

FEDERAL ELECTION COMMISSION

In the matter of:

TH Holdings LLC
Marvin C. Schwartz
Heather Oaks, LLC
Right to Rise USA
Charles R. Spies, as treasurer, Right to Rise USA

MUR _____

COMPLAINT

1. Citizens for Responsibility and Ethics in Washington (“CREW”) and Noah Bookbinder bring this complaint before the Federal Election Commission (“FEC”) seeking an immediate investigation and enforcement action against TH Holdings LLC, Marvin C. Schwartz, Heather Oaks, LLC, Right to Rise USA, and its treasurer, Charles R. Spies, for direct and serious violations of the Federal Election Campaign Act (“FECA”).

Summary

2. In early 2015, TH Holdings LLC and Heather Oaks, LLC each made \$100,000 contributions to Right to Rise USA, a super PAC supporting Jeb Bush’s presidential campaign. Both appear to be contributions made in the name of another individual or entity in violation of the FECA and FEC regulations.

3. TH Holdings LLC is a limited liability company apparently owned in whole or in part by Marvin C. Schwartz, a managing director at New York private investment firm Neuberger Berman. The company’s only known activity is owning a property in the Hamptons area of Long Island, New York. If, as it appears, TH Holdings LLC did not generate any income,

and the funds for its \$100,000 contribution to Right to Rise USA in fact came from Mr. Schwartz, then the contribution was made in the name of another in violation of the law.

4. The Heather Oaks, LLC that made the \$100,000 contribution to Right to Rise USA appears to be a Delaware limited liability company that was established just two weeks before the contribution was made. Heather Oaks, LLC does not have any known business activity, and it is highly unlikely the company generated sufficient income to pay for the \$100,000 contribution in two weeks. Accordingly, if, as it appears, the funds for the \$100,000 contribution to Right to Rise USA came from another individual or organization, the contribution was made in the name of another in violation of the law.

5. Furthermore, if Right to Rise USA knew the contributions were made in the name of another, it likely violated the law as well.

Complainants

6. Complainant CREW is a non-profit corporation, organized under section 501(c)(3) of the Internal Revenue Code. CREW is committed to protecting the right of citizens to be informed about the activities of government officials and to ensuring the integrity of government officials. CREW is dedicated to empowering citizens to have an influential voice in government decisions and in the governmental decision-making process. CREW uses a combination of research, litigation, and advocacy to advance its mission.

7. In furtherance of its mission, CREW seeks to expose unethical and illegal conduct of those involved in government. One way CREW does this is by educating citizens regarding the integrity of the electoral process and our system of government. Toward this end, CREW monitors the campaign finance activities of those who run for federal office and those who make

expenditures to influence federal elections, and publicizes those who violate federal campaign finance laws through its website, press releases, and other methods of distribution. CREW also files complaints with the FEC when it discovers violations of the FECA. Publicizing campaign finance violations and filing complaints with the FEC serves CREW's mission of keeping the public informed about individuals and entities that violate campaign finance laws and deterring future violations of campaign finance law.

8. In order to assess whether an individual or entity is complying with federal campaign finance law, CREW needs the information contained in disclosure reports political committees must file pursuant to the FECA, 52 U.S.C. §§ 30104(a), (b); 11 C.F.R. §§ 104.1, 104.3. CREW is hindered in its programmatic activity when an individual or entity fails to disclose campaign finance information in reports required by the FECA.

9. CREW relies on the FEC's proper administration of the FECA's reporting requirements because the FECA-mandated disclosure reports are the only source of information CREW can use to determine if an individual or entity is complying with the FECA. The proper administration of the FECA's reporting requirements includes mandating that all disclosure reports required by the FECA are properly and timely filed with the FEC. CREW is hindered in its programmatic activity when the FEC fails to properly administer the FECA's reporting requirements.

10. Complainant Noah Bookbinder is the executive director of Citizens for Responsibility and Ethics in Washington. At all times relevant to the complaint, he has been and remains a citizen of the United States and a registered voter and resident of Maryland. As a registered voter, Mr. Bookbinder is entitled to receive information contained in disclosure reports

required by the FECA, 52 U.S.C. § 30104; 11 C.F.R. §§ 104.1, 104.3. Mr. Bookbinder is harmed when an individual, candidate, political committee, or other entity fails to report campaign finance activity as required by the FECA. *See FEC v. Akins*, 524 U.S. 11, 19 (1998), *quoting Buckley v. Valeo*, 424 U.S. 1, 66-67 (1976) (political committees must disclose contributors and disbursements to help voters understand who provides which candidates with financial support). Mr. Bookbinder is further harmed when the FEC fails to properly administer the FECA's reporting requirements, limiting his ability to review campaign finance information.

Respondents

11. TH Holdings LLC is a domestic limited liability company organized and registered in New York State, and located in Suffolk County. New York State Department of State, Division of Corporations, Entity Information for TH Holdings LLC (attached as Exhibit A) ("TH Holdings LLC Registration").

12. Marvin C. Schwartz is a managing director of Neuberger Berman LLC, a "private, independent, employee-owned investment manager." Neuberger Berman, Annual Report 2014, at 69 (excerpts attached as Exhibit B); Jeb 2016, Inc., FEC Form 3P, July 2015 Quarterly Report, Amended, at 1344 (September 4, 2015) (excerpts attached as Exhibit C); Neuberger Berman website, "About Neuberger Berman" page (*available at* <http://www.nb.com/pages/public/global/our-firm.aspx>).

13. Heather Oaks, LLC is a domestic limited liability company organized and registered in Delaware. Delaware Department of State, Division of Corporations, Entity Detail for Heather Oaks, LLC (attached as Exhibit D).

14. Right to Rise USA is an independent expenditure-only committee (“super PAC”) established on January 6, 2015. Right to Rise USA, FEC Form 1, Statement of Organization (January 6, 2015) (excerpts attached as Exhibit E). Right to Rise USA asserts it is “the leading independent super PAC supporting Jeb Bush’s campaign for President.” Right to Rise USA website, Homepage (*available at* <https://righttorisesuperpac.org/home?lang=en>).

15. Charles R. Spies is treasurer of Right to Rise USA. Exhibit E.

Factual Allegations

16. On February 26, 2015, TH Holdings LLC made a \$100,000 contribution to Right to Rise USA. On March 9, 2015, Heather Oaks, LLC made a \$100,000 contribution to Right to Rise USA. Right to Rise USA, FEC Form 3X, Mid-Year Report, Amended, at 1319, 1407 (December 5, 2015) (attached as Exhibit F).

