

**Pending Consultation with Other Treasury  
Components**

**(4 pages)**

**2021-1246 (CKK): 0000004912-0000004915**

# **Pending Submitter Notice Process**

**(16 pages)**

**2021-1246 (CKK): 0000004916-0000004931**

# **Pending Submitter Notice Process**

**(2 pages)**

**2021-1246 (CKK): 0000004932-0000004933**

**Pending Consultation with Other Treasury  
Components**

**(9 pages)**

**2021-1246 (CKK): 0000004934-0000004942**

**Pending Consultation with Other Treasury  
Components**

**(10 pages)**

**2021-1246 (CKK): 0000004943-0000004952**

**From:**  
**To:**  
**Bcc:**  
**Subject:**  
**Date:**

(b) (6)

RE: Email for Case ID: GLOMAG-2021-371648-1  
Tuesday, January 19, 2021 1:04:55 PM

---

Hi (b) (6)

Good afternoon. Let's go with [Baruch.Weiss@arnoldporter.com](mailto:Baruch.Weiss@arnoldporter.com), since that's what's listed on the petition delisting request (document #4).

Thanks,

(b) (6)

Duplicative of Material in 2021-1246 (CKK): 0000004886. 19th Interim Release.

**Pending Consultation with Other Treasury  
Components**

**(10 pages)**

**2021-1246 (CKK): 0000004954-0000004963**

# **Pending Submitter Notice Process**

**(2 pages)**

**2021-1246 (CKK): 0000004964-0000004965**

**Pending Consultation with Other Treasury  
Components**

**(3 pages)**

**2021-1246 (CKK): 0000004966-0000004968**

**Pending Consultation with Other Government  
Agency**

**(8 pages)**

**2021-1246 (CKK): 0000004969-0000004976**

# **Pending Submitter Notice Process**

**(6 pages)**

**2021-1246 (CKK): 0000004977-0000004982**

**From:** (b) (6)  
**To:** Thomas, Nikole; Christian, Alan; (b) (6)  
**Subject:** RE: Update on Gertler application  
**Date:** Thursday, October 29, 2020 1:09:12 PM

---

No problem!

---

**From:** Thomas, Nikole (b) (6)  
**Sent:** Thursday, October 29, 2020 1:05 PM  
**To:** (b) (6) Christian, Alan (b) (6)  
(b) (6)  
**Subject:** RE: Update on Gertler application  
PRE-DECISIONAL and DELIBERATIVE  
Sorry, and in my haste to get this back to you, I forgot to say thank you very much for turning this so quickly. (b) (6)

---

**From:** Thomas, Nikole  
**Sent:** Thursday, October 29, 2020 1:04 PM  
**To:** (b) (6) Christian, Alan (b) (6)  
(b) (6)  
**Subject:** RE: Update on Gertler application  
PRE-DECISIONAL and DELIBERATIVE  
Thank you (b) (6) (b) (5) I know I am usually copied on these but please make sure I am on this one. (b) (5)  
(b) (5)

Thanks!

Nikole

---

**From:** (b) (6)  
**Sent:** Thursday, October 29, 2020 11:03 AM  
**To:** Christian, Alan (b) (6) Thomas, Nikole (b) (6)  
(b) (6)  
**Subject:** RE: Update on Gertler application  
Hi Nikole and Alan,  
Attached is (b) (5) for Mr. Gertler's application.  
Thanks,  
(b) (6)

---

**From:** Christian, Alan (b) (6)  
**Sent:** Thursday, October 29, 2020 10:16 AM  
**To:** Thomas, Nikole (b) (6)  
(b) (6)  
**Subject:** RE: Update on Gertler application  
PRE-DECISIONAL and DELIBERATIVE  
That makes sense to me.  
Alan

---

**From:** Thomas, Nikole (b) (6)  
**Sent:** Thursday, October 29, 2020 10:02 AM

To: (b) (6) Christian, Alan (b) (6)

(b) (6)

**Subject:** RE: Update on Gertler application

PRE-DECISIONAL and DELIBERATIVE

Agreed! (b) (5)

(b) (5)

**From:** (b) (6)

**Sent:** Thursday, October 29, 2020 10:00 AM

**To:** Christian, Alan (b) (6)

Thomas, Nikole (b) (6)

