISHARES IBOXX USD HIGH YIELD CORPORATE BOND ETF (multiple)	Purchase		\$100,001 - \$250,000
22	ISHARES IBOXX USD HIGH YIELD CORPORATE BOND ETF (multiple)	Sale		\$100,001 - \$250,000
23	ISHARES IBOXX \$ INVT GRADE CORPORATE BOND ETF (multiple)	Purchase		\$100,001 - \$250,000
24	ISHARES IBOXX \$ INVT GRADE CORPORATE BOND ETF (multiple)	Sale		\$100,001 - \$250,000
25	ISHARES NATL MUNI BOND ETF (multiple)	Purchase		\$1,000,001 - \$5,000,000
26	ISHARES NATL MUNI BOND ETF (multiple)	Sale		\$500,001 - \$1,000,000
27	ISHARES MSCI EAFE ETF (multiple)	Purchase		\$250,001 - \$500,000
28	ISHARES MSCI EAFE ETF (multiple)	Sale		\$500,001 - \$1,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
29	ISHARES BROAD USD HIGH YIELD BOND ETF	Purchase	04/16/2020	\$100,001 - \$250,000
30	ISHARES BROAD USD HIGH YIELD BOND ETF	Sale	05/18/2020	\$100,001 - \$250,000
31	PIMCO ENHANCED SHORT MAT ACTIVE ETF (multiple)	Sale		\$250,001 - \$500,000
32	TEMPLETON GLOBAL BOND ADV (multiple)	Purchase		\$250,001 - \$500,000
33	TEMPLETON GLOBAL BOND ADV (multiple)	Sale		\$100,001 - \$250,000
34	GOLDMAN SACHS DYNAMIC MUNICIPAL INCOME FUND CLASS INST (multiple)	Sale		\$50,001 - \$100,000
35	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (multiple)	Sale		\$100,001 - \$250,000
36	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (multiple)	Sale		\$100,001 - \$250,000
37	SPDR PORTFOLIO S&P 500 VALUE ETF (multiple)	Purchase		\$1,000,001 - \$5,000,000
38	SPDR PORTFOLIO S&P 500 VALUE ETF (multiple)	Sale		\$1,000,001 - \$5,000,000
39	THORNBURG INTERNATIONAL VALUE FUND I (multiple)	Purchase		\$500,001 - \$1,000,000
40	THORNBURG INTERNATIONAL VALUE FUND I (multiple)	Sale		\$15,001 - \$50,000
41	INVESCO DEVELOPING MARKETS FUND CLASS Y (multiple)	Sale		\$1,001 - \$15,000
42	PRINCIPAL SMALL-MIDCAP DIVIDEND INC FUND CLASS INST (multiple)	Sale		\$1,001 - \$15,000
43	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (multiple)	Sale		\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
44	INVESCO OPTIMUM YIELD DIVERSIFIED COMMON STRATEGY NO K-1 ETF	Purchase	08/28/2020	\$100,001 - \$250,000
45	INVESCO OPTIMUM YIELD DIVERSIFIED COMMON STRATEGY NO K-1 ETF	Sale	11/19/2020	\$100,001 - \$250,000
46	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (multiple)	Purchase		\$250,001 - \$500,000
47	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (multiple)	Purchase		\$1,001 - \$15,000
48	FLEXSHARES IBOXX 5YR TARGET DURATION TIPS INDEX FD ETF (multiple)	Purchase		\$1,001 - \$15,000
49	GOLDMAN SACHS DYNAMIC MUNICIPAL INCOME FUND CLASS INST (multiple)	Purchase		\$15,001 - \$50,000
50	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (multiple)	Purchase		\$50,001 - \$100,000
51	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (multiple)	Purchase		\$100,001 - \$250,000
52	INVESCO DEVELOPING MARKETS FUND CLASS Y (multiple)	Purchase		\$15,001 - \$50,000
53	PRINCIPAL SMALL-MIDCAP DIVIDEND INC FUND CLASS INST (multiple)	Purchase		\$100,001 - \$250,000
54	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (multiple)	Purchase		\$50,001 - \$100,000
55	ISHARES MSCI EAFE SMALL CAP ETF (multiple)	Purchase		\$250,001 - \$500,000
56	VANGUARD TOTAL WORLD STK ETF (multiple)	Purchase		\$100,001 - \$250,000
57	VANGUARD RUSSEL 2000 ETF (multiple)	Purchase		\$250,001 - \$500,000
58	FLEXSHARES IBOXX 3 YR TARGET DURATION TIPS INDEX FD ETF (multiple)	Purchase		\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
59	VANGUARD INTER TERM TREAS ETF (multiple)	Purchase		\$100,001 - \$250,000
60	LORD ABBETT HIGH YIELD FUND CLASS F (multiple)	Purchase		\$1,001 - \$15,000
61	TCW EMERGING MARKETS INCOME FUND CLASS I (multiple)	Purchase		\$100,001 - \$250,000
62	INVESCO OPTIMUM YIELD DIVERSIFIED COMMODITY STRATEGY NO K-1 ETF (multiple)	Purchase		\$1,001 - \$15,000
63	INVESCO OPTIMUM YIELD DIVERSIFIED COMMODITY STRATEGY NO K-1 ETF (multiple)	Sale		\$1,001 - \$15,000
64	ISHARES CORE MSCI EMERGING MARKETS ETF (multiple)	Purchase		\$1,001 - \$15,000
65	ISHARES CORE MSCI EMERGING MARKETS ETF (multiple)	Sale		\$1,001 - \$15,000
66	ISHARES IBOXX \$ INVT GRADE CORPOATE BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
67	ISHARES IBOXX \$ INVT GRADE CORPOATE BOND ETF (multiple)	Sale		\$1,001 - \$15,000
68	ISHARES IBOXX USD HIGH YIELD CORPORTE BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
69	ISHARES IBOXX USD HIGH YIELD CORPORTE BOND ETF (multiple)	Sale		\$1,001 - \$15,000
70	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
71	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (multiple)	Sale		\$1,001 - \$15,000
72	ISHARES NATL MUNI BOND ETF (multiple)	Purchase		\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
73	ISHARES NATL MUNI BOND ETF (multiple)	Sale		\$15,001 - \$50,000
74	PIMCO ENHANCED SHORT MAT ACTIVE ETF (multiple)	Purchase		\$1,001 - \$15,000
75	PIMCO ENHANCED SHORT MAT ACTIVE ETF (multiple)	Sale		\$1,001 - \$15,000
76	PIMCO 15+ YR US TIPS INDEX ETF (multiple)	Purchase		\$1,001 - \$15,000
77	PIMCO 15+ YR US TIPS INDEX ETF (multiple)	Sale		\$1,001 - \$15,000
78	VANGUARD MID-CAP ETF (multiple)	Purchase		\$1,001 - \$15,000
79	VANGUARD MID-CAP ETF (multiple)	Sale		\$1,001 - \$15,000
80	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (multiple)	Sale		\$1,001 - \$15,000
81	ISHARES MSCI EAFE ETF (multiple)	Purchase		\$1,001 - \$15,000
82	ISHARES MSCI EAFE ETF (multiple)	Sale		\$1,001 - \$15,000
83	ISHARES 20+ YEAR TREAS BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
84	ISHARES 20+ YEAR TREAS BOND ETF (multiple)	Sale		\$1,001 - \$15,000
85	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (multiple)	Purchase		\$1,001 - \$15,000
86	THORNBURG INTL VALUE FUND CLASS NL (multiple)	Purchase		\$1,001 - \$15,000
87	VANGUARD GROWTH ETF (multiple)	Sale		\$15,001 - \$50,000
88	VANGUARD VALUE ETF (multiple)	Purchase		\$1,001 - \$15,000
89	VANGUARD VALUE ETF (multiple)	Sale		\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
90	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (multiple)	Purchase		\$1,001 - \$15,000
91	ISHARES MSCI EAFE SMALL CAP ETF (multiple)	Purchase		\$1,001 - \$15,000
92	VANGUARD TOTAL WORLD STK ETF (multiple)	Purchase		\$1,001 - \$15,000
93	ISHARES MSCI EAFE ETF (multiple)	Purchase		\$1,001 - \$15,000
94	ISHARES MSCI EAFE ETF (multiple)	Sale		\$1,001 - \$15,000
95	ISHARES MSCI EAFE SMALL CAP ETF (multiple)	Purchase		\$1,001 - \$15,000
96	ISHARES CORE MSCI EMERGING MARKETS ETF (multiple)	Purchase		\$1,001 - \$15,000
97	ISHARES CORE MSCI EMERGING MARKETS ETF (multiple)	Sale		\$1,001 - \$15,000
98	VANGUARD VALUE ETF (multiple)	Purchase		\$1,001 - \$15,000
99	VANGUARD VALUE ETF (multiple)	Sale		\$1,001 - \$15,000
100	VANGUARD MID-CAP ETF (multiple)	Purchase		\$1,001 - \$15,000
101	VANGUARD MID-CAP ETF (multiple)	Sale		\$1,001 - \$15,000
