

# EXHIBIT A



Meredith Lerner <mlerner@citizensforethics.org>

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## OGE Form 201 Request

3 messages

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**Meredith Lerner** <mlerner@citizensforethics.org>  
To: 201 Forms <201forms@oge.gov>

Mon, Apr 12, 2021 at 10:17 AM

Good morning,

Please see the attached 201 request for public financial disclosure and periodic transaction reports. If you have any questions about this request, please do not hesitate to contact me.

Best,  
Meredith

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**Meredith Lerner** (*she/her*)  
Senior Researcher  
CREW | Citizens for Responsibility and Ethics in Washington  
[mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)

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 **2021-04-12 Louis DeJoy and Marc Short OGE - OGE Form 201 (Approved OMB 2020).pdf**  
869K

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**201 Forms** <201forms@oge.gov>  
To: Meredith Lerner <mlerner@citizensforethics.org>, 201 Forms <201forms@oge.gov>

Mon, Apr 12, 2021 at 11:48 AM

## CONTROLLED

The documents you requested are attached where available. A copy of your completed request is attached for your files.

Status: **Complete**

Best regards,

Lori Kelly, Program Specialist  
US Office of Government Ethics  
Financial Disclosure Branch  
Compliance Division  
202-482-9306

[Quoted text hidden]

CREW | Citizens for Responsibility and Ethics in Washington

Main: (202) 408-5565 | Fax: (202) 588-5020 | [www.citizensforethics.org](http://www.citizensforethics.org)


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#### 9 attachments


 **Louis-DeJoy-02.04.2021-278T (1).pdf**  
17K


 **Louis-DeJoy-03.02.2021-278T (1).pdf**  
26K


 **Louis-DeJoy-01.08.2021-278T.pdf**  
34K


 **Louis-DeJoy-12.03.2020-278T.pdf**  
18K

 **Louis-DeJoy-11.02.2020-278T.pdf**  
18K

 **Louis-DeJoy-10.02.2020-278T.pdf**  
17K

 **Louis-DeJoy-09.01.2020-278T.pdf**  
21K

 **Louis-DeJoy-07.21.2020-278T.pdf**  
24K

 **04122021 Lerner.pdf**  
441K

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**Meredith Lerner** <[mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)>  
To: Virginia Canter <[vcanter@citizensforethics.org](mailto:vcanter@citizensforethics.org)>


Wed, Jul 28, 2021 at 1:15 PM


201 request to OGE for Marc Short's termination report


[Quoted text hidden]


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#### 9 attachments


 **Louis-DeJoy-02.04.2021-278T (1).pdf**  
17K


 **Louis-DeJoy-03.02.2021-278T (1).pdf**  
26K


 **Louis-DeJoy-01.08.2021-278T.pdf**  
34K


 **Louis-DeJoy-12.03.2020-278T.pdf**


18K

 **Louis-DeJoy-11.02.2020-278T.pdf**  
18K

 **Louis-DeJoy-10.02.2020-278T.pdf**  
17K

 **Louis-DeJoy-09.01.2020-278T.pdf**  
21K

 **Louis-DeJoy-07.21.2020-278T.pdf**  
24K

 **04122021 Lerner.pdf**  
441K

## Request to Inspect or Receive Copies of Executive Branch Personnel Public Financial Disclosure Reports or Other Covered Records.

OGE Form 201 (April 2020)

### I. Application

1. Applicant's name and address (please print):  
Meredith Lerner, Citizens for Responsibility and Ethics in Washington  
1101 K Street NW, Suite 201  
Washington, DC 20005

#### Agency Use Only

Received date: APR 12, 2021

Filled date: APR 12, 2021

1a. Office telephone number: ( 202 ) 897 1845 ext. \_\_\_\_\_

2. Occupation:  
Senior Researcher

3. If application is for or on behalf of any other person or organization, give the other's name:  
Citizens for Responsibility and Ethics in Washington

3a. Address of the other person or organization:  
1101 K Street NW, Suite 201  
Washington, DC 20005

4. Type of applicant:  
 news media  private citizen  public interest group  law firm  other private organization  government

5.  Copy of the most recent (or other, specify which and whether to include OGE Form 278-Ts) Public Financial Disclosure Report Form OGE Form 278/SF 278 requested for the following named individual(s):

a. Louis DeJoy - all OGE forms 278-T Available documents attached

b. Marc Short - termination 278 No documents available

e.

c.

f.