17. Right to Rise USA’s disclosure reports provide little information about these limited liability companies. The only information provided is that TH Holdings LLC’s address is 605 Third Avenue, 42nd Floor, New York, NY 10158-0180 and Heather Oaks, LLC’s address is 216 Summit Boulevard, Birmingham, Alabama, 35243-3507. *Id.*

18. These contributions, and the lack of information about who ultimately paid for them, has led to questions about “mystery money” and “ghost companies” funding groups supporting presidential candidates. Russ Choma, Who’s Behind the Ghost Companies Funding Jeb Bush’s Super-PAC, *Mother Jones*, December 2, 2015 (*available at* <http://www.motherjones.com/politics/2015/11/ghost-companies-funding-jeb-bush-super-pac-right-to-rise>); Eric Lichtblau and Nick Confessore, From Fracking to Finance, a Torrent of Campaign Cash, *New York Times*, October 10, 2015 (*available at* <http://www.nytimes.com/2015/10/11/us/politics/wealthy->

[families-presidential-candidates.html](#)). *Mother Jones* described TH Holdings LLC as a “special case” that “represents the worst-case scenario of in the post-*Citizens United* campaign finance landscape: untraceable corporations shoveling untraceable cash into the political system.”

Choma, *Mother Jones*, Dec. 2, 2015. Heather Oaks, LLC, *Mother Jones* added, “is even more ghostly than TH Holdings.” *Id.* Similar questions were raised in 2011 regarding contributions by limited liability companies to a super PAC supporting Mitt Romney’s 2012 campaign for president, and the FEC has still not resolved complaints about those contributions. *Id.*

TH Holdings LLC

19. Despite the difficulty of obtaining information about TH Holdings LLC, public records indicate this company is owned in whole or in part by Mr. Schwartz. According to the Division of Corporations of New York State’s Department of State, TH Holdings LLC filed its articles of organization with the Department of State on June 9, 2010. TH Holdings LLC Registration (Exhibit A). Two months later, on August 10, 2010, TH Holdings LLC completed the purchase of a property in Suffolk County, New York for \$452,000. Suffolk County Clerk’s Office, Deeds for Tax Map ID 0900-380.00-02.00-096.000 (attached as Exhibit G). The tax identification number on the recorded deed corresponds to 23 Tuthill Lane, Remsenburg, New York. Town of Southampton, New York, Assessment Rolls, Taxable Status Date March 1, 2015, at 5884 (“Southampton Assessment Rolls”) (page attached as Exhibit H, full record *available at* <http://www.southamptontownny.gov/DocumentCenter/View/49>); *see also* Zillow listing for 23 Tuthill Lane, Remsenburg, New York (*available at* http://www.zillow.com/homedetails/23-Tuthill-Ln-Remsenburg-NY-11960/111526497_zpid/) (listing parcel number in “Home Facts” section).

20. The Southampton Assessment Rolls list the owner of 23 Tuthill Lane as TH Holdings LLC. The address listed for TH Holdings LLC is: “c/o Marvin Schwartz, 605 Third Ave, FL 42, New York, NY, 10158-0180.” Southampton Assessment Rolls, at 5884.

21. As noted, that address – 605 Third Avenue, 42nd Floor, New York, NY – is the same address Right to Rise USA reported to the FEC as the address for TH Holdings LLC. *Id.* It also is the address of the global headquarters of Neuberger Berman, where Mr. Schwartz is a managing director, as well as the address Mr. Schwartz provided to other political committees as his address. Neuberger Berman website, “Global Office Locations” page (*available at* <http://www.nb.com/pages/public/global/office-locations.aspx>)¹; Jeff Merkley for Oregon, FEC Form 3, 2012 Year-End Report, at 298 (February 16, 2013) (excerpts attached as Exhibit I); Jeb 2016, Inc., FEC Form 3P, July 2015 Quarterly Report, Amended, at 1344 (September 4, 2015).

22. Mr. Schwartz’s contributions to political committees include a total of \$5,400 to Jeb Bush’s presidential campaign, the maximum amount permitted under FECA. *Id.*; Jeb 2016, Inc., FEC Form 3P, October 2015 Quarterly Report, at 2594 (October 10, 2015) (excerpts attached as Exhibit J).

23. Mr. Schwartz’s interests in the property at 23 Tuthill Lane may stem from his apparent ownership of the property directly across the street at 16 and 22 Tuthill Lane through another limited liability company, Tuthill Holdings LLC. That company filed its articles of organization on May 12, 2009. New York State Department of State, Division of Corporations, Entity Information for Tuthill Holdings LLC (attached as Exhibit K). A month later, Tuthill Holdings LLC completed its purchase of 16 and 22 Tuthill Lane for \$3.4 million. Suffolk

¹ The website states Neuberger Berman is located on the 41st floor, but public records indicate the firm and its associated companies are located on multiple floors, including the 42nd floor.

County Clerk's Office, Deeds for Tax Map ID 0900-380.00-03.00-042.000 (attached as Exhibit L); Southampton Assessment Rolls, at 5892, 5899 (page attached as Exhibit M). The Southampton Assessment Rolls list the owner of 16 and 22 Tuthill Lane as Tuthill Holdings LLC, and again provide as an address: "c/o Marvin Schwartz, 605 Third Ave, FL 42, New York, NY, 10158-0180."

24. On information and belief, TH Holdings LLC does not conduct any business and has not generated any income, and does not serve any function other than owning 23 Tuthill Lane. TH Holdings LLC appears to have no presence on the Internet. Based on a search of the Suffolk County Clerk's Office website, <https://kiosk.suffolkcountyny.gov/KioskWeb/Default.aspx>, TH Holdings LLC does not appear to have a business certificate to operate as a partnership in Suffolk County. In addition, TH Holdings LLC has not sold 23 Tuthill Lane to generate income. Suffolk County Clerk's Office, Deeds for Tax Map ID 0900-380.00-03.00-042.000. Limited liability companies like TH Holdings LLC commonly are used as shell companies to purchase real estate in New York. *See, e.g., Louise Story and Stephanie Saul, Stream of Foreign Wealth Flows to Elite New York Real Estate, New York Times*, February 7, 2015 (available at <http://www.nytimes.com/2015/02/08/nyregion/stream-of-foreign-wealth-flows-to-time-warner-condos.html>).²

² Mr. Schwartz also is indirectly linked to TH Holdings LLC through the party named on the limited liability company's registration form to receive mail, Paul Freedman of Freedman and Company, located at 61 Broadway, Suite 1405, New York, NY. TH Holdings LLC Registration (Exhibit A). Mr. Freedman also keeps the books and prepares the tax returns for the private foundation of Mr. Schwartz and his wife. Donna and Marvin Schwartz Foundation, 2013 Form 990-PF, Part VII-A, Line 14 and page 13 (available at http://990s.foundationcenter.org/990pf_pdf_archive/137/137114848/137114848_201404_990PF.pdf?_ga=1.166277502.1135072798.1449169471).