102	VANGUARD GROWTH ETF (multiple)	Sale		\$15,001 - \$50,000
103	VANGUARD TOTAL WORLD STK ETF (multiple)	Purchase		\$1,001 - \$15,000
104	VANGUARD RUSSELL 2000 ETF (multiple)	Purchase		\$1,001 - \$15,000
105	INVESCO DEVELOPING MARKETS FUND CLASS Y (ODVYX) (multiple)	Purchase		\$1,001 - \$15,000
106	PRINCIPAL SMALL-MIDCAP DIVIDEND INC FUND CLASS INST (multiple)	Purchase		\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
107	T. ROWE PRICE OVERSEAS STOCK FUND CLAS NL (multiple)	Purchase		\$1,001 - \$15,000
108	THORNBURG INTL VALUE FUND I (multiple)	Purchase		\$1,001 - \$15,000
109	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (multiple)	Purchase		\$1,001 - \$15,000
110	FLEXSHARES IBOXX 3 YR TARGET DURATION TIPS INDEX FD ETF (multiple)	Purchase		\$1,001 - \$15,000
111	ISHARES 20+ YEAR TREAS BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
112	ISHARES 20+ YEAR TREAS BOND ETF (multiple)	Sale		\$1,001 - \$15,000
113	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
114	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (multiple)	Sale		\$1,001 - \$15,000
115	PIMCO ENHANCED SHORT MAT ACTIVE ETF (multiple)	Purchase		\$1,001 - \$15,000
116	PIMCO ENHANCED SHORT MAT ACTIVE ETF (multiple)	Sale		\$1,001 - \$15,000
117	PIMCO 15+ YR US TIPS INDEX ETF (multiple)	Purchase		\$1,001 - \$15,000
118	INVESCO OPTIMUM YIELD DIVERSIFIED COMMODITY STRATEGY NO K-1 ETF	Purchase	08/28/2020	\$1,001 - \$15,000
119	INVESCO OPTIMUM YIELD DIVERSIFIED COMMODITY STRATEGY NO K-1 ETF	Sale	11/19/2020	\$1,001 - \$15,000
120	ISHARES IBOXX \$ INVT GRADE CORPORATE BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
121	ISHARES IBOXX \$ INVT GRADE CORPORATE BOND ETF (multiple)	Sale		\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
122	ISHARES IBOXX USD HIGH YIELD CORPORATE BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
123	ISHARES IBOXX USD HIGH YIELD CORPORATE BOND ETF (multiple)	Sale		\$1,001 - \$15,000
124	ISHARES NATL MUNI BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
125	ISHARES NATL MUNI BOND ETF (multiple)	Sale		\$1,001 - \$15,000
126	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (multiple)	Sale		\$1,001 - \$15,000
127	ISHARES MSCI EAFE ETF (multiple)	Purchase		\$1,001 - \$15,000
128	ISHARES MSCI EAFE ETF (multiple)	Sale		\$1,001 - \$15,000
129	ISHARES MSCI EAFE SMALL CAP ETF (multiple)	Purchase		\$1,001 - \$15,000
130	ISHARES CORE MSCI EMERGING MARKETS ETF (multiple)	Purchase		\$1,001 - \$15,000
131	ISHARES CORE MSCI EMERGING MARKETS ETF (multiple)	Sale		\$1,001 - \$15,000
132	VANGUARD VALUE ETF (multiple)	Purchase		\$50,001 - \$100,000
133	VANGUARD VALUE ETF (multiple)	Sale		\$50,001 - \$100,000
134	VANGUARD MID-CAP ETF (multiple)	Purchase		\$15,001 - \$50,000
135	VANGUARD MID-CAP ETF (multiple)	Sale		\$1,001 - \$15,000
136	VANGUARD GROWTH ETF (multiple)	Sale		\$15,001 - \$50,000
137	VANGUARD TOTAL WORLD STK ETF (multiple)	Purchase		\$1,001 - \$15,000
138	VANGUARD RUSSELL 2000 ETF (multiple)	Purchase		\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
139	INVESCO DEVELOPING MARKETS FUND CLASS Y (ODVYX) (multiple)	Purchase		\$1,001 - \$15,000
140	PRINCIPAL SMALL-MIDCAP DIVIDEND INC FUND CLASS INST (multiple)	Purchase		\$1,001 - \$15,000
141	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (multiple)	Purchase		\$1,001 - \$15,000
142	THORNBURG INTL VALUE FUND I (multiple)	Purchase		\$1,001 - \$15,000
143	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (multiple)	Purchase		\$1,001 - \$15,000
144	FLEXSHARES IBOXX 3 YR TARGET DURATION TIPS INDEX FD ETF (multiple)	Purchase		\$1,001 - \$15,000
145	ISHARES 20+ YEAR TREAS BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
146	ISHARES 20+ YEAR TREAS BOND ETF (multiple)	Sale		\$1,001 - \$15,000
147	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (multiple)	Purchase		\$15,001 - \$50,000
148	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (multiple)	Sale		\$1,001 - \$15,000
149	PIMCO ENHANCED SHORT MAT ACTIVE ETF (multiple)	Purchase		\$1,001 - \$15,000
150	PIMCO ENHANCED SHORT MAT ACTIVE ETF (multiple)	Sale		\$15,001 - \$50,000
151	PIMCO 15+ YR US TIPS INDEX ETF (multiple)	Purchase		\$1,001 - \$15,000
152	PIMCO 15+ YR US TIPS INDEX ETF (multiple)	Sale		\$1,001 - \$15,000
153	INVESCO OPTIMUM YIELD DIVERSIFIED COMMODITY STRATEGY NO K-1 ETF	Purchase	01/08/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
154	INVESCO OPTIMUM YIELD DIVERSIFIED COMMODITY STRATEGY NO K-1 ETF	Sale	11/19/2020	\$1,001 - \$15,000
155	ISHARES IBOXX \$ INVT GRADE CORPORATE BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
156	ISHARES IBOXX \$ INVT GRADE CORPORATE BOND ETF (multiple)	Sale		\$1,001 - \$15,000
157	ISHARES IBOXX USD HIGH YIELD CORPORATE BOND ETF (multiple)	Purchase		\$1,001 - \$15,000
158	ISHARES IBOXX USD HIGH YIELD CORPORATE BOND ETF (multiple)	Sale		\$1,001 - \$15,000
159	ISHARES NATL MUNI BOND ETF (multiple)	Purchase		\$15,001 - \$50,000
160	ISHARES NATL MUNI BOND ETF (multiple)	Sale		\$15,001 - \$50,000
161	SPDR PORTFOLIO S&P 500 VALUE ETF	Purchase	04/16/2020	\$50,001 - \$100,000
162	SPDR PORTFOLIO S&P 500 VALUE ETF	Sale	05/18/2020	\$50,001 - \$100,000
163	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (multiple)	Sale		\$1,001 - \$15,000
164	JANUS ENTERPRISE FUND CL I (multiple)	Purchase		\$1,000,001 - \$5,000,000
165	WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL (multiple)	Purchase		\$500,001 - \$1,000,000
166	INVESCO QQQ TR UNIT SER 1 (multiple)	Purchase		\$1,000,001 - \$5,000,000
167	ISHARES RUSSELL 1000 ETF (multiple)	Purchase		\$1,000,001 - \$5,000,000
168	CONESTOGA SMALL CAP FUND (multiple)	Purchase		\$1,000,001 - \$5,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
169	WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL (multiple)	Sale		\$500,001 - \$1,000,000
170	Washington Mutual Investors Fund	Sale	01/10/2020	\$100,001 - \$250,000
171	EuroPacific Growth Fund	Sale	01/10/2020	\$50,001 - \$100,000
172	CollegeAmerica 529 2030 A (Virginia)	Purchase	01/10/2020	\$250,001 - \$500,000
173	Washington Mutual Investors Fund	Sale	01/10/2020	\$100,001 - \$250,000
174	EuroPacific Growth Fund	Sale	01/10/2020	\$50,001 - \$100,000
175	CollegeAmerica 529 2033 A (Virginia)	Purchase	01/10/2020	\$100,001 - \$250,000
176	Washington Mutual Investors Fund	Sale	01/10/2020	\$100,001 - \$250,000
177	EuroPacific Growth Fund	Sale	01/10/2020	\$100,001 - \$250,000
178	CollegeAmerica 529 2036 A (Virginia)	Purchase	01/10/2020	\$100,001 - \$250,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	New York Community Bank	See Endnote	Unsecured line of credit	\$5,000,001 - \$25,000,000	2016	PRIME (4.75% F)	revolving
2	Citi Group		Unsecured line of credit	\$5,000,001 - \$25,000,000	2015	LIBOR+2.9%	revolving