Certain other types of records ("covered records") can also be requested using this form (see Part III below); if you are requesting another covered record, check this box  and specify which type of record(s): \_\_\_\_\_

6. Indicate how you wish to receive this request:

Pick up at OGE  By mail (at the address listed above) **Please send via email to [mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)**

I am aware that this request for access to a public financial disclosure report is an official Government form (OGE Form 201). In completing this OGE Form 201, any intentionally false or misleading statement, certification, or response provided in this form is a violation of law punishable by a fine or imprisonment, or both, under 18 U.S.C. § 1001. Pursuant to section 105(c) of the Ethics in Government Act of 1978 and 5 C.F.R. § 2634.603(f) of the implementing OGE regulation, it is unlawful for any person to obtain or use a report:

- (1) for any unlawful purpose;
- (2) for any commercial purpose, other than by news and communications media for dissemination to the general public;
- (3) for determining or establishing the credit rating of any individual; or
- (4) for use, directly or indirectly, in the solicitation of money for any political, charitable, or other purpose.

The U.S. Attorney General may bring a civil action against any person who obtains or uses a report for any such prohibited purpose as set forth above. The court may assess against such a person a penalty in any amount not to exceed \$20,489. Such remedy shall be in addition to any other remedy available under statutory or common law.

7. Applicant's signature: 

Date: 4/12/2021

### II. Notice of Action

Copies of the report(s) or other covered record(s) you requested are ~~enclosed~~ attached where available.

Picked up by (signature): \_\_\_\_\_ Date: \_\_\_\_\_

Your request does not comply with the requirements of the statute. Please complete Part I of this form and return so we may comply with your request.

Fees. If applicable, amount: \_\_\_\_\_ (when fees are required, make out a check payable to the U.S. Treasury and send it to the executive branch agency processing this request form).

(form continued on reverse side)

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## A. Privacy Act Statement

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Sections 105 and 402(b)(1) of the Ethics in Government Act, as amended (5 U.S.C. app. 101) and 5 C.F.R. § 2634.603 authorize the solicitation of the information requested in this form. The primary use of the information on this form is to permit officials of the recipient agency to consider and process requests for inspection or receipt of copies of the OGE Form 278e Executive Branch Personnel Public Financial Disclosure Report and OGE Form 278-T Periodic Transaction Report, or other covered records to which you seek access. Furnishing the requested information is voluntary. However, failure to furnish this information will result in the recipient agency's inability to allow access to, or to provide a copy/copies of, the financial disclosure report(s) or other record(s) requested. Information on the form itself may be publicly disclosed pursuant to a proper request under section 105(b) of the Ethics in Government Act or as otherwise authorized by law.

Additional disclosures of the information on this form may be made pursuant to the routine uses set forth in the [OGE/GOVT-1](#) Privacy Act system of records:

- (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18;
- (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation;
- (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination;
- (4) to the National Archives and Records Administration or the General Services Administration in records management inspections;
- (5) to the Office of Management and Budget during legislative coordination on private relief legislation;
- (6) when the disclosing agency determines that that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body; or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding;
- (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another;
- (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of, and at the request of, an individual who is the subject of the record;
- (9) to contractors and other non-Government employees working on a contract, service, or assignment for the Federal Government when necessary to accomplish a function related to this OGE Governmentwide system of records;
- (10) on the OGE Website and to any person, department or agency, any written ethics agreements filed with OGE by an individual nominated by the President to a position requiring Senate confirmation;
- (11) on the OGE website and to otherwise disclose to any person, including other departments and agencies, any certificate of divestiture issued by the Office of Government Ethics, pursuant to 26 U.S.C. 1043;
- (12) on the OGE website and to otherwise disclose to any person, including other departments and agencies, any waiver issued by the President or the President's designee of the restrictions contained in Executive Order 13770 "Ethics Commitments by Executive Branch Appointees" (January 28, 2017) or any superseding Executive order;
- (13) to appropriate agencies, entities, and persons when: (1) the agency maintaining the records suspects or has confirmed that there has been a breach of the system of records; (2) the agency maintaining the records has determined that as a result of the suspected or confirmed breach there is a risk of harm to individuals, the agency (including its information systems, programs, and operations), the Federal Government, or national security; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and
- (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in (1) responding to a suspected or confirmed breach or (2) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its information systems, programs, and operations), the Federal Government, or national security, resulting from a suspected or confirmed breach.