**Subject:** RE: Update on Gertler application

PRE-DECISIONAL and DELIBERATIVE

I definitely think (b) (5)

(b) (5)

(b) (6)

**From:** Christian, Alan (b) (6)

**Sent:** Thursday, October 29, 2020 9:47 AM

**To:** (b) (6)

Thomas, Nikole (b) (6)

**Subject:** RE: Update on Gertler application

PRE-DECISIONAL and DELIBERATIVE

Good morning,

(b) (5)

Alan

**From:** (b) (6)

**Sent:** Thursday, October 29, 2020 9:34 AM

**To:** (b) (6) Thomas, Nikole (b) (6)

**Cc:** Christian, Alan (b) (6)

**Subject:** RE: Update on Gertler application

Hi Andrew,

I'm working on it, but have a quick question. (b) (5)

(b) (5)

Thanks,

(b) (6)

**From:** (b) (6)

**Sent:** Thursday, October 29, 2020 9:15 AM

**To:** Thomas, Nikole (b) (6)

**Cc:** Christian, Alan (b) (6)

**Subject:** RE: Update on Gertler application

PRE-DECISIONAL and DELIBERATIVE

Hi Nikole:

Sure. Will do.

Hi (b) (6)

Please send it to me when it's ready and I'll take a quick look before sending to Nikole and Alan.

Thanks,

(b) (6)

---

**From:** Thomas, Nikole (b) (6)

**Sent:** Wednesday, October 28, 2020 10:25 PM

**To:** (b) (6)

**Cc:** Christian, Alan (b) (6)

**Subject:** FW: Update on Gertler application

PRE-DECISIONAL and DELIBERATIVE

Hi (b) (6)

Can we tee up (b) (5)

(b) (5)

Thanks!

Nikole

Duplicative of Material in 2021-1236 (CKK): 0000003263-0000003270. 14th Interim Release.

**Duplicative of Material in 2021-1246 (CKK):  
0000003263-0000003270. 14th Interim Release.**

**(6 pages)**

**2021-1246 (CKK): 0000004986-0000004991**

# **Pending Submitter Notice Process**

**(7 pages)**

**2021-1246 (CKK): 0000004992-0000004998**

**From:** (b) (6)  
**To:** (b) (6)  
**Subject:** RE: (b) (6) this morning; GloMag legal services  
**Date:** Monday, February 5, 2018 4:59:29 PM

---

Hi (b) (6)

(b) (5)

(b) (5) I also added Dan Gertler's SDN page to the case file and (b) (5)

(b) (5) (b) (6) (b) (5) (b) (6) (b) (5)

Thanks,

(b) (6)

---

**From:** (b) (6)

**Sent:** Monday, February 05, 2018 7:59 AM

**To:** (b) (6)

**Cc:** (b) (6)

**Subject:** (b) (6) this morning; GloMag legal services

(b) (6) . The GLOMAG legal

services license is now in your queue (b) (5)

(b) (5) (b) (6) check in during the early afternoon.

(b) (6)

**From:**  
**To:**  
**Subject:**  
**Date:**

(b) (6)

RE: RE: legal services case GLOMAG 351995

Tuesday, March 27, 2018 8:21:55 AM

---

Thanks for the heads up. (b) (5)

(b) (5)

(b) (5)

(b) (5)

(b) (6)

---

**From:** (b) (6)

**Sent:** Monday, March 26, 2018 8:00 PM

**To:** (b) (6)

**Subject:** RE: legal services case GLOMAG 351995

Hi (b) (6)

I've just assigned a new case to you for the provision of legal services related to a delisting request on behalf of Dan Gertler, but it looks like (b) (5)

(b) (5)

Thanks,

(b) (6)

**From:** (b) (6)  
**To:**  
**Subject:** RE: RE: Legal services request (Gertler)  
**Date:** Friday, May 11, 2018 10:32:31 AM

---

I'm turning to this now. (b) (5)

(b) (5) I think we should include that too. Do you agree?

Also, should I reach out to the (b) (5)

---

**From:** (b) (6)

**Sent:** Thursday, May 10, 2018 9:08 PM

**To:** (b) (6)

(b) (6)

**Cc:** (b) (6)

(b) (6)

**Subject:** RE: Legal services request (Gertler)

For your awareness, this has been assigned to (b) (6) under Case No. GLOMAG-2018-353352-1, but I

(b) (5)

(b) (6)