Heather Oaks, LLC

25. A limited liability company named Heather Oaks, LLC was registered in Alabama in 1994 with purpose of managing an apartment complex, but merged into another limited liability company in 2004, which went out of business a week later. Choma, *Mother Jones*, Dec. 2, 2015; Alabama Secretary of State, Business Entity Details, Heather Oaks, L.L.C. (*available at* <http://arc-sos.state.al.us/cgi/corpdetail.mbr/detail?corp=650497&page=name&file=M>); Alabama Secretary of State, Business Entity Details, JM WH & RC Brown L.L.C. (*available at* <http://arc-sos.state.al.us/cgi/corpdetail.mbr/detail?corp=658243&page=name&file=D>).

26. An apparently unrelated limited liability company also named Heather Oaks, LLC was formed or incorporated on February 23, 2015 in Delaware. Delaware Department of State, Division of Corporations, Entity Detail for Heather Oaks, LLC. Just two weeks later, on March 9, 2015, Right to Rise USA received a \$100,000 contribution from a Heather Oaks, LLC. Right to Rise USA, FEC Form 3X, Mid-Year Report, Amended, at 1319. As a result, the contribution most likely came from the Delaware entity.

27. Little additional information appears to be available about this Heather Oaks, LLC. The address Right to Rise USA reported for Heather Oaks, LLC is 216 Summit Boulevard, Birmingham, Alabama, 35243-3507. *Id.* At the time of the contribution, Suite 300 at this address was the location of Sellers Richardson Holman & West LLP, an accounting and consulting firm. Press Release, RSM, McGladrey to Expand in Alabama Through Transaction with Sellers Richardson Holman & West LLP, February 10, 2015 (*available at* <http://rsmus.com/newsroom/news-releases/2015/mcgladrey-to-expand-in-alabama-through->

[transaction-with-sellers.html](#)).³ The firm has since been acquired by McGladrey LLP, “a leading provider of audit, tax, and consulting services,” which then changed its name to RSM. *Id.*

28. On information and belief, Heather Oaks, LLC did not generate sufficient income in the two weeks between its formation and the date on which it contributed \$100,000 to Right to Rise USA to account for the contribution. Heather Oaks, LLC does not appear to conduct any business, it does not have a presence on the Internet, and does not even appear to own any property. As *Mother Jones* observed, Heather Oaks, LLC appears to be a “classic” shell company with no assets or business operations of its own that exists “solely as a conduit for transactions by other companies or individuals.” Choma, *Mother Jones*, Dec. 2, 2015.

Count I

29. The FECA and FEC regulations prohibit making a contribution in the name of another person and knowingly permitting one’s name to be used to effect a contribution in the name of another person. 52 U.S.C. § 30122; 11 C.F.R. § 110.4(b).

30. Mr. Schwartz appears to have made a \$100,000 contribution to Right to Rise USA in the name of TH Holdings LLC in violation of 52 U.S.C. § 30122 and 11 C.F.R. § 110.4(b). Mr. Schwartz appears to own, in whole or in part, TH Holdings LLC, a limited liability company that seemingly does not conduct any business, has not generated any income, and does not serve any function other than owning a property in the Hamptons. Accordingly, the \$100,000 contribution appears to have been made by Mr. Schwartz, not TH Holdings LLC.

³ As *Mother Jones* noted, 216 Summit Boulevard is shopping mall, and the address reported by Right to Rise USA does not specify a particular suite or office. Choma, *Mother Jones*, Dec. 2, 2015. A Google Maps search of the address, however, shows the mall complex is split into several buildings with different street numbers, and number 216 appears to correspond only to a handful of stores. Of those, all are retail stores or restaurants, except for the accounting firm, a branch of the Fidelity Investments brokerage, and a travel agency.

31. TH Holdings LLC appears to have correspondingly violated 52 U.S.C. § 30122 and 11 C.F.R. § 110.4(b) by knowingly permitting its name to be used to effect a \$100,000 contribution by Mr. Schwartz to Right to Rise USA.

32. If these violations were knowing and willful, they are subject to criminal penalties and should be referred to the Department of Justice for investigation. 52 U.S.C. §§ 30109(a)(5)(C), 30109(d)(1).

Count II

33. The FECA and FEC regulations prohibit making a contribution in the name of another person and knowingly permitting one's name to be used to effect a contribution in the name of another person. 52 U.S.C. § 30122; 11 C.F.R. § 110.4(b).

34. An unknown individual or entity appears to have made a \$100,000 contribution to Right to Rise USA in the name of Heather Oaks, LLC in violation of 52 U.S.C. § 30122 and 11 C.F.R. § 110.4(b). Heather Oaks, LLC had been in existence for two weeks or less when it made the contribution, and it does not appear to conduct any business. It is, therefore, highly unlikely Heather Oaks, LLC generated sufficient income in that short period to account for the \$100,000 contribution. Accordingly, the \$100,000 contribution appears to have been made by an unknown individual or entity, not Heather Oaks, LLC.

35. Heather Oaks, LLC appears to have correspondingly violated 52 U.S.C. § 30122 and 11 C.F.R. § 110.4(b) by knowingly permitting its name to be used to effect the \$100,000 contribution to Right to Rise USA.

36. If these violations were knowing and willful, they are subject to criminal penalties and should be referred to the Department of Justice for investigation. 52 U.S.C. §§ 30109(a)(5)(C), 30109(d)(1).

Count III

37. The FECA and FEC regulations also prohibit knowingly accepting a contribution made by one person in the name of another person. 52 U.S.C. § 30122; 11 C.F.R. § 110.4(b).

38. If Right to Rise USA and Mr. Spies knowingly accepted a contribution made by Mr. Schwartz in the name of TH Holdings LLC, or knowingly accepted a contribution by an unknown individual or entity in the name of Heather Oaks, LLC, they may have violated 52 U.S.C. § 30122 and 11 C.F.R. § 110.4(b).

Conclusion

WHEREFORE, Citizens for Responsibility and Ethics in Washington and Noah Bookbinder request that the FEC conduct an investigation into these allegations, declare the respondents to have violated the FECA and applicable FEC regulations, and order respondents to correct these violations by amending the Right to Rise USA's disclosures to identify and make public the source(s) of the contribution to the PAC. In addition, respondents request that the FEC impose sanctions appropriate to these violations and take such further action as may be appropriate, including referring this case to the Department of Justice for criminal prosecution.



ON BEHALF OF COMPLAINANTS

Noah Bookbinder
Executive Director
Citizens for Responsibility and Ethics in
Washington
455 Massachusetts Ave. N.W., Sixth Floor
Washington, D.C. 20001
(202) 408-5565 (phone)
(202) 588-5020 (fax)

VERIFICATION

Citizens for Responsibility and Ethics in Washington and Noah Bookbinder verify that the statements made in the attached Complaint are, upon information and belief, true. Sworn pursuant to 18 U.S.C. § 1001.



Noah Bookbinder

Sworn to and subscribed before me this 10th day of December 2015.