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
3	Signature Bank	See Endnote	Unsecured line of credit	\$5,000,001 - \$25,000,000	2016	PRIME+0.5% (3.75%F)	revolving
4	Kearny Bank (f/k/a Millington Bank)		Unsecured line of credit	\$1,000,001 - \$5,000,000	2016	PRIME	revolving
5	Bank of America	See Endnote	Note Payable	\$5,000,001 - \$25,000,000	2017	LIBOR +3.5%	10/31/22
6	Bank of America	See Endnote	Note Payable	\$5,000,001 - \$25,000,000	2019	LIBOR +3.25%	12/19/22

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1	Trust was formed on March 9, 2017. On July 24, 2018, filer announced her intention to close her fashion brands businesses, and instructed her trustees to begin the process of ceasing all operations. All operations of the business ceased on July 31, 2018.
2.	1.1	This entity holds the following intellectual property: (1) name- and initial-related trademarks registered in the United States, Canada, Mexico, UAE, EU, New Zealand, Saudi Arabia, Australia, Azerbaijan, Bahrain, Brazil, China, Indonesia, Israel, India, Japan, Kuwait, Panama, Philippines, Puerto Rico, Qatar, Turkey, Russia, Nigeria, Hong Kong, Korea, Taiwan; (2) "Women Who Work"-related trademarks registered in the United States; (3) copyrights related to Ivanka Trump branded jewelry registered in the United States; and (4) name- and initial-related copyrights registered in China and Scotland.

PART	#	ENDNOTE
2.	2	A portion of this entity's interest in Trump Old Post Office LLC is held via a third entity, Trump Old Post Office Member Corp, as follows: 3.1 Trump Old Post Office Member Corp. 3.1.1 Trump Old Post Office LLC 3.2 Trump Old Post Office LLC
2.	3	Filer previously received fees through these businesses that varied based on the performance of the business, but now receives fixed payments that have been cross-guaranteed.
2.	4	Filer previously received fees through these businesses that varied based on the performance of the business, but now receives fixed payments that have been cross-guaranteed. In addition to those for 2020, filer received a portion of the fixed payments that had been cross-guaranteed for fiscal year 2019.
2.	5	Filer previously received fees through these businesses that varied based on the performance of the business, but now receives fixed payments that have been cross-guaranteed.
2.	6	The book was released May 2, 2017.
2.	6.1	Filer has donated advance payments received after she began government service to the Ivanka M. Trump Charitable Fund, a donor-advised fund that makes grants to organizations that empower and educate women and girls. She also has donated all royalties received from Women Who Work, in excess of her advance, to the Fund.
2.	11	Filer attempted to divest her interest in residuals for television appearances, but was unable to do so. Filer donates any proceeds from these residuals to charity when she receives them.
2.	12	Filer attempted to divest her interest in residuals for television appearances, but was unable to do so. Filer donates any proceeds from these residuals to charity when she receives them.
3.	5	Arrangement start date is estimated
6.	1.1	The structure of this entity is as follows: 1.1.1 ELMWOOD CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL) 1.1.1.1 ELMWOOD CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL) 1.1.1.1.1 ELMWOOD CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)
6.	1.2	The structure of this entity is as follows: 1.2.1 LANDINGS CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL) 1.2.1.1 LANDINGS CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL) 1.2.1.1.1 LANDINGS CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)

PART	#	ENDNOTE
6.	1.3	<p>The structure of this entity is as follows:</p> <p>1.3.1 OAKWOOD CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL)</p> <p>1.3.1.1 OAKWOOD CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL)</p> <p>1.3.1.1.1 OAKWOOD CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)</p>
6.	1.4	<p>The structure of this entity is as follows:</p> <p>1.4.1 WALLKILL CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL)</p> <p>1.4.1.1 WALLKILL CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL)</p> <p>1.4.1.1.1 WALLKILL CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)</p>
6.	1.5	<p>The structure of this entity is as follows:</p> <p>1.5.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)*</p> <p>1.5.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)*</p> <p>1.5.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)*</p> <p>1.5.1.1.1.1 VILLAGE KF 4-9-10 ASSOCIATES, LLC (Residential Real Estate in New York, NY)**</p> <p>1.5.1.1.1.1.1 EAST 9TH MANAGER, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.1.1 KUSHNER VILLAGE 329 EAST 9TH, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.1.2 KUSHNER VILLAGE 3 SPE, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.2.1 KUSHNER VILLAGE 329 EAST 9TH, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.2.2 KUSHNER VILLAGE 325 EAST 10TH, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.2.3 KUSHNER VILLAGE 325 EAST 10TH MEMBER, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.3 KUSHNER VILLAGE 4 SPE, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.3.1 315 EAST TENTH STREET, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.3.2 315 EAST TENTH STREET MEMBER, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.3.3 118-120 EAST FOURTH OWNER, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.3.4 118-120 EAST FOURTH MEMBER, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.3.5 195 EAST FOURTH OWNER, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.3.6 199-203 EAST FOURTH OWNER, LLC (Residential Real Estate in New York, NY)</p> <p>1.5.1.1.1.1.3.7 199-203 EAST FOURTH MEMBER, LLC (Residential Real Estate in New York, NY)</p> <p>* Newly formed in 2020</p> <p>** Previously named 321-44 Associates, LLC</p>
6.	1.6	<p>The Structure of this entity is as follows:</p> <p>1.6.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)*</p> <p>1.6.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)*</p> <p>1.6.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)*</p> <p>1.6.1.1.1.1 PUCK BUILDING, LP (Commercial Real Estate in New York, NY)**</p> <p>1.6.1.1.1.1.1 NEW PUCK INVESTORS, LLC (Commercial Real Estate in New York, NY)</p> <p>1.6.1.1.1.1.1.1 NEW PUCK, LLC (Commercial Real Estate in New York, NY)</p> <p>*Newly formed in 2020</p> <p>** Previously named New Puck, LP</p>

PART	#	ENDNOTE
6.	2.1	See item 1.1 for entity structure.
6.	2.2	See item 1.2 for entity structure.
6.	2.3	See item 1.3 for entity structure.
6.	2.4	See item 1.4 for entity structure.
6.	2.5	This entity was previously named 321-44 Associates, LLC. See item 1.5 for entity structure.
6.	2.6	This entity was previously named Kushner Village Member, LLC. The structure of this entity is as follows: 2.6.1 VILLAGE JV SPE, LLC (Residential Real Estate in New York, NY) 2.6.1.1 120 MACDOUGAL STREET REALTY, LLC (Residential Real Estate in New York, NY) 2.6.1.2 267 EAST 10TH STREET REALTY, LLC (Residential Real Estate in New York, NY) 2.6.1.3 435 EAST 9TH STREET REALTY, LLC (Residential Real Estate in New York, NY) 2.6.1.4 156 SULLIVAN STREET REALTY, LLC (Residential Real Estate in New York, NY) 2.6.1.5 318 EAST 11TH STREET REALTY, LLC (Residential Real Estate in New York, NY) 2.6.1.6 54 BARROW STREET ASSOCIATES, LLC (Residential Real Estate in New York, NY) 2.6.1.7 99 EAST 7TH STREET REALTY, LLC (Residential Real Estate in New York, NY) 2.6.1.8 318 EAST 6TH STREET REALTY, LLC (Residential Real Estate in New York, NY)
6.	2.7	This entity was previously named Kushner Village 2 Member, LLC. The structure of this entity is as follows: 2.7.1 VILLAGE 2 JV SPE, LLC (Residential Real Estate in New York, NY) 2.7.1.1 VILLAGE JV 129 FIRST AVENUE, LLC (Residential Real Estate in New York, NY) 2.7.1.2 VILLAGE JV 143 FIRST AVENUE, LLC (Residential Real Estate in New York, NY) 2.7.1.3 VILLAGE JV 165 AVENUE A, LLC (Residential Real Estate in New York, NY) 2.7.1.4 VILLAGE JV 191-193 AVENUE A, LLC (Residential Real Estate in New York, NY) 2.7.1.5 VILLAGE JV 201 EAST 2ND STREET, LLC (Residential Real Estate in New York, NY) 2.7.1.6 VILLAGE JV 211 AVENUE A, LLC (Residential Real Estate in New York, NY) 2.7.1.7 VILLAGE JV 338 EAST 11TH, LLC (Residential Real Estate in New York, NY) 2.7.1.8 VILLAGE JV 435 12TH, LLC (Residential Real Estate in New York, NY) 2.7.1.9 VILLAGE JV 500 EAST 11TH, LLC (Residential Real Estate in New York, NY)
6.	2.9	This entity was previously named New Puck, LP. See item 1.6 for entity structure.