See also [OGE/GOVT-1](#) Executive Branch Personnel Public Financial Disclosure Reports and Other Name-Retrieved Ethics Program Records.

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## B. Public Burden Information

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Public burden reporting for this collection of information is estimated to take approximately ten minutes per response, including time for reviewing instructions, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Program Counsel, U.S. Office of Government Ethics, Suite 500, 1201 New York Avenue, NW., Washington DC 20005-3917. **Do not** file this form with this official; rather, file it with the appropriate office of the executive branch department or agency from which you are seeking access to a financial disclosure report or other covered records.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0002, is displayed here and in the lower right-hand corner of the front page of this OGE Form 201).

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## III. Other Covered Records

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In addition to requests for access to public OGE Form 278/SF 278 and OGE Form 278-T reports, this form can also be used to request access to certain other agency records which are covered under the access procedures of section 105 of the Ethics in Government Act and the implementing OGE regulations ("covered records"). Such other covered records are: (1) certificates of divestiture; (2) Ethics in Government Act qualified blind trust and qualified diversified trust instruments (other than those provisions which relate to the testamentary disposition of the trust assets), the list of assets transferred to such trusts (and of assets sold in the case of a qualified blind trust), as well as, in the case of trust dissolution, the report thereon and the list of trust assets at that time, and the certificates of independence and compliance with respect to qualified trusts; (3) 18 U.S.C. § 208(b)(1) & (b)(3) waivers granted by the recipient agency (after deletion of any material withholdable pursuant to the Freedom of Information Act, 5 U.S.C. § 552 (see 18 U.S.C. § 208(d)(1))); (4) other OGE Form 201 requests for access; (5) cover letters for approved gifts reporting waiver requests; and (6) cover letters for approved public reporting waiver requests for certain less than 130-day special Government employees. If you seek access to any such additional record(s), check the second box in Part 1.5 on the front page and specify the record(s) sought.

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## EXHIBIT B



Alyssa Meiman <ameiman@citizensforethics.org>

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## OGE Form 201 Request

2 messages

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**Alyssa Meiman** <ameiman@citizensforethics.org>  
To: 201forms@oge.gov

Fri, Jun 25, 2021 at 12:04 PM

Good afternoon,

Please see the attached 201 request for public financial disclosure reports and covered records.

As OGE noted in a recent [memo](#), EIGA obligates agencies to "promptly make available copies of public financial disclosure reports" within 30 days after receiving the report, "regardless of whether the agency has reviewed or certified the report." If you have any questions, please do not hesitate to contact me.

Please send the requested records by email. Please confirm receipt of this email.

Thank you,  
Alyssa Meiman

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Alyssa Meiman  
Research Intern  
CREW | Citizens For Responsibility and Ethics in Washington  
[ameiman@citizensforethics.org](mailto:ameiman@citizensforethics.org)

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 **2021-06-25 Marc Short - OGE Form 201 (Approved OMB 2020).pdf**  
521K

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**201 Forms** <201forms@oge.gov>  
To: Alyssa Meiman <ameiman@citizensforethics.org>, 201 Forms <201forms@oge.gov>

Fri, Jun 25, 2021 at 12:30 PM

### CONTROLLED

There are no documents in the OGE database that match your request. A copy of your completed request is attached for your files.

For future request, please consider using our online 201 Request at:

<https://www.oge.gov/web/OGE.nsf/Officials%20Individual%20Disclosures%20Search%20Collection?OpenForm>

Status: **Complete**



Best regards,

Lori Kelly, Program Specialist

US Office of Government Ethics

Financial Disclosure Branch

Compliance Division

202-482-9306

[Quoted text hidden]

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CREW | Citizens for Responsibility and Ethics in Washington  
Main: (202) 408-5565 | Fax: (202) 588-5020 | [www.citizensforethics.org](http://www.citizensforethics.org)

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 **Meiman 20210625.pdf**  
318K

# EXHIBIT C



Meredith Lerner <mlerner@citizensforethics.org>

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## RE: OGE Form 201 request (3 of 3 emails)

4 messages

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**201 Forms** <201forms@oge.gov>  
To: Meredith Lerner <mlerner@citizensforethics.org>  
Cc: 201 Forms <201forms@oge.gov>

Thu, Jul 22, 2021 at 12:18 PM

### CONTROLLED

The documents you requested are attached where available. A copy of your completed request is attached for your files.