Notary Public

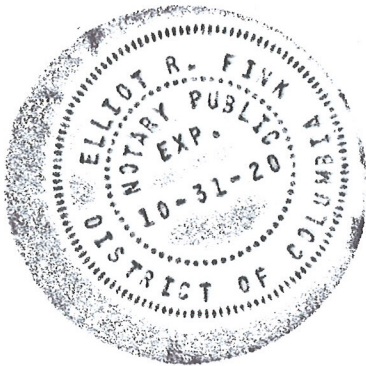


EXHIBIT A

NYS Department of State

Division of Corporations

Entity Information

The information contained in this database is current through December 3, 2015.

Selected Entity Name: TH HOLDINGS LLC

Selected Entity Status Information

Current Entity Name: TH HOLDINGS LLC

DOS ID #: 3959809

Initial DOS Filing Date: JUNE 09, 2010

County: SUFFOLK

Jurisdiction: NEW YORK

Entity Type: DOMESTIC LIMITED LIABILITY COMPANY

Current Entity Status: ACTIVE

Selected Entity Address Information

DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

C/O PAUL FREEDMAN
61 BROADWAY SUITE 1405
NEW YORK, NEW YORK, 10006

Registered Agent

NONE

This office does not require or maintain information regarding the names and addresses of members or managers of nonprofessional limited liability companies. Professional limited liability companies must include the name(s) and address(es) of the original members, however this information is not recorded and only available by viewing the certificate.

# of Shares	Type of Stock	\$ Value per Share
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No Information Available		
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*Stock information is applicable to domestic business corporations.

Name History

Filing Date	Name Type	Entity Name
JUN 09, 2010	Actual	TH HOLDINGS LLC

A **Fictitious** name must be used when the **Actual** name of a foreign entity is unavailable for use in New York State. The entity must use the fictitious name when conducting its activities or business in New York State.

NOTE: New York State does not issue organizational identification numbers.

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[Homepage](#) | [Contact Us](#)

EXHIBIT B

NEUBERGER	BERMAN
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NEUBERGER BERMAN ANNUAL REPORT

2014

Serious Investing™
Since 1939

MANAGING DIRECTORS

Ugo Lancioni
 Andrew C. Laurino
 Joseph W. Lawrence
 Diane E. Lederman
 Wai Lee
 Richard S. Levine
 Jacques G. Lilly
 Melinda L. Lloyd
 Patrick C. Lomelo
 Elisabeth S. Lonsdale
 Linda J. Ludwig
 Raoul Christian Luttk
 James A. Lyman
 Joseph P. Lynch
 David Lyon
 Jeffrey A. Majit
 Thomas Marthaler
 Martin E. Messinger
 Stephen Miller
 Woolf N. Milner
 Arthur Moretti
 David H. Morse
 Richard S. Nackenson
 John D. Nadell
 Benjamin H. Nahum
 Christian Neira
 Holly Newman Kroft
 Kevin J. O'Friel
 Paul D. O'Halloran
 Ryo Ohira
 Bradley Franklin Okita
 Thomas P. O'Reilly
 Mark A. O'Sullivan
 Daniel P. Paduano
 Maria Pappas
 Robert H. Pearlman
 Ian D. Peckett
 David R. Pedowitz
 Tristram C. Perkins
 Cas A.H. Peters
 Peter B. Phelan
 Alexandra M. Pomeroy
 Nish Vinayakrai Popat

Samuel N. Porat
 Michael S. Poremba
 David S. Portny
 Brendan J. Potter
 Joseph F. Quirk
 Douglas A. Rachlin
 Henry Ramallo
 Michelle L. Rappa
 Elizabeth Reagan
 Matthew Rees
 Michael D. Rees
 Brett S. Reiner
 Kenneth G. Rende
 Carter P. Reynolds
 F. Christian Reynolds
 Joana Palhava Rocha Scaff
 Lucas J. Rooney
 David J. Rosa
 David M. Ross
 Martin James Rotheram
 Matthew L. Rubin
 Robert J. Ryan
 Sevan N. Sakayan
 Conrad A. Saldanha
 Eli M. Salzmann
 Martin A. Sankey
 Paul A. Sauer
 Henri A. Schupf
 Marvin C. Schwartz
 Mindy Schwartzapfel
 Benjamin E. Segal
 Saurin D. Shah
 Monica L. Sherer
 Steve S. Shigekawa
 Jonathan D. Shofet
 Neil S. Siegel
 Yves C. Siegel
 Ronald B. Silvestri
 Prashant Singh
 Laura J. Sloate
 Brien P. Smith
 Amit Solomon
 Thomas A. Sontag

Gregory G. Spiegel
 Sara Jill Sprung
 Dimitrios N. Stathopoulos
 Michelle B. Stein
 Stephanie J. Stiefel
 David S. Stonberg
 Emma A. Sugarman
 Raymond A. Sullivan
 Richard J. Szelc
 Brian G. Talbot
 Lihui Tang
 Bradley C. Tank
 Anthony J. Taranto
 Lee J. Tawil
 Howard T. Taylor
 Terri L. Towers
 Kenneth J. Turek
 Carlton R. Turner
 Yolanda R. Turocy
 Anthony D. Tutrone
 Gorky Urquieta
 Judith M. Vale
 Bart Anton Van der Made
 Dik van Lomwel
 Peter J. von Lehe
 George H. Walker
 Sean J. Ward
 David I. Weiner
 Eric D. Weinstein
 Richard M. Werman
 Obadiah J. Willford
 Andrew Wilmont
 David A. Wilson
 Rhonda Wiswall
 Johnny YH Wong
 Kristen Chen Yang
 Frank Yulin Yao
 Patricia Miller Zollar
 Heather P. Zuckerman

EXHIBIT C

**FEC
FORM 3P**

REPORT OF RECEIPTS AND DISBURSEMENTS

BY AN AUTHORIZED COMMITTEE OF A CANDIDATE
FOR THE OFFICE OF PRESIDENT OR VICE PRESIDENT

Office Use Only

1. NAME OF COMMITTEE (in full, type or print)

Example: If typing, type over the lines.

12FE4M5

JEB 2016, INC.

ADDRESS (number and street))

P.O. BOX 440669

Check if different
than previously
reported. (ACC)

MIAMI

CITY

FL

STATE

33144

ZIP CODE

2. FEC IDENTIFICATION NUMBER

C

C00579458

3. THIS REPORT IS FOR Primary or General

4. TYPE OF REPORT (Choose One)

Check here if this is a Termination Report (TER)

Quarterly Reports:

April 15 (Q1)

October 15 (Q3)

X July 15 (Q2)

January 31 Year-End Report (YE)

Monthly Reports:

Feb 20 (M2)

May 20 (M5)

Aug 20 (M8)

Nov 20 (M11)

Mar 20 (M3)

Jun 20 (M6)

Sep 20 (M9)

Dec 20 (M12)

Apr 20 (M4)

Jul 20 (M7)

Oct 20 (M10)

Jan 31 (YE)

Thirtieth day report following the General Election

on

Twelfth day report preceding

election

on

in the State of

Is this Report an Amendment?