PART	#	ENDNOTE
6.	2.10	<p>The structure of this entity is as follows: 2.10.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)* 2.10.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)* 2.10.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)* 2.10.1.1.1.1 PUCK RESIDENTIAL ASSOCIATES 2, LLC (Residential Real Estate in New York, NY)** 2.10.1.1.1.1.1 PUCK LEONIA, LLC (Residential Real Estate in Leonia, NJ)</p> <p>* Newly formed in 2020 ** Newly formed in 2020 to hold residential real estate in New York, NY and Leonia, NJ which were spun off from Puck Residential Associates, LLC (listed in 2.19)</p>
6.	2.11	See item 2.19 for entity structure.
6.	2.12	<p>The structure of this entity is as follows: 2.12.1. Bergel 715 Associates (Residential Real Estate investment in New York, NY)</p>
6.	2.13	<p>The structure of this entity is as follows: 2.13.1. Walsam 61, LLC (Commercial Real Estate investment in New York, NY)</p>
6.	2.14	<p>The structure of this entity is as follows: 2.14.1. 148 Mad, LLC (Commercial Real Estate investment in New York, NY)</p>
6.	2.15	<p>The structure of this entity is as follows: 2.15.1. Walsam 240 Member, LLC (Residential Real Estate investment in New York, NY)</p>
6.	2.16	<p>The structure of this entity is as follows: 2.16.1. 45 Linden, LLC (Residential Real Estate investment in New York, NY) 2.16.2. 55 Linden, LLC (Residential Real Estate investment in New York, NY)</p>
6.	2.19	<p>The structure of this entity is as follows: 2.19.1 BROOKLYN KF NORTH I ASSOCIATES, LLC (Residential Real Estate in Brooklyn, NY)* 2.19.2 285 LAFAYETTE RETAIL OWNER, LLC (Commercial Real Estate in New York, NY) 2.19.2.1 285 LIB LAFAYETTE LLC (Commercial Real Estate in New York, NY)** 2.19.2.2 285 LAFAYETTE C2 LLC (Commercial Real Estate in New York, NY) **</p> <p>* This entity was previously named 50 North One, LLC. ** Newly formed in 2020 to hold two existing properties in two separate entities</p>

PART	#	ENDNOTE
6.	3.2	<p>The structure of this entity is as follows:</p> <p>3.2.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)*</p> <p>3.2.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)*</p> <p>3.2.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)*</p> <p>3.2.1.1.1.1 CK BERGEN ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)</p> <p>3.2.1.1.1.1.1 95 BAUER DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)</p> <p>3.2.1.1.1.1.2 19-05 NEVINS ROAD ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)</p> <p>3.2.1.1.1.1.3 15-00 POLLITT DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)</p> <p>3.2.1.1.1.1.4 17-01 POLLITT DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)</p> <p>3.2.1.1.1.1.5 19-00 POLLITT DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)</p> <p>3.2.1.1.1.1.6 40 POTASH ROAD ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)</p> <p>3.2.1.1.1.1.7 5 THORNTON ROAD ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)</p> <p>3.2.1.1.1.1.8 128 BAUER DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)</p> <p>* Newly formed in 2020</p>
6.	3.4	<p>The structure of this entity is as follows:</p> <p>3.4.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)*</p> <p>3.4.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)*</p> <p>3.4.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)*</p> <p>3.4.1.1.1.1 COLUMBIA EIGHTEEN ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)</p> <p>3.4.1.1.1.1.1 COLUMBIA CORPORATE CENTER DEVELOPMENT ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)</p> <p>* Newly form in 2020</p>
6.	3.6	<p>The structure of this entity is as follows:</p> <p>3.6.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)*</p> <p>3.6.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)*</p> <p>3.6.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)*</p> <p>3.6.1.1.1.1 FLORHAM PARK REALTY ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)</p> <p>* Newly formed in 2020</p>
6.	3.10	<p>The structure of this entity is as follows:</p> <p>3.10.1 LANDINGS BUILDING 136B LLC (Residential Real Estate in Perth Amboy, NJ)</p> <p>3.10.2 LANDINGS BUILDING 138 LLC (Undeveloped Assets in Perth Amboy, NJ)</p> <p>3.10.3 LANDINGS BUILDING 140B LLC (Undeveloped Assets in Perth Amboy, NJ)</p> <p>3.10.4 LANDINGS BUILDING 236 LLC (Undeveloped Assets in Perth Amboy, NJ)</p>
6.	3.11	<p>The structure of this entity is as follows:</p> <p>3.11.1 THIRTY VREELAND ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)</p>

PART	#	ENDNOTE
6.	3.12	<p>This asset has been divested. Capital gain amount is estimated.</p> <p>The structure of this entity is as follows: 3.12.1 55 CHALLENGER, LLC (Commercial Real Estate in Ridgefield Park, NJ)</p>
6.	3.13	<p>The structure of this entity is as follows: 3.13.1 ENGLEWOOD VILLAGE, LLC (Residential Real Estate in Englewood, NJ)</p>
6.	3.15	<p>The structure of this entity is as follows: 3.15.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)* 3.15.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)* 3.15.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)* 3.15.1.1.1.1 ROUTE 10 ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ)** 3.15.1.1.1.1.1 125 ALGONQUIN ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ) 3.15.1.1.1.1.2 145 ALGONQUIN ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ) 3.15.1.1.1.1.3 156 ALGONQUIN ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ) 3.15.1.1.1.1.4 30 LESLIE ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ) 3.15.1.1.1.1.5 416 ROUTE 10 ASSOCIATES, LLC (Commercial Real Estate in Hanover, NJ)</p> <p>* Newly formed in 2020 ** Previously named 75 Montgomery Associates, LLC</p>
6.	4.1	<p>The structure of this entity is as follows: 4.1.1 KCLW ACQUISITION MANAGER, LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1 KCLW ACQUISITION, LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1.1 RP/K KENT AVENUE, LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1.1.1 MARATHON/JTR WILLIAMSBURG HOLDINGS, LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1.1.1.1 184 KENT FEE, LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1.1.1.1.1 184 KENT GP, LLC (Residential Real Estate in Brooklyn, NY)* 4.1.1.1.1.1.1.1.1 184 KENT OWNER, LP (Residential Real Estate in Brooklyn, NY)**</p> <p>*Previously named 184 KENT MEZZ, LLC **Previously named 184 KENT OWNER, LLC</p>
6.	4.2	<p>The structure of this entity is as follows: 4.2.1 CHATHAM HILL HOLDINGS, LLC (Residential Real Estate in Chatham, NJ) 4.2.1.1 CHATHAM PARENT, LLC (Residential Real Estate in Chatham, NJ) 4.2.1.1.1 CHATHAM HOLDER, LLC (Residential Real Estate in Chatham, NJ)*</p> <p>* This entity was previously named Home Properties Chatham Hill, LLC.</p>
6.	4.3	See item 1.1 for entity structure.
6.	4.4	See item 1.2 for entity structure.

PART	#	ENDNOTE
6.	4.5	<p>The structure of this entity is as follows:</p> <p>4.5.1 80 WEST END PARTNERS, LLC (Residential Real Estate in Long Branch, NJ)</p> <p>4.5.1.1 PIER VILLAGE I URBAN RENEWAL COMPANY, LLC (Residential and Commercial Real Estate in Long Branch, NJ)</p> <p>4.5.1.2 PIER VILLAGE II URBAN RENEWAL COMPANY, LLC (Residential Real Estate in Long Branch, NJ)</p>
6.	4.6	<p>The structure of this entity is as follows:</p> <p>4.6.1 80 WEST END PARTNERS, LLC (Residential Real Estate in Long Branch, NJ)</p> <p>4.6.1.1 PIER VILLAGE I URBAN RENEWAL COMPANY, LLC (Residential and Commercial Real Estate in Long Branch, NJ)</p> <p>4.6.1.2 PIER VILLAGE II URBAN RENEWAL COMPANY, LLC (Residential Real Estate in Long Branch, NJ)</p>
6.	4.7	<p>The structure of this entity is as follows:</p> <p>4.7.1. KCOF - 365 BOND, LLC (Loan Receivable from LSG 365 Bond Street Holdco 1, LLC; New York, NY)</p>
6.	4.8	<p>The structure of this entity is as follows:</p> <p>4.8.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)*</p> <p>4.8.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)*</p> <p>4.8.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)*</p> <p>4.8.1.1.1.1 VILLAGE KF 12-13 ASSOCIATES, LLC (Residential Real Estate in New York, NY)**</p> <p>4.8.1.1.1.1.1 VILLAGE KF 516 EAST 13TH, LLC (Residential Real Estate in New York, NY)</p> <p>4.8.1.1.1.1.2 VILLAGE KF 516 EAST 13TH MEMBER, LLC (Residential Real Estate in New York, NY)</p> <p>4.8.1.1.1.1.3 VILLAGE KF 504 EAST 12TH, LLC (Residential Real Estate in New York, NY)</p> <p>* Newly formed in 2020</p> <p>** Previously named Village KF 12th Associates, LLC</p>
6.	4.9	<p>The structure of this entity is as follows:</p> <p>4.9.1 K2 26JS MEMBER, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.9.1.1 K2W2 26JS HOLDINGS, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.9.1.1.1 26 JOURNAL MASTER TENANT, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.9.1.1.2 26 JOURNAL SQUARE MEMBER, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.9.1.1.2.1 26 JOURNAL SQUARE OWNER, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.9.2 K2 26JS PARTNER, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.9.2.1 K2W2 26JS HOLDINGS, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.9.2.1.1 26 JOURNAL MASTER TENANT, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.9.2.1.2 26 JOURNAL SQUARE MEMBER, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.9.2.1.2.1 26 JOURNAL SQUARE OWNER, LLC (Commercial Real Estate in Jersey City, NJ)</p>