For future request, please consider using our online 201 Request at:

<https://www.oge.gov/web/OGE.nsf/Officials%20Individual%20Disclosures%20Search%20Collection?OpenForm>

Status: **Complete**

NOTE: Some reports attached are DRAFT reports as they are still under review.

Best regards,

Lori Kelly, Program Specialist  
US Office of Government Ethics  
Financial Disclosure Branch  
Compliance Division  
202-482-9306

**From:** Meredith Lerner [<mailto:mlerner@citizensforethics.org>]  
**Sent:** Thursday, July 22, 2021 10:04 AM  
**To:** 201 Forms <201forms@oge.gov>  
**Subject:** OGE Form 201 request

Good morning,

Please see the attached 201 request for financial disclosure and periodic transaction reports. If you have any questions about this request, please do not hesitate to contact me.

Best,

Meredith

--

**Meredith Lerner** (*she/her*)

Senior Researcher  
CREW | Citizens for Responsibility and Ethics in Washington

[mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)

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CREW | Citizens for Responsibility and Ethics in Washington  
Main: (202) 408-5565 | Fax: (202) 588-5020 | [www.citizensforethics.org](http://www.citizensforethics.org)

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#### 8 attachments

-  **20210722 Lerner (3 of 3 emails).pdf**  
547K
-  **Elizabeth-Sherwood-Randall-05.26.2016Form278-T.pdf**  
28K
-  **Elizabeth-Sherwood-Randall-12.05.2014Form278-T.pdf**  
45K
-  **Elizabeth-Sherwood-Randall-11.20.2014Form278-T.pdf**  
73K
-  **Elizabeth-Sherwood-Randall-2017-278Term.pdf**  
54K
-  **Elizabeth-Sherwood-Randall-2016Form278.pdf**  
49K
-  **Elizabeth-Sherwood-Randall-2015Form278.pdf**  
999K
-  **Jonathan-Cedarbaum-2021-278NE(DRAFT).pdf**  
31K

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**Meredith Lerner** <[mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)>

Thu, Jul 22, 2021 at 12:46 PM

To: 201 Forms <201forms@oge.gov>  
Cc: 201 Forms <201forms@oge.gov>

Hi, thank you for sending these over. I see that there were responsive documents for Jeffrey Zients but I don't see them attached. Can you please send those to me?

Best,  
Meredith  
[Quoted text hidden]

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**201 Forms** <201forms@oge.gov>  
To: Meredith Lerner <mlerner@citizensforethics.org>, 201 Forms <201forms@oge.gov>

Thu, Jul 22, 2021 at 12:53 PM

There are no other documents available for this filer other than those provided.

Best regards,

Lori Kelly

[Quoted text hidden]

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#### 8 attachments

-  **20210722 Lerner (3 of 3 emails).pdf**  
547K
-  **Elizabeth-Sherwood-Randall-05.26.2016Form278-T.pdf**  
28K
-  **Elizabeth-Sherwood-Randall-12.05.2014Form278-T.pdf**  
45K
-  **Elizabeth-Sherwood-Randall-11.20.2014Form278-T.pdf**  
73K
-  **Elizabeth-Sherwood-Randall-2017-278Term.pdf**  
54K
-  **Elizabeth-Sherwood-Randall-2016Form278.pdf**  
49K
-  **Elizabeth-Sherwood-Randall-2015Form278.pdf**  
999K
-  **Jonathan-Cedarbaum-2021-278NE(DRAFT).pdf**  
31K

## Request to Inspect or Receive Copies of Executive Branch Personnel Public Financial Disclosure Reports or Other Covered Records.