X

yes

no

5. Covering Period

04

01

2015

through

06

30

2015

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

WILLIAM SIMON

Signature of Treasurer

WILLIAM SIMON

[Electronically Filed]

Date

09

04

2015

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.
All previous versions of this form are obsolete and should no longer be used.

Office
Use
Only

Image# 201509049001615871

**SCHEDULE A-P
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 1344 / 1967

<input type="checkbox"/> 16	<input checked="" type="checkbox"/> 17a	<input type="checkbox"/> 17b	<input type="checkbox"/> 17c	<input type="checkbox"/> 17d	<input type="checkbox"/> 18
<input type="checkbox"/> 19a	<input type="checkbox"/> 19b	<input type="checkbox"/> 20a	<input type="checkbox"/> 20b	<input type="checkbox"/> 20c	<input type="checkbox"/> 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
JEB 2016, INC.**A. Full Name (Last, First, Middle Initial)****MS. LAUREN SCHWABE**

Mailing Address 16 DEER PARK MEADOW ROAD

City	State	Zip Code
GREENWICH	CT	06830-3835

FEC ID number of contributing
federal political committee.**C**Name of Employer
STUDENTOccupation
STUDENT

Receipt For: 2016

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2500.00

Transaction ID : SA17.110207

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
06			18			2015			

CONTRIBUTION

Amount of Each Receipt this Period

2500.00

B. Full Name (Last, First, Middle Initial)**MR. MARVIN C. SCHWARTZ**Mailing Address 605 THIRD AVE.
FL-42

City	State	Zip Code
NEW YORK	NY	10158-0180

FEC ID number of contributing
federal political committee.**C**Name of Employer
NEUBERGER BERMANOccupation
MANAGING DIRECTOR

Receipt For: 2016

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2700.00

Transaction ID : SA17.115823

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
06			29			2015			

CONTRIBUTION

Amount of Each Receipt this Period

2700.00

C. Full Name (Last, First, Middle Initial)**MICHAEL SCHWARTZ**

Mailing Address 7 ORCHARD WAY

City	State	Zip Code
KENTFIELD	CA	94904-2622

FEC ID number of contributing
federal political committee.**C**Name of Employer
SCLAY MANAGEMENT CO.Occupation
EXECUTIVE

Receipt For: 2016

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

2700.00

Transaction ID : SA17.118450

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
06			30			2015			

CONTRIBUTION

Amount of Each Receipt this Period

2700.00

Subtotal Of Receipts This Page (optional).....

7900.00

Total This Period (last page this line number only).....

EXHIBIT D

Department of State: Division of Corporations

[Allowable Characters](#)**HOME**

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SERVICES

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[How to Form a New Business Entity](#)
[Certifications, Apostilles & Authentication of Documents](#)

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Entity Details

THIS IS NOT A STATEMENT OF GOOD STANDING

File Number: 5698126 **Incorporation Date /** 2/23/2015
Formation Date: (mm/dd/yyyy)
Entity Name: HEATHER OAKS, LLC
Entity Kind: Limited Liability Company **Entity Type:** General
Residency: Domestic **State:** DELAWARE

REGISTERED AGENT INFORMATION

Name: THE CORPORATION TRUST COMPANY
Address: CORPORATION TRUST CENTER 1209 ORANGE ST
City: WILMINGTON **County:** New Castle
State: DE **Postal Code:** 19801
Phone: 302-658-7581

Additional Information is available for a fee. You can retrieve Status for a fee of \$10.00 or more detailed information including current franchise tax assessment, current filing history and more for a fee of \$20.00.

Would you like ☐ Status ☐ Status, Tax & History Information

For help on a particular field click on the Field Tag to take you to the help area.

[site map](#) | [about this site](#) | [contact us](#) | [translate](#) | [delaware.gov](#) | [release notes](#)

EXHIBIT E

Right to Rise Super PAC, Inc.

601 Pennsylvania Avenue NW
North Building, Suite 1000
Washington, D.C. 20004

RECEIVED
FEDERAL ELECTION
COMMISSION
PUBLIC DISCLOSURE
DIVISION

2015 JAN -6 AM 10:13

HAND DELIVERED

January 6, 2015

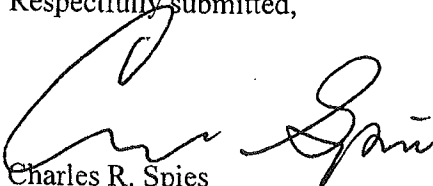
Federal Election Commission
999 E Street, NW
Washington, D.C. 20463

Re: Form 1, Statement of Organization—Unlimited Contributions

To Whom It May Concern:

This committee intends to make independent expenditures, and consistent with the U.S. Court of Appeals for the District of Columbia Circuit decision in *SpeechNow v. FEC*, it therefore intends to raise funds in unlimited amounts. This committee will not use those funds to make contributions, whether direct, in-kind, or via coordinated communications, to federal candidates or committees.

Respectfully submitted,


Charles R. Spies
Treasurer

FILED IN CIVIL DIVISION

HAND DELIVERED

FEC
FORM 1STATEMENT OF
ORGANIZATION

RECEIVED

2015 JAN -6 AM 10:10

FEC MAIL CENTER

1. NAME OF
COMMITTEE (in full)☐(Check if name
is changed)Example: If typing, type
over the lines.

12FE4M5

Right to Rise Super PAC, Inc.

ADDRESS (number and street)

601 Pennsylvania Avenue NW

North Building, Suite 1000

☐(Check if address
is changed)

Washington

DC

20004

CITY

STATE

ZIP CODE

COMMITTEE'S E-MAIL ADDRESS (Please provide only one e-mail address)

☐(Check if address
is changed)

cspies@clarkhill.com

COMMITTEE'S WEB PAGE ADDRESS (URL)

☐(Check if address
is changed)

www.RighttoRiseSuperPAC.com

2. DATE

1

6

2015

3. FEC IDENTIFICATION NUMBER

C

4. IS THIS STATEMENT

☒

NEW (N)

OR

☐

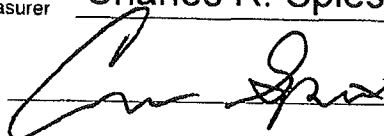
AMENDED (A)

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Charles R. Spies

Signature of Treasurer



Date

01

05

2015

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g.

ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

Office
Use
OnlyFor further information contact:
Federal Election Commission
Toll Free 800-424-9530
Local 202-694-1100

FEC FORM 1

(Revised 02/2009)

FEC Form 1 (Revised 02/2009)

Page 2

5. TYPE OF COMMITTEE

Candidate Committee:

- (a) ☐ This committee is a principal campaign committee. (Complete the candidate information below.)
- (b) ☐ This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)

Name of Candidate

Candidate Party Affiliation

Office Sought:

☐

House

☐

Senate

☐

President

State

District

- (c) ☐ This committee supports/opposes only one candidate, and is NOT an authorized committee.

Name of Candidate

Party Committee:

- (d) ☐ This committee is a (National, State or subordinate) committee of the (Democratic, Republican, etc.) Party.