PART	#	ENDNOTE
6.	4.10	<p>The structure of this entity is as follows:</p> <p>4.10.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)*</p> <p>4.10.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)*</p> <p>4.10.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)*</p> <p>4.10.1.1.1.1 K 30 JOURNAL SQUARE, LLC (Undeveloped Real Estate in Jersey City, NJ)</p> <p>4.10.1.1.1.1.1 30 JOURNAL SQUARE PARTNERS, LLC (Undeveloped Real Estate in Jersey City, NJ)</p> <p>* Newly formed in 2020</p>
6.	4.11	<p>The structure of this entity is as follows:</p> <p>4.11.1 KC PROSPECT JV, LLC (Residential Real Estate in Hackensack, NJ)</p> <p>4.11.1.1 PROSPECT HACKENSACK JV, LLC (Residential Real Estate in Hackensack, NJ)</p> <p>4.11.1.1.1 GSG RESIDENTIAL PROSPECT TOWERS, LLC (Residential Real Estate in Hackensack, NJ)</p>
6.	4.12	<p>This entity was previously named Village UK Kushner Member, LLC.</p> <p>The structure of this entity is as follows:</p> <p>4.12.1 EV JV PARTNERSHIP, L.P. (Residential Real Estate in New York, NY)</p> <p>4.12.1.1 EV AVENUE A PROPERTY OWNER, L.P. (Residential Real Estate in New York, NY)</p> <p>4.12.1.2 EV 1ST AVENUE PROPERTY OWNER, L.P. (Residential Real Estate in New York, NY)</p>
6.	4.13	<p>The structure of this entity is as follows:</p> <p>4.13.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)*</p> <p>4.13.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)*</p> <p>4.13.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)*</p> <p>4.13.1.1.1.1 VILLAGE KF 2 KM, LLC (Residential Real Estate in New York, NY)</p> <p>4.13.1.1.1.1.1 VILLAGE KF 2 ASSOCIATES, LLC (Residential Real Estate in New York, NY)</p> <p>* Newly formed in 2020</p>
6.	4.14	<p>This entity was previously named 321-44 Associates, LLC. See item 1.5 for entity structure.</p>

PART	#	ENDNOTE
6.	4.15	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.15.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)* 4.15.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)* 4.15.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)* 4.15.1.1.1.1 65 BAY, LLC (Residential Real Estate in Jersey City, NJ)** 4.15.1.1.1.1.1 K MORGAN STREET, LLC (Residential Real Estate in Jersey City, NJ) 4.15.1.1.1.1.1.1 MORGAN STREET DEVELOPERS JOINT VENTURE, LLC (Residential Real Estate in Jersey City, NJ) 4.15.1.1.1.1.1.1.1 MORGAN STREET INVESTORS RETAIL UNIT 3, LLC (Residential Real Estate in Jersey City, NJ) 4.15.1.1.1.1.1.1.2 MORGAN STREET DEVELOPERS MEZZ ASSOCIATES, LLC (Residential Real Estate in Jersey City, NJ) 4.15.1.1.1.1.1.2.1 MORGAN STREET DEVELOPERS URBAN RENEWAL COMPANY, LLC (Residential Real Estate in Jersey City, NJ)
		* Newly formed in 2020
		** Previously named GAIA JC, LLC

PART	#	ENDNOTE
6.	4.17	<p>The structure of this entity is as follows:</p> <p>4.17.1 MARYLAND APARTMENT HOLDINGS 10-PACK JV, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>4.17.1.1 MARYLAND APARTMENT HOLDINGS PE 10-PACK JV, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>4.17.1.1.1 RP COVE VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.1.1 RP COVE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2 SRH/LA BALTIMORE PROPERTIES, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1 SRH/LA BALTIMORE MEZZANINE, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1 WHITEMARSH I, II, IV MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1.1 COMMONS AT WHITEMARSH I, II, V, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1.1.1 SRH/LA WHITEMARSH I, II, V APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1.2 COMMONS AT WHITEMARSH I, II, V, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.2.1 SRH/LA WHITEMARSH I, II, V APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.3 WHITEMARSH III MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.3.1 COMMONS AT WHITEMARSH III, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.3.1.1 SRH/LA WHITEMARSH III APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.4 COMMONS AT WHITEMARSH III, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.4.1 SRH/LA WHITEMARSH III APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.5 WHITEMARSH IVA MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.5.1 COMMONS AT WHITEMARSH IVA, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.5.1.1 SRH/LA WHITEMARSH IVA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.6 COMMONS AT WHITEMARSH IVA, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.6.1 SRH/LA WHITEMARSH IVA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.7 WHITEMARSH IVB MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.7.1 COMMONS AT WHITEMARSH IVB, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.7.1.1 SRH/LA WHITEMARSH IVB APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.8 COMMONS AT WHITEMARSH IVB, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.8.1 SRH/LA WHITEMARSH IVB APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.9 HARBOR POINT I, II, IV MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.9.1 HARBOR POINT ESTATES I, II, IV, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.9.1.1 SRH/LA HARBOR POINT I, II, IV APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p>

Baltimore County, MD)
4.17.1.1.2.1.10 HARBOR POINT ESTATES I, II, IV, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.2.1.10.1 SRH/LA HARBOR POINT I, II, IV APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3 SRH/LA CHESAPEAKE APARTMENTS LLC (Residential Real Estate in Baltimore and Prince George's County, MD)
4.17.1.1.3.1 SRH/LA CHESAPEAKE MEZZANINE, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)
4.17.1.1.3.1.1 DUTCH VILLAGE MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.1.1 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.1.1.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.2 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.2.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.3 FONTANA MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.3.1 FONTANA, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.3.1.1 SRH/LA FONTANA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.4 FONTANA, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.4.1 SRH/LA FONTANA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.5 HAMILTON MANOR MANAGER, INC. (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.5.1 HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.5.1.1 SRH/LA HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.6 HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.6.1 SRH/LA HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.7 HIGHLAND #179 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.7.1 HIGHLAND #179, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.7.1.1 SRH/LA HIGHLAND #179 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.8 HIGHLAND #179, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.8.1 SRH/LA HIGHLAND #179 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.9 HIGHLAND #241 GP, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.9.1 HIGHLAND #241, LLLP (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.9.1.1 SRH/LA HIGHLAND #241 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.10 HIGHLAND #241, LLLP (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.10.1 SRH/LA HIGHLAND #241 APARTMENTS, LLC (Residential Real Estate in Baltimore

4.17.1.1.3.1.10.1 SRH/LA HIGHLAND #241 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.11 HIGHLAND #689 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.11.1 HIGHLAND #689, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.11.1.1 SRH/LA HIGHLAND #689 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.12 HIGHLAND #689, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.12.1 SRH/LA HIGHLAND #689 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.13 PLEASANTVIEW MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.13.1 PLEASANTVIEW, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.13.1.1 PLEASANTVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.14 PLEASANTVIEW, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.14.1 PLEASANTVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.15 RIVERVIEW MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.15.1 RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.15.1.1 SRH/LA RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.16 RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.16.1 SRH/LA RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.17 WHISPERING WOODS #250 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.17.1 WHISPERING WOODS #250, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.17.1.1 SRH/LA WHISPERING WOODS #250 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.18 WHISPERING WOODS #250, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.18.1 SRH/LA WHISPERING WOODS #250 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.19 WHISPERING WOODS #299 GP, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.19.1 WHISPERING WOODS #299 LIMITED PARTNERSHIP (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.19.1.1 WHISPERING WOODS #299 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.20 WHISPERING WOODS #299 LIMITED PARTNERSHIP (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.20.1 WHISPERING WOODS #299 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)