OGE Form 201 (April 2020)

### I. Application

1. Applicant's name and address (please print):  
[Meredith Lerner, Citizens for Responsibility and Ethics in Washington](#)  
1101 K Street NW, Suite 201  
Washington, DC 20005

#### Agency Use Only

Received date: JUL 22, 2021

Filled date: JUL 22, 2021

1a. Office telephone number: (202) 897 1845 ext. \_\_\_\_\_

2. Occupation:  
Senior Researcher

3. If application is for or on behalf of any other person or organization, give the other's name:  
[Citizens for Responsibility and Ethics in Washington](#)

3a. Address of the other person or organization:  
[1101 K Street NW, Suite 201](#)  
[Washington, DC 20005](#)

4. Type of applicant:  
 news media  private citizen  public interest group  law firm  other private organization  government

5.  Copy of the most recent (or other, specify which and whether to include OGE Form 278-Ts) Public Financial Disclosure Report Form OGE Form 278/SF 278 requested for the following named individual(s):

- |  |  |
|--|--|
| a. <a href="#">Marc Short - 2021 termination 278</a><br>No document available                        | d. <a href="#">Jeffrey Zients - all OGE forms 278e and 278-T</a><br>Available documents attached             |
| b. <a href="#">Katherine Berner - all OGE forms 278e and 278-T</a><br>No documents available         | e. <a href="#">Elizabeth Sherwood-Randall - all OGE forms 278e and 278-T</a><br>Available documents attached |
| c. <a href="#">Jonathan Cedarbaum - all OGE forms 278e and 278-T</a><br>Available documents attached | f. <a href="#">Pili Tobar - all OGE forms 278e and 278-T</a><br>No documents available                       |

Certain other types of records ("covered records") can also be requested using this form (see Part III below); if you are requesting another covered record, check this box  and specify which type of record(s): \_\_\_\_\_

6. Indicate how you wish to receive this request:  
 Pick up at OGE  By mail (at the address listed above) **Please send via email to [mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)**

I am aware that this request for access to a public financial disclosure report is an official Government form (OGE Form 201). In completing this OGE Form 201, any intentionally false or misleading statement, certification, or response provided in this form is a violation of law punishable by a fine or imprisonment, or both, under 18 U.S.C. § 1001. Pursuant to section 105(c) of the Ethics in Government Act of 1978 and 5 C.F.R. § 2634.603(f) of the implementing OGE regulation, it is unlawful for any person to obtain or use a report:

- (1) for any unlawful purpose;
- (2) for any commercial purpose, other than by news and communications media for dissemination to the general public;
- (3) for determining or establishing the credit rating of any individual; or
- (4) for use, directly or indirectly, in the solicitation of money for any political, charitable, or other purpose.

The U.S. Attorney General may bring a civil action against any person who obtains or uses a report for any such prohibited purpose as set forth above. The court may assess against such a person a penalty in any amount not to exceed \$20,489. Such remedy shall be in addition to any other remedy available under statutory or common law.

7. Applicant's signature: 

Date: 7/22/2021

### II. Notice of Action

- Copies of the report(s) or other covered record(s) you requested are ~~enclosed~~ attached where available.
- Picked up by (signature): \_\_\_\_\_ Date: \_\_\_\_\_
- Your request does not comply with the requirements of the statute. Please complete Part I of this form and return so we may comply with your request.
- Fees. If applicable, amount: \_\_\_\_\_ (when fees are required, make out a check payable to the U.S. Treasury and send it to the executive branch agency processing this request form).

(form continued on reverse side)

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## A. Privacy Act Statement

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Sections 105 and 402(b)(1) of the Ethics in Government Act, as amended (5 U.S.C. app. 101) and 5 C.F.R. § 2634.603 authorize the solicitation of the information requested in this form. The primary use of the information on this form is to permit officials of the recipient agency to consider and process requests for inspection or receipt of copies of the OGE Form 278e Executive Branch Personnel Public Financial Disclosure Report and OGE Form 278-T Periodic Transaction Report, or other covered records to which you seek access. Furnishing the requested information is voluntary. However, failure to furnish this information will result in the recipient agency's inability to allow access to, or to provide a copy/copies of, the financial disclosure report(s) or other record(s) requested. Information on the form itself may be publicly disclosed pursuant to a proper request under section 105(b) of the Ethics in Government Act or as otherwise authorized by law.