Political Action Committee (PAC):

- (e) ☐ This committee is a separate segregated fund. (Identify connected organization on line 6.) Its connected organization is a:
- ☐ Corporation ☐ Corporation w/o Capital Stock ☐ Labor Organization
- ☐ Membership Organization ☐ Trade Association ☐ Cooperative
- ☐ In addition, this committee is a Lobbyist/Registrant PAC.
- (f) ☒ This committee supports/opposes more than one Federal candidate, and is NOT a separate segregated fund or party committee. (i.e., nonconnected committee)
- ☒ In addition, this committee is a Lobbyist/Registrant PAC.
- ☐ In addition, this committee is a Leadership PAC. (Identify sponsor on line 6.)

Joint Fundraising Representative:

- (g) ☐ This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, at least one of which is an authorized committee of a federal candidate.
- (h) ☐ This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, none of which is an authorized committee of a federal candidate.

Committees Participating in Joint Fundraiser

1. FEC ID number
2. FEC ID number
3. FEC ID number
4. FEC ID number

6. Name of Any Connected Organization, Affiliated Committee, Joint Fundraising Representative, or Leadership PAC Sponsor

Mailing Address _____

CITY STATE ZIP CODE

Relationship: ☐ Connected Organization ☐ Affiliated Committee ☐ Joint Fundraising Representative ☐ Leadership PAC Sponsor

7. **Custodian of Records:** Identify by name, address (phone number -- optional) and position of the person in possession of committee books and records.

Full Name Charles R. Spies

Mailing Address 601 Pennsylvania Avenue NW
North Building, Suite 1000
Washington DC 20004

Title or Position CITY STATE ZIP CODE

Treasurer Telephone number 202 - 772 - 0909

8. **Treasurer:** List the name and address (phone number -- optional) of the treasurer of the committee; and the name and address of any designated agent (e.g., assistant treasurer).

Full Name of Treasurer Charles R. Spies

Mailing Address 601 Pennsylvania Avenue NW
North Building, Suite 1000
Washington DC 20004

CITY STATE ZIP CODE

Title or Position Treasurer

Telephone number 202 772 0909

EXHIBIT F

Image# 201512059004147647

PAGE 1 / 1657

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Right to Rise USA

ADDRESS (number and street)

6230 Wilshire Blvd.

PMB 1790

Check if different
than previously
reported. (ACC)

Los Angeles

CA

90048-5126

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00571372

3. IS THIS
REPORTNEW
(N)

OR

X

AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☒ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ General (12G)☐ Runoff (12R)☐ Convention (12C)☐ Special (12S)

Election on

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

in the
State of

5. Covering Period

01

01

2015

through

06

30

2015

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Charles R. Spies

Signature of Treasurer

Charles R. Spies

[Electronically Filed]

Date

12

05

2015

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SCHEDULE A (FEC Form 3X)**ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 1319 OF 1657

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Right to Rise USA

Full Name (Last, First, Middle Initial)

A. HEALTH PROMOTERS LLC

Mailing Address 875 MILITARY TRAIL, SUITE 200

City

JUPITER

State

FL

Zip Code

33458-5700

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐

Primary

☐

General

☐

Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

MM / DD / YYYY
02 / 20 / 2015

Transaction ID : SA11.47785

Amount of Each Receipt this Period

5000.00

CONTRIBUTION

Full Name (Last, First, Middle Initial)

B. HEATHER OAKS LLC

Mailing Address 216 SUMMIT BOULEVARD

City

BIRMINGHAM

State

AL

Zip Code

35243-3507

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐

Primary

☐

General

☐

Other (specify) ▼

Aggregate Year-to-Date ▼

100000.00

Date of Receipt

MM / DD / YYYY
03 / 09 / 2015

Transaction ID : SA11.49106

Amount of Each Receipt this Period

100000.00

CONTRIBUTION

Full Name (Last, First, Middle Initial)

C. HEICO CORPORATION

Mailing Address 825 BRICKELL BAY DRIVE SUITE 1644

City

MIAMI

State

FL

Zip Code

33131-2920

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐

Primary

☐

General

☐

Other (specify) ▼

Aggregate Year-to-Date ▼

10000.00

Date of Receipt

MM / DD / YYYY
03 / 17 / 2015

Transaction ID : SA11.50320

Amount of Each Receipt this Period

10000.00

CONTRIBUTION

SUBTOTAL of Receipts This Page (optional)..... ►

115000.00

TOTAL This Period (last page this line number only)..... ►

Image# 201512059004149053

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 1407 OF 1657

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Right to Rise USA

Full Name (Last, First, Middle Initial)

A. TAXPAYERS IN ACTION

Mailing Address 610 SOUTH BOULEVARD

City	State	Zip Code
TAMPA	FL	33606-2647

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

10000.00

Date of Receipt

MM / DD / YYYY
06 / 01 / 2015

Transaction ID : SA11.108633

Amount of Each Receipt this Period

10000.00

CONTRIBUTION

Full Name (Last, First, Middle Initial)

B. TEMMailing Address 6321 DANIELS PARKWAY
SUITE 200

City	State	Zip Code
FORT MYERS	FL	33912-4773

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

10000.00

Date of Receipt

MM / DD / YYYY
02 / 19 / 2015

Transaction ID : SA11.47593

Amount of Each Receipt this Period

10000.00

CONTRIBUTION

Full Name (Last, First, Middle Initial)

C. TH HOLDINGS LLCMailing Address 605 THIRD AVENUE
42ND FLOOR

City	State	Zip Code
NEW YORK	NY	10158-0180

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

100000.00

Date of Receipt

MM / DD / YYYY
02 / 26 / 2015

Transaction ID : SA11.48272

Amount of Each Receipt this Period

100000.00

CONTRIBUTION

SUBTOTAL of Receipts This Page (optional).....▶

120000.00

TOTAL This Period (last page this line number only).....▶

EXHIBIT G

Deeds

Liber	Page	Amount	Date	Tax Map #	Grantor Name	Grantee Name
D00012288	488	0.00	12/08/2003	0900-380.00-03.00-121.000/0900	SMITH, FRANK RALPH / SMITH, FRANK R	FRANK RALPH SMITH TRUST / SMITH, FRANK RALPH
D00012571	872	0.00	11/14/2008	0900-380.00-03.00-121.000/0900	FRANK RALPH SMITH TRUST AGREEMENT / SMITH TRUST	HAYMES, STEPHEN D
D00012577	861	0.00	01/14/2009	0900-380.00-02.00-096.000/0900	FRANK RALPH SMITH TRUST AGREEMENT / SMITH TRUST	HAYMES, STEPHEN D
D00012585	284	400,000.00	04/09/2009	0900-380.00-02.00-096.000	FRANK RALPH SMITH TRUST / SMITH TRUST FRANK RALPH	BUTLER, FLORENCE A
D00012586	062		04/20/2009	0900-380.00-02.00-096.000	DEPARTMENT OF TREASURY INTERNAL REVENUE SERVICE	SMITH, FRANK R
D00012634	033	452,000.00	08/10/2010	0900-380.00-02.00-096.000	BUTLER, FLORENCE A	TH HOLDINGS LLC