PART	#	ENDNOTE
6.	4.18	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.18.1 KCLW ACQUISITION, LLC (Residential Real Estate in Brooklyn, NY) 4.18.1.1 RP/K KENT AVENUE, LLC (Residential Real Estate in Brooklyn, NY) 4.18.1.1.1 MARATHON/JTR WILLIAMSBURG HOLDINGS, LLC (Residential Real Estate in Brooklyn, NY) 4.18.1.1.1.1 184 KENT FEE, LLC (Residential Real Estate in Brooklyn, NY) 4.18.1.1.1.1.1 184 KENT GP, LLC (Residential Real Estate in Brooklyn, NY)* 4.18.1.1.1.1.1.1 184 KENT OWNER, LP (Residential Real Estate in Brooklyn, NY)** <p>*Previously named 184 KENT MEZZ, LLC **Previously named 184 KENT OWNER, LLC</p>
6.	4.19	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.19.1 K/S WESTWOOD PARTNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1 WESTWOOD INVESTORS JV, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1 STANFORD GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.1 WESTWOOD CENTER STANFORD PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.2 WESTWOOD CENTER STANFORD PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.3 RC VILLAGE GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.3.1 RIVERVALE COLLIGON VILLAGE PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.4 RIVERVALE COLLIGON VILLAGE PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.5 COVENTRY GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.5.1 WESTWOOD CHARLES CONVENTRY PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.6 WESTWOOD CHARLES CONVENTRY PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.7 MADISON GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.7.1 WESTWOOD MADISON PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.8 WESTWOOD MADISON PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)

PART	#	ENDNOTE
6.	4.20	<p>The structure of this entity is as follows:</p> <p>4.20.1 K/S WESTWOOD PARTNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1 WESTWOOD INVESTORS JV, L.P. (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.1 STANFORD GP, LLC (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.1.1 WESTWOOD CENTER STANFORD PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.2 WESTWOOD CENTER STANFORD PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.3 RC VILLAGE GP, LLC (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.3.1 RIVERVALE COLLIGON VILLAGE PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.4 RIVERVALE COLLIGON VILLAGE PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.5 COVENTRY GP, LLC (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.5.1 WESTWOOD CHARLES CONVENTRY PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.6 WESTWOOD CHARLES CONVENTRY PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.7 MADISON GP, LLC (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.7.1 WESTWOOD MADISON PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)</p> <p>4.20.1.1.8 WESTWOOD MADISON PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)</p>
6.	4.21	<p>The structure of this entity is as follows:</p> <p>4.21.1 K-BLS PORTFOLIO, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.21.1.1 BLS ASSOCIATES, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.21.1.1.1 BLS PORTFOLIO, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.21.1.1.1.1 38 MONROE PLACE, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.21.1.1.1.2 100 PIERREPONT STREET, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.21.1.1.2.3 18 SYDNEY PLACE, LLC (Residential Real Estate in Brooklyn, NY)</p> <p>4.21.1.1.2.4 89 HICKS STREET, LLC (Residential Real Estate in Brooklyn, NY)</p>
6.	4.22	This entity was previously named New Puck, LP. See item 1.6 for entity structure.
6.	4.23	<p>The structure of this entity is as follows:</p> <p>4.23.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)*</p> <p>4.23.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)*</p> <p>4.23.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)*</p> <p>4.23.1.1.1.1 STATE TOWER OF SYRACUSE ASSOCIATES, LLC (Residential Real Estate in Leonia, NJ)</p> <p>4.23.1.1.1.1.1 ST LEONIA, LLC (Residential Real Estate in Leonia, NJ)</p>
		* Newly formed in 2020

PART	#	ENDNOTE
6.	4.24	<p>The structure of this entity is as follows:</p> <p>4.24.1 ELMWOOD NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.1.1 ELMWOOD NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.1.1.1 ELMWOOD NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.1.1.1.1 ELMWOOD NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.2 OAKWOOD NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.2.1 OAKWOOD NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.2.1.1 OAKWOOD NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.2.1.1.1 OAKWOOD NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.3 WALLKILL NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.3.1 WALLKILL NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.3.1.1 WALLKILL NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.3.1.1.1 WALLKILL NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.4 LANDINGS NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.4.1 LANDINGS NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.4.1.1 LANDINGS NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.24.4.1.1.1 LANDINGS NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p>
6.	4.25	<p>The structure of this entity is as follows:</p> <p>4.25.1 WARREN AT BAY HOLDINGS, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.25.1.1 WARREN AT BAY URBAN RENEWAL COMPANY, LLC (Commercial Real Estate in Jersey City, NJ)*</p> <p>* This entity was previously named Warren at Bay, LLC.</p>
6.	4.26	<p>The structure of this entity is as follows:</p> <p>4.26.1 S.S.D.W. CO., L.P. (Residential Real Estate in New York, NY)</p> <p>4.26.1.1 115 EAST 87 OWNERS CORPORATION (Residential Real Estate in New York, NY)</p>
6.	4.27	<p>The structure of this entity is as follows:</p> <p>4.27.1 80 MAID, LLC (Commercial Real Estate in New York, NY)</p> <p>4.27.1.1 NK 80 MAIDEN HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.27.1.1.1 NK 80 MAIDEN MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.27.1.1.1.1 NK 80 MAIDEN OWNER, LLC (Commercial Real Estate in New York, NY)</p>
6.	4.28	<p>The structure of this entity is as follows:</p> <p>4.28.1. PIER VILLAGE III HOTEL URBAN RENEWAL COMPANY, LLC (Wave Hotel in Long Branch, NJ)</p>
6.	4.29	<p>This asset has been divested. Capital gain amount is estimated.</p> <p>The structure of this entity is as follows:</p> <p>4.29.1 BLOCK 140 RESIDENTIAL, LLC (Residential Real Estate in Kansas City, MO)</p>

PART	#	ENDNOTE
6.	4.30	<p>The structure of this entity is as follows:</p> <p>4.30.1 STONE PORTFOLIO JV, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1 STONE PORTFOLIO, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1.1 KC3 - 101 MACDOUGAL STREET, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1.2 KC3 - 104 EAST 7TH STREET, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1.3 KC3 - 233 EAST 82ND STREET, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1.4 KC3 - 234-238 EAST 33RD STREET, LLC(Residential Real Estate in New York, NY)</p> <p>4.30.1.1.5 KC3 - 310 EAST 83RD STREET, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1.6 KC3 - 319-321 EAST 78TH STREET, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1.7 KC3 - 325 EAST 83RD STREET, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1.8 KC3 - 410 EAST 64TH STREET, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1.9 KC3 - 438-440 EAST 13TH STREET, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1.10 KC3 - 504 EAST 88TH STREET, LLC (Residential Real Estate in New York, NY)</p> <p>4.30.1.1.11 KC3 - 354-356 STATE STREET, LLC (Residential Real Estate in New York, NY)</p>
6.	4.31	<p>The structure of this entity is as follows:</p> <p>4.31.1 PIER VILLAGE III RETAIL URBAN RENEWAL COMPANY, LLC (Commercial Real Estate Under Construction in Long Branch, NJ)</p> <p>Previously listed entities, PIER VILLAGE LOFTS JV, LLC and PIER VILLAGE III URBAN RENEWAL COMPANY, LLC, are no longer in the entity structure upon redemption and liquidation arrangement of joint venture.</p>
6.	4.32	<p>The structure of this entity is as follows:</p> <p>4.32.1 PV BUNGALOW LIQUOR LICENSE, LLC (Liquor License of a hotel in Long Branch, NJ)</p> <p>4.32.1.1 PV BUNGALOW MANAGEMENT, LLC (Liquor License of a hotel in Long Branch, NJ)</p>
6.	4.33	<p>The structure of this entity is as follows:</p> <p>4.33.1. KCOF - MANA, LLC (Loan Receivable from DB Mana Wynwood, LLC; New York, NY)</p>