Additional disclosures of the information on this form may be made pursuant to the routine uses set forth in the [OGE/GOVT-1](#) Privacy Act system of records:

- (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18;
- (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation;
- (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination;
- (4) to the National Archives and Records Administration or the General Services Administration in records management inspections;
- (5) to the Office of Management and Budget during legislative coordination on private relief legislation;
- (6) when the disclosing agency determines that that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body; or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding;
- (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another;
- (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of, and at the request of, an individual who is the subject of the record;
- (9) to contractors and other non-Government employees working on a contract, service, or assignment for the Federal Government when necessary to accomplish a function related to this OGE Governmentwide system of records;
- (10) on the OGE Website and to any person, department or agency, any written ethics agreements filed with OGE by an individual nominated by the President to a position requiring Senate confirmation;
- (11) on the OGE website and to otherwise disclose to any person, including other departments and agencies, any certificate of divestiture issued by the Office of Government Ethics, pursuant to 26 U.S.C. 1043;
- (12) on the OGE website and to otherwise disclose to any person, including other departments and agencies, any waiver issued by the President or the President's designee of the restrictions contained in Executive Order 13770 "Ethics Commitments by Executive Branch Appointees" (January 28, 2017) or any superseding Executive order;
- (13) to appropriate agencies, entities, and persons when: (1) the agency maintaining the records suspects or has confirmed that there has been a breach of the system of records; (2) the agency maintaining the records has determined that as a result of the suspected or confirmed breach there is a risk of harm to individuals, the agency (including its information systems, programs, and operations), the Federal Government, or national security; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and
- (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in (1) responding to a suspected or confirmed breach or (2) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its information systems, programs, and operations), the Federal Government, or national security, resulting from a suspected or confirmed breach.

See also [OGE/GOVT-1](#) Executive Branch Personnel Public Financial Disclosure Reports and Other Name-Retrieved Ethics Program Records.

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## B. Public Burden Information

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Public burden reporting for this collection of information is estimated to take approximately ten minutes per response, including time for reviewing instructions, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Program Counsel, U.S. Office of Government Ethics, Suite 500, 1201 New York Avenue, NW., Washington DC 20005-3917. **Do not** file this form with this official; rather, file it with the appropriate office of the executive branch department or agency from which you are seeking access to a financial disclosure report or other covered records.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0002, is displayed here and in the lower right-hand corner of the front page of this OGE Form 201).

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## III. Other Covered Records

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In addition to requests for access to public OGE Form 278/SF 278 and OGE Form 278-T reports, this form can also be used to request access to certain other agency records which are covered under the access procedures of section 105 of the Ethics in Government Act and the implementing OGE regulations ("covered records"). Such other covered records are: (1) certificates of divestiture; (2) Ethics in Government Act qualified blind trust and qualified diversified trust instruments (other than those provisions which relate to the testamentary disposition of the trust assets), the list of assets transferred to such trusts (and of assets sold in the case of a qualified blind trust), as well as, in the case of trust dissolution, the report thereon and the list of trust assets at that time, and the certificates of independence and compliance with respect to qualified trusts; (3) 18 U.S.C. § 208(b)(1) & (b)(3) waivers granted by the recipient agency (after deletion of any material withholdable pursuant to the Freedom of Information Act, 5 U.S.C. § 552 (see 18 U.S.C. § 208(d)(1))); (4) other OGE Form 201 requests for access; (5) cover letters for approved gifts reporting waiver requests; and (6) cover letters for approved public reporting waiver requests for certain less than 130-day special Government employees. If you seek access to any such additional record(s), check the second box in Part 1.5 on the front page and specify the record(s) sought.

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# EXHIBIT D





Meredith Lerner <[mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)>

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## RE: New Finance Disclosure Request

2 messages

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### MBX WHO White House Financial Disclosures

Tue, Jun 29, 2021 at 8:03 PM

<[MBX.WHO.WhiteHouseFinancialDisclosures@who.eop.gov](mailto:MBX.WHO.WhiteHouseFinancialDisclosures@who.eop.gov)>

To: "mlerner@citizensforethics.org" <[mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)>

This individual is not a WHO staffer. Please reach out to the agency they work for.