Liber	D00012634	Page	033
-------	-----------	------	-----

Grantors

Last Name	Suffix	First Name	Middle Initial	Corporation	Addr 1	Addr 2
BUTLER		FLORENCE	A			

Grantees

Last Name	Suffix	First Name	Middle Initial	Corporation	Addr 1	Addr 2
				TH HOLDINGS LLC		

Tax Map IDs

Tax Map
0900-380.00-02.00-096.000

[Close](#)

Tax Map From = 0900-380.00-02.00-096.000

To view details click on liber

Print Back

Page 1

Go First Prev Next Last

Total Records: 6, Page 1 of 1

Date	View	Liber	Page	Tax Map ID	Grantor	Grantee	Document	Amount	Cost	
12/8/2003	View	D00012288	488	0900-380.00-03.00-121.000...more	SMITH, FRANK RALPH...more	SMITH, FRANK RALPH...more	FRANK RALPH SMITH TRUST/SMITH TRUST FRANK RALPH	DEED	\$0.00	\$0.00
11/14/2008	View	D00012571	872	0900-380.00-03.00-121.000...more	LEAVITT, NORMAN LEO	FRANK RALPH SMITH TRUST AGREEMENT/SMITH TRUST AGREEMENT FRANK RALPH	HAYMES, STEPHEN D	CONTRACT / OPTION	\$0.00	\$0.00
1/14/2009	View	D00012577	961	0900-380.00-02.00-096.000...more	LEAVITT, NORMAN LEO	FRANK RALPH SMITH TRUST AGREEMENT/SMITH TRUST AGREEMENT FRANK RALPH	HAYMES, STEPHEN D	CONTRACT / OPTION	\$0.00	\$0.00
4/9/2009	View	D00012585	124	0900-380.00-02.00-096.000	LEAVITT, NORMAN LEO	FRANK RALPH SMITH TRUST/SMITH TRUST FRANK RALPH	BUTLER, FLORENCE A	DEED	\$400,000.00	\$1,800.00
4/20/2009	View	D00012585	102	0900-380.00-02.00-096.000		DEPARTMENT OF TREASURY INTERNAL REVENUE SERVICE	SMITH, FRANK R	RELEASE OF FEDERAL / ESTATE TAX		
8/10/2010	View	D00012634	1033	0900-380.00-02.00-096.000	BUTLER, FLORENCE A		TH HOLDINGS LLC	DEED	\$452,000.00	\$1,800.00

EXHIBIT H

Town of Southampton
Assessment RollTOWNSHIP STATUS DATE 03/01/2015
Page 5,884
Uniform % = 100

SWIS CODE 473689

ROLL SECTION 1

NAME AND ADDR. OF LAST REFUSED	SUFFOLK COUNTY TAX MAP, ADDRESS AND ACCOUNT IDENTIF.	ASSESS LAND/TOTAL MARKET VALUE	EXEMPTIONS	NET TAXABLE	NET TAXABLE VALUE
Lauren Paladino 701 E 87th St Apt 24-C New York NY 10128	473689 380.000-0002-091.000 ITEM NO 443521.00 27 Tuthill Ln Rensselaer/ Speonk TAX CODE	3421,300 3421,300 3421,300 3421,300 SCH # CLASS ACRES 0.810		County Town 473601 473601 3 hp10 3 hp10 3 hp20	848,100 774,900 774,900 774,900 774,900 774,900 774,900
Robert W Melboffer Helene S Melboffer 125 Squabare Dr Melbourne Beach FL 32951	473689 380.000-0002-092.000 ITEM NO 443785.00 19 Felix Ave Rensselaer/ Speonk TAX CODE	3518,500 3518,500 3518,500 3518,500 SCH # CLASS ACRES 0.870		County Town 473601 473601 3 hp10 3 hp10 3 hp20	774,900 774,900 774,900 774,900 774,900 774,900 774,900
James J Keating Karen Keating PO Box 14 Rensselaer NY 11960	473689 380.000-0002-093.000 ITEM NO 443761.00 17 Felix Ave Rensselaer/ Speonk TAX CODE	3438,600 3438,600 3438,600 3438,600 SCH # CLASS ACRES 0.850	643,710	County Town 473601 473601 3 hp10 3 hp10 3 hp20	794,700 833,600 833,600 833,600 833,600 833,600 833,600
Carol Taormina PO Box 1050 Rensselaer NY 11960	473689 380.000-0002-094.000 ITEM NO 443545.00 12 Felix Ave Rensselaer/ Speonk TAX CODE	3457,100 3457,100 3457,100 3457,100 SCH # CLASS ACRES 0.920		County Town 473601 473601 3 hp10 3 hp10 3 hp20	833,600 833,600 833,600 833,600 833,600 833,600 833,600
Michael E Twomey Jeanine Dowling 2400 Ave Rd Larchmont, NY 10538	473689 380.000-0002-095.000 ITEM NO 440885.00 25 Tuthill Ln Rensselaer/ Speonk TAX CODE	3285,300 3285,300 3285,300 3285,300 SCH # CLASS ACRES 0.570		County Town 473601 473601 3 hp10 3 hp10 3 hp20	692,900 692,900 692,900 692,900 692,900 692,900 692,900
TH Holdings, LLC c/o Marvin Schwartz 605 Third Ave FL 42 New York NY 10158-0180	473689 380.000-0002-095.000 ITEM NO 443497.00 23 Tuthill Ln Rensselaer/ Speonk TAX CODE	3421,100 3421,100 3421,100 3421,100 SCH # CLASS ACRES 0.720		County Town 473601 473601 3 hp10 3 hp10 3 hp20	848,100 774,900 774,900 774,900 774,900 774,900 774,900
Maxwell E Haber Lisa S Haber PO Box 1045 Rensselaer, NY 11960-1045	473689 380.000-0002-097.000 ITEM NO 443473.00 21 Tuthill Ln Rensselaer/ Speonk TAX CODE	3311,700 3311,700 3311,700 3311,700 SCH # CLASS ACRES 0.720		County Town 473601 473601 3 hp10 3 hp10 3 hp20	814,900 814,900 814,900 814,900 814,900 814,900 814,900

V90.003

6/29/2015 8:39:01 AM

\\nh-govern\gov\reports\custom\assess\ass1.rpt

EXHIBIT I

**FEC
FORM 3****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For An Authorized CommitteeRECEIVED PAGE 1 / 1486
SECRETARY OF THE SENATE
PUBLIC AFFAIRS

14 FEB 24 AM 11:05

Office Use Only

1. NAME OF
COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type
over the lines.

12FE4M5

Jeff Merkley for Oregon

ADDRESS (number and street)