PART	#	ENDNOTE
6.	4.35	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.35.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)* 4.35.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)* 4.35.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)* 4.35.1.1.1.1 KC DUMBO OFFICE, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1.1.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1.1.1.1 RFR/K DUMBO, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1.1.1.1.1 WATCHTOWER PROPERTY MANAGER LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1.1.1.1.2 RFR/K DUMBO MT, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1.1.1.1.3 RFR/K DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1.1.1.1.3.1 RFR/K DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1.1.1.1.3.1.1 RFR/K 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1.1.1.1.3.1.2 RFR/K 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1.1.1.1.3.1.3 RFR/K 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1.1.1.1.3.1.4 RFR/K 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) <p>*Newly formed in 2020</p>
6.	4.36	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.36.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY) 4.36.1.1 KRL 175 PEARL, LLC (Commercial Real Estate in Brooklyn, NY) 4.36.1.1.1 MAPLE 3P KRL 175 PEARL HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 4.36.1.1.1.1 MAPLE 3P KRL 175 PEARL MEZZ, LLC (Commercial Real Estate in Brooklyn, NY) 4.36.1.1.1.1.1 MAPLE 3P KRL 175 PEARL OWNER, LLC (Commercial Real Estate in Brooklyn, NY)
6.	4.37	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.37.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)* 4.37.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)* 4.37.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)* 4.37.1.1.1.1 KC DUMBO NEWCO, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1.1.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1.1.1.1 RFR/K DUMBO, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1.1.1.1.1 WATCHTOWER PROPERTY MANAGER LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1.1.1.1.2 RFR/K DUMBO MT, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1.1.1.1.3 RFR/K DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1.1.1.3.1 RFR/K DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1.1.1.3.1.1 RFR/K 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1.1.1.3.1.2 RFR/K 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1.1.1.3.1.3 RFR/K 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1.1.1.3.1.4 RFR/K 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) <p>*Newly formed in 2020</p>

PART	#	ENDNOTE
6.	4.38	<p>The structure of this entity is as follows:</p> <p>4.38.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>4.38.1.1 KRL 175 PEARL, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>4.38.1.1.1 MAPLE 3P KRL 175 PEARL HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>4.38.1.1.1.1 MAPLE 3P KRL 175 PEARL MEZZ, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>4.38.1.1.1.1.1 MAPLE 3P KRL 175 PEARL OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p>
6.	8.1	See item 4.5 for entity structure.
6.	8.2	See item 4.6 for entity structure.
6.	9.1	<p>The structure of this entity is as follows:</p> <p>9.1.1 KUSHNER MIDWEST PARTNERS 1, LLC (Residential Real Estate in Chesterfield & Midlothian, VA)</p> <p>9.1.1.1 RICHMOND GARDEN, LLC (Residential Real Estate in Chesterfield, VA)</p> <p>9.1.1.2 RICHMOND SALISBURY, LLC (Residential Real Estate in Midlothian, VA)</p>
6.	10	See item 3.12 for entity structure.
6.	12	See item 4.2 for entity structure.
6.	13	<p>The structure of this entity is as follows:</p> <p>13.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)*</p> <p>13.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)*</p> <p>13.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)*</p> <p>13.1.1.1.1 K 30 JOURNAL MANAGER CORP. (Undeveloped Real Estate in Jersey City, NJ)</p> <p>13.1.1.1.1.1 K 30 JOURNAL SQUARE, LLC (Undeveloped Real Estate in Jersey City, NJ)</p> <p>13.1.1.1.1.1.1 30 JOURNAL SQUARE PARTNERS, LLC (Undeveloped Real Estate in Jersey City, NJ)</p> <p>* Newly formed in 2020</p>
6.	14	<p>The structure of this entity is as follows:</p> <p>14.1 KUSHNER MARYLAND PARTNERS, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>14.1.1 KUSHNER MARYLAND INVESTMENT FUND, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>14.1.1.1 MARYLAND APARTMENT HOLDINGS 10-PACK INVESTOR, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>14.1.1.1.1 MARYLAND APARTMENT HOLDINGS 10-PACK JV, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>See item 4.17 for the structure of Maryland Apartment Holdings 10-Pack JV, LLC.</p>
6.	15	See item 4.17 for entity structure.

PART	#	ENDNOTE
6.	16	<p>The Structure of this entity is as follows: 16.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)* 16.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)* 16.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)* 16.1.1.1.1 NEW PUCK CORP. (Commercial Real Estate in New York, NY) 16.1.1.1.1.1 PUCK BUILDING, LP (Commercial Real Estate in New York, NY)** 16.1.1.1.1.1.1 NEW PUCK INVESTORS, LLC (Commercial Real Estate in New York, NY) 16.1.1.1.1.1.1.1 NEW PUCK, LLC (Commercial Real Estate in New York, NY)</p> <p>*Newly formed in 2020 ** Previously named New Puck, LP</p>
6.	17	See item 4.24 for entity structure.
6.	19	<p>The conflicting assets of this interest have been divested.</p> <p>The structure of this entity is as follows: 19.1 JCK PROMOTE, LLC (Holding Company in New York, NY)*</p> <p>*This holding company holds the items reported at Part 6, 19.1-19.6. Due to an accounting error, this holding company was not reported on prior disclosures.</p>

PART	#	ENDNOTE
6.	19.2	<p>This entity holds contingent rights to ownership interests in the following entities if specified revenue targets are met:</p> <p>KC Dumbo Office, LLC (Commercial Real Estate in Brooklyn, NY) 80 ML, LLC (Commercial Real Estate in New York, NY) Warren At Bay Associates, LLC (Commercial Real Estate in Jersey City, NJ) K/S Westwood Partner, LP (Residential Real Estate in Westwood and River Vale, NJ) Chatham Hill Holdings, LLC (Residential Real Estate in Chatham, NJ) Puck Residential Associates 2, LLC (Residential Real Estate in New York, NY and Leonia, NJ)*** K GAIA Village 2 Associates, LLC (Residential Real Estate in New York, NY) K Stonehage Village 1 Associates, LLC (Residential Real Estate in New York, NY)** Brooklyn KF North 1 Associates, LLC (Residential Real Estate in Brooklyn, NY) Village KF 2 Associates, LLC (Residential Real Estate in New York, NY) BLS Portfolio, LLC (Residential Real Estate in Brooklyn, NY) Stone Portfolio, LLC (Residential Real Estate in New York, NY) K Morgan Street, LLC (Residential Real Estate in Jersey City, NJ) K 26 Journal Square, LLC (Commercial Real Estate in Jersey City, NJ) K 30 Journal Square, LLC (Commercial Real Estate in Jersey City, NJ) 184 Kent Owner, LLC (Residential Real Estate in Brooklyn, NY) Pier Village III Retail Urban Renewal Company, LLC (Commercial Real Estate Under Construction in Long Branch, NJ) 55 Challenger Road Associates LLC (Commercial Real Estate in Ridgefield Park, NJ)* K GAIA Village 1 Associates, LLC (Residential Real Estate in New York, NY) K Maryland Associates, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>* This asset has been divested. ** Previously listed as EV JV GP, LLC ***Previously listed as Puck Residential Associates, LLC.</p>
6.	19.3	See item 4.12 for entity structure.
6.	19.4	<p>The structure of this entity is as follows:</p> <p>19.4.1 VILLAGE 2 JV SPE, LLC (Residential Real Estate in New York, NY) 19.4.1.1 VILLAGE JV 129 FIRST AVENUE, LLC (Residential Real Estate in New York, NY) 19.4.1.2 VILLAGE JV 143 FIRST AVENUE, LLC (Residential Real Estate in New York, NY) 19.4.1.3 VILLAGE JV 165 AVENUE A, LLC (Residential Real Estate in New York, NY) 19.4.1.4 VILLAGE JV 191-193 AVENUE A, LLC (Residential Real Estate in New York, NY) 19.4.1.5 VILLAGE JV 201 EAST 2ND STREET, LLC (Residential Real Estate in New York, NY) 19.4.1.6 VILLAGE JV 211 AVENUE A, LLC (Residential Real Estate in New York, NY) 19.4.1.7 VILLAGE JV 338 EAST 11TH, LLC (Residential Real Estate in New York, NY) 19.4.1.8 VILLAGE JV 435 12TH, LLC (Residential Real Estate in New York, NY) 19.4.1.9 VILLAGE JV 500 EAST 11TH, LLC (Residential Real Estate in New York, NY)</p>