-----Original Message-----

From: The White House <[noreply@whitehouse.gov](mailto:noreply@whitehouse.gov)>

Sent: Tuesday, June 29, 2021 12:20 PM

To: MBX WHO White House Financial Disclosures <[MBX.WHO.WhiteHouseFinancialDisclosures@WHO.eop.gov](mailto:MBX.WHO.WhiteHouseFinancialDisclosures@WHO.eop.gov)>

Subject: New Finance Disclosure Request

Applicant Name: Meredith Lerner

Applicant Title: Senior Researcher

Applicant Email: [mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)

Requested Individual Name: Marc Short (2021 termination 278e)

On Behalf Of Name: CREW

On Behalf Of Address: PO Box 43940 Washington DC, 20010

Calendar Year:

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# EXHIBIT E



Meredith Lerner &lt;mlerner@citizensforethics.org&gt;

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**OGE Form 201 Request**

3 messages

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**Meredith Lerner** <mlerner@citizensforethics.org>  
To: Jacqueline Henry - LG <jacqueline.henry@gsa.gov>

Tue, Jun 29, 2021 at 1:18 PM

Good afternoon,

Please see the following 201 request seeking public financial disclosure and periodic transaction reports for Postmaster General Louis DeJoy.

As OGE noted in a recent [memo](#), EIGA obligates ethics officials to "promptly make available copies of public financial disclosure reports" within 30 days after receiving the report, "regardless of whether the agency has reviewed or certified the report." If you have any questions, please do not hesitate to contact me.

Please confirm receipt of this email.

Best,

Meredith Lerner

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**Meredith Lerner** (*she/her*)  
Senior Researcher  
CREW | Citizens for Responsibility and Ethics in Washington  
[mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)

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 **2021-06-29 Marc Short - OGE Form 201 (Approved OMB 2020).pdf**  
1302K

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**Jacqueline Henry - LE** <jacqueline.henry@gsa.gov>  
To: Meredith Lerner <mlerner@citizensforethics.org>

Wed, Jun 30, 2021 at 9:33 AM

Hi Meredith,

The General Services Administration does not have 278 reports for Postmaster General Louis DeJoy (as requested in email below).

The General Services Administration does not have 278 reports for Marc Short as requested on attached OGE Form 201.

Thanks,

Jackie Henry  
Office of General Counsel  
Ethics Law Division  
(202) 501-0765

[Quoted text hidden]

[Quoted text hidden]

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**CREW | Citizens for Responsibility and Ethics in Washington**  
Main: (202) 408-5565 | Fax: (202) 588-5020 | [www.citizensforethics.org](http://www.citizensforethics.org)

# EXHIBIT F



Meredith Lerner &lt;mlerner@citizensforethics.org&gt;

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**OGE Form 201 request**

3 messages

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**Meredith Lerner** <mlerner@citizensforethics.org>  
To: ethics@who.eop.gov, MBX.OA.OGC.Ethics@oa.eop.gov

Wed, Jun 30, 2021 at 3:41 PM

Good afternoon,

Please see the attached 201 request for Marc Short's 2021 termination report. While he was an employee in the previous administration, I see that an attorney in your office, Marina Kozmycz, has been reviewing and certifying termination 278's filed by Trump administration White House officials. If you are not able to provide a copy of the financial disclosure report, can you please confirm whether Marc Short filed a termination report in 2021?

Best,  
Meredith

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**Meredith Lerner** (*she/her*)  
Senior Researcher  
CREW | Citizens for Responsibility and Ethics in Washington  
[mlerner@citizensforethics.org](mailto:mlerner@citizensforethics.org)

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 **2021-06-29 Marc Short - OGE Form 201 (Approved OMB 2020).pdf**  
1302K

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**MBX WHO Ethics** <MBX.WHO.Ethics@who.eop.gov> Wed, Jun 30, 2021 at 8:15 PM  
To: Meredith Lerner <mlerner@citizensforethics.org>, MBX WHO Ethics <MBX.WHO.Ethics@who.eop.gov>, MBX OA OGC Ethics <MBX.OA.OGC.Ethics@oa.eop.gov>

We are not able to provide nor confirm termination reports from the previous administration, as they are records of the Office of Government Ethics. We would refer you to the Office of Government Ethics.

[Quoted text hidden]

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CREW | Citizens for Responsibility and Ethics in Washington  
Main: (202) 408-5565 | Fax: (202) 588-5020 | >[www.citizensforethics.org](http://www.citizensforethics.org)<

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**Meredith Lerner** <mlerner@citizensforethics.org>  
To: Virginia Canter <vcanter@citizensforethics.org>

Wed, Jul 28, 2021 at 1:13 PM

Marc Short correspondence

[Quoted text hidden]