PART	#	ENDNOTE
6.	19.5	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 19.5.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)* 19.5.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)* 19.5.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)* 19.5.1.1.1.1 KC PROFIT PARTICIPATION - 65 BAY STREET SERIES, LLC (Residential Real Estate in Jersey City, NJ) 19.5.1.1.1.1.1 65 BAY, LLC (Residential Real Estate in Jersey City, NJ)** 19.5.1.1.1.1.1.1 K MORGAN STREET, LLC (Residential Real Estate in Jersey City, NJ) 19.5.1.1.1.1.1.1.1 MORGAN STREET DEVELOPERS JOINT VENTURE, LLC (Residential Real Estate in Jersey City, NJ) 19.5.1.1.1.1.1.1.1.1 MORGAN STREET INVESTORS RETAIL UNIT 3, LLC (Residential Real Estate in Jersey City, NJ) 19.5.1.1.1.1.1.1.2 MORGAN STREET DEVELOPERS MEZZ ASSOCIATES, LLC (Residential Real Estate in Jersey City, NJ) 19.5.1.1.1.1.1.1.2.1 MORGAN STREET DEVELOPERS URBAN RENEWAL COMPANY, LLC (Residential Real Estate in Jersey City, NJ) <p>* Newly formed in 2020 ** Previously named GAIA JC, LLC</p>
6.	19.6	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 19.6.1 K/S WESTWOOD GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1 K/S WESTWOOD PARTNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1 WESTWOOD INVESTORS JV, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.1 STANFORD GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.1.1 WESTWOOD CENTER STANFORD PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.2 WESTWOOD CENTER STANFORD PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.3 RC VILLAGE GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.3.1 RIVERVALE COLLIGON VILLAGE PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.4 RIVERVALE COLLIGON VILLAGE PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.5 COVENTRY GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.5.1 WESTWOOD CHARLES CONVENTRY PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.6 WESTWOOD CHARLES CONVENTRY PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.7 MADISON GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.7.1 WESTWOOD MADISON PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 19.6.1.1.1.8 WESTWOOD MADISON PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)

PART	#	ENDNOTE
6.	20	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 20.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)* 20.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)* 20.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)* 20.1.1.1.1 KC DUMBO PARTNERS 1, LLC (Commercial Real Estate in Brooklyn, NY) 20.1.1.1.1.1 KC DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY) 20.1.1.1.1.1.1 KC DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 20.1.1.1.1.1.1.1 KC 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 20.1.1.1.1.1.1.2 KC 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 20.1.1.1.1.1.1.3 KC 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 20.1.1.1.1.1.1.4 KC 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) <p>* Newly formed in 2020</p>
6.	21	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 21.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)* 21.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)* 21.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)* 21.1.1.1.1 KC DUMBO PROMOTE, LLC (Commercial Real Estate in Brooklyn, NY) 21.1.1.1.1.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY) 21.1.1.1.1.1.1 RFR/K DUMBO, LLC (Commercial Real Estate in Brooklyn, NY) 21.1.1.1.1.1.1.1 WATCHTOWER PROPERTY MANAGER LLC (Commercial Real Estate in Brooklyn, NY) 21.1.1.1.1.1.1.2 RFR/K DUMBO MT, LLC (Commercial Real Estate in Brooklyn, NY) 21.1.1.1.1.1.1.3 RFR/K DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY) 21.1.1.1.1.1.1.3.1 RFR/K DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 21.1.1.1.1.1.1.3.1.1 RFR/K 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 21.1.1.1.1.1.1.3.1.2 RFR/K 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 21.1.1.1.1.1.1.3.1.3 RFR/K 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 21.1.1.1.1.1.1.3.1.4 RFR/K 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) <p>* Newly formed in 2020</p>
6.	22	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 22.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY) 22.1.1 KRL 175 PEARL, LLC (Commercial Real Estate in Brooklyn, NY) 22.1.1.1 MAPLE 3P KRL 175 PEARL HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 22.1.1.1.1 MAPLE 3P KRL 175 PEARL MEZZ, LLC (Commercial Real Estate in Brooklyn, NY) 22.1.1.1.1.1 MAPLE 3P KRL 175 PEARL OWNER, LLC (Commercial Real Estate in Brooklyn, NY)
6.	23	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 23.1 KUSHNER TASE HOLDINGS, LLC (Holding Company, DE)* 23.1.1 KUSHNER COMPANIES BVI LIMITED (Holding Company, British Virgin Islands)* 23.1.1.1 KUSHNER TASE MANAGEMENT, LLC (Holding Company, DE)* 23.1.1.1.1 QUAIL RIDGE, LLC (Residential Real Estate in Plainsboro, NJ) 23.1.1.1.1.1 QUAIL RIDGE ACQUISITIONS, LLC (Residential Real Estate in Plainsboro, NJ) <p>* Newly formed in 2020</p>

PART	#	ENDNOTE
6.	26	Previously disclosed U.S. money market account #2 has been closed.
6.	41	The structure of this entity is as follows: 41.1 KC DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY) 41.1.1 KC DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 41.1.1.1 KC 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 41.1.1.2 KC 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 41.1.1.3 KC 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 41.1.1.4 KC 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)
6.	42	The structure of this entity is as follows: 42.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY)* 42.1.1 RFR/K DUMBO, LLC (Commercial Real Estate in Brooklyn, NY)* 42.1.1.1 WATCHTOWER PROPERTY MANAGER LLC (Commercial Real Estate in Brooklyn, NY)* 42.1.1.2 RFR/K DUMBO MT, LLC (Commercial Real Estate in Brooklyn, NY) 42.1.1.3 RFR/K DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY) 42.1.1.3.1 RFR/K DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 42.1.1.3.1.1 RFR/K 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 42.1.1.3.1.2 RFR/K 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 42.1.1.3.1.3 RFR/K 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 42.1.1.3.1.4 RFR/K 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) *Newly formed in 2020
6.	43	See item 4.38 for entity structure.
6.	44	The structure of this entity is as follows: 44.1 QUAIL RIDGE ACQUISITIONS, LLC (Residential Real Estate in Plainsboro, NJ)
6.	45	The structure of this entity is as follows: 45.1 KUSHNER RICHMOND HOLDCO, LLC (Residential Real Estate in Chesterfield & Midlothian, VA) 45.1.1 KUSHNER MIDWEST PARTNERS 1, LLC (Residential Real Estate in Chesterfield & Midlothian, VA) 45.1.1.1 RICHMOND GARDEN, LLC (Residential Real Estate in Chesterfield, VA) 45.1.1.2 RICHMOND SALISBURY, LLC (Residential Real Estate in Midlothian, VA)
6.	46	See item 14 for entity structure.
6.	47	See item 4.17 for entity structure.

PART	#	ENDNOTE
6.	48	The structure of this entity is as follows: 48.1 KFF RICHMOND, LLC (Residential Real Estate in Chesterfield & Midlothian, VA) 48.1.1 KUSHNER RICHMOND HOLDCO, LLC (Residential Real Estate in Chesterfield & Midlothian, VA) 48.1.1.1 KUSHNER MIDWEST PARTNERS 1, LLC (Residential Real Estate in Chesterfield & Midlothian, VA) 48.1.1.1.1 RICHMOND GARDEN, LLC (Residential Real Estate in Chesterfield, VA) 48.1.1.1.2 RICHMOND SALISBURY, LLC (Residential Real Estate in Midlothian, VA)
6.	49.8	This item is also referred to as OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX).
6.	49.23	This asset has been divested.
6.	49.24	Due to an accounting error, this asset was omitted from the prior disclosure, but should have been reported with an asset value of \$250,001-\$500,000. This asset has been divested.
6.	49.25	This asset has been divested.
6.	49.26	This asset has been divested.
6.	49.27	This asset has been divested.
6.	53.8	This item is also referred to as OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX).
6.	53.20	This asset has been divested.
6.	53.21	This asset has been divested.
6.	54.8	This item is also referred to as OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX).
6.	54.20	This asset has been divested.
6.	55.8	This item is also referred to as OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX).
6.	55.19	This asset has been divested.
6.	55.20	This asset has been divested.
6.	55.21	This asset has been divested.
7.	1	The transaction was made by an entity in which the filer's spouse has an indirect, passive interest; the reported amount is based upon the filer's spouse's interest in the entity making the sale. For information regarding the underlying assets of this entity, please refer to the endnote to Part 6, Line 3.12.

PART	#	ENDNOTE
7.	2	The transaction was made by an entity in which the filer's spouse has an indirect, passive interest; the reported amount is based upon the filer's spouse's interest in the entity making the sale. For information regarding the underlying assets of this entity, please refer to the endnote to Part 6, Line 4.1 and 4.18.
7.	3	The transaction was made by an entity in which the filer's spouse has an indirect, passive interest; the reported amount is based upon the filer's spouse's interest in the entity making the purchase. For information regarding the underlying assets of this entity, please refer to the endnote to Part 6, Line 4.7.
7.	4	This transaction was made by a trust of which the filer's spouse is a beneficiary.
8.	1	Filer's spouse holds this line of credit jointly with his father.
8.	3	Filer's spouse holds this line of credit jointly with his father.
8.	5	Filer's spouse holds this note jointly with certain members of Quail Ridge, LLC.
8.	6	Filer's spouse holds this note jointly with certain members of Times Square Associates, LLC.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