PO Box 14172

Check if different
than previously
reported. (ACC)

Portland

OR

97293

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

STATE ▼ DISTRICT

C

C00437277

3. IS THIS
REPORTNEW
(N)

OR

AMENDED
(A)

OR

00

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:



April 15 Quarterly Report (Q1)



July 15 Quarterly Report (Q2)



October 15 Quarterly Report (Q3)



January 31 Year-End Report (YE)



Termination Report (TER)

(b) 12-Day PRE-Election Report for the:



Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

M M /

D D /

Y Y Y Y Y Y

in the
State of

M M

(c) 30-Day POST-Election Report for the:



General (30G)



Runoff (30R)



Special (30S)

Election on

M M /

D D /

Y Y Y Y Y Y

in the
State of

M M

5. Covering Period

M M /

D D /

Y Y Y Y Y Y

through

M M /

D D /

Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Kevin Neely

Signature of Treasurer

Kevin Neely

Date

M M /

D D /

Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3**
(Revised 02/2003)

FE5AN018

14020160001

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 298 OF 1486

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 11d	<input type="checkbox"/> 15
12	13a	13b	14	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Jeff Merkley for Oregon

Full Name (Last, First, Middle Initial)

Sylvia M. Meyer

A.

Mailing Address 1107 Caroline St

City

Port Angeles

State

WA

Zip Code

98362-4203

FEC ID number of contributing
federal political committee.

C

Name of Employer

Not Employed

Occupation

Retired

Receipt For: 2014

☒ Primary
☐ Other (specify)

General

Election Cycle-to-Date

235.00

Date of Receipt

MM / DD / YYYY
12 / 18 / 2013

18

2013

Transaction ID : C9862538

Amount of Each Receipt this Period

35.00

Full Name (Last, First, Middle Initial)

B.

Marvin C. Schwartz

Mailing Address 605 3rd Ave
FL 42

City

New York

State

NY

Zip Code

10158-0180

FEC ID number of contributing
federal political committee.

C

Name of Employer

Neuberger Berman LLC

Occupation

Portfolio Manager

Receipt For: 2014

☒ Primary
☐ Other (specify)

General

Election Cycle-to-Date

2600.00

Date of Receipt

MM / DD / YYYY
12 / 31 / 2013

31

2013

Transaction ID : C9880718

Amount of Each Receipt this Period

2600.00

Full Name (Last, First, Middle Initial)

C.

Daniel C. Dziuba

Mailing Address 24180 SW Petes Mountain Rd

City

West Linn

State

OR

Zip Code

97068-4500

FEC ID number of contributing
federal political committee.

C

Name of Employer

Tichenor & Dziuba LLP

Occupation

Attorney

Receipt For: 2014

☒ Primary
☐ Other (specify)

General

Election Cycle-to-Date

250.00

Date of Receipt

MM / DD / YYYY
11 / 09 / 2013

09

2013

Transaction ID : C9825008

Amount of Each Receipt this Period

250.00

SUBTOTAL of Receipts This Page (optional)

2885.00

TOTAL This Period (last page this line number only)

EXHIBIT J

**FEC
FORM 3P****REPORT OF RECEIPTS
AND DISBURSEMENTS**BY AN AUTHORIZED COMMITTEE OF A CANDIDATE
FOR THE OFFICE OF PRESIDENT OR VICE PRESIDENT

Office Use Only

1. NAME OF COMMITTEE (in full, type or print)

Example: If typing, type over the lines. 12FE4M5

JEB 2016, INC.

ADDRESS (number and street))

P.O. BOX 440669

Check if different
than previously
reported. (ACC)

MIAMI

CITY

FL

STATE

33144

ZIP CODE

2. FEC IDENTIFICATION NUMBER

C

C00579458

3. THIS REPORT IS FOR Primary or General

4. TYPE OF REPORT (Choose One)

Check here if this is a Termination Report (TER)

Quarterly Reports:

April 15 (Q1) ☒ October 15 (Q3)July 15 (Q2) ☐ January 31 Year-End Report (YE)

Monthly Reports:

Feb 20 (M2)

May 20 (M5)

Aug 20 (M8)

Nov 20 (M11)

Mar 20 (M3)

Jun 20 (M6)

Sep 20 (M9)

Dec 20 (M12)

Apr 20 (M4)

Jul 20 (M7)

Oct 20 (M10)

Jan 31 (YE)

Thirtieth day report following the General Election

on MM / DD / YYYY

Twelfth day report preceding

on MM / DD / YYYY election in the State of

Is this Report an Amendment?

☐ yes ☒ no

5. Covering Period

MM / DD / YYYY
07 / 01 / 2015

through

MM / DD / YYYY
09 / 30 / 2015

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

WILLIAM SIMON

Signature of Treasurer

WILLIAM SIMON

[Electronically Filed]

Date

MM / DD / YYYY
10 / 15 / 2015NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.
All previous versions of this form are obsolete and should no longer be used.Office
Use
Only

Image# 201510159003080944

**SCHEDULE A-P
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 2594 / 4952

<input type="checkbox"/> 16	<input checked="" type="checkbox"/> 17a	<input type="checkbox"/> 17b	<input type="checkbox"/> 17c	<input type="checkbox"/> 17d	<input type="checkbox"/> 18
<input type="checkbox"/> 19a	<input type="checkbox"/> 19b	<input type="checkbox"/> 20a	<input type="checkbox"/> 20b	<input type="checkbox"/> 20c	<input type="checkbox"/> 21

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

JEB 2016, INC.

A. Full Name (Last, First, Middle Initial)

MR. MARVIN C. SCHWARTZ

Mailing Address 605 3RD AVE
FL 42City State Zip Code
NEW YORK NY 10158-0180FEC ID number of contributing
federal political committee.

C

Name of Employer
NEUBERGER BERMANOccupation
PORTFOLIO MANAGER

Receipt For: 2016

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

5400.00

Transaction ID : SA17.147133

Date of Receipt

MM / DD / YYYY
09 / 10 / 2015**CONTRIBUTION**

Amount of Each Receipt this Period

2700.00

B. Full Name (Last, First, Middle Initial)

MR. MARVIN C. SCHWARTZ

Mailing Address 605 3RD AVE
FL 42City State Zip Code
NEW YORK NY 10158-0180FEC ID number of contributing
federal political committee.

C

Name of Employer
NEUBERGER BERMANOccupation
PORTFOLIO MANAGER

Receipt For: 2016

☒ Primary ☐ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

5400.00

Transaction ID : SA17.147133B

Date of Receipt

MM / DD / YYYY
09 / 22 / 2015**CONTRIBUTION**

Amount of Each Receipt this Period

-2700.00

[MEMO ITEM]

REDESIGNATION TO GENERAL

C. Full Name (Last, First, Middle Initial)

MR. MARVIN C. SCHWARTZ

Mailing Address 605 3RD AVE
FL 42City State Zip Code
NEW YORK NY 10158-0180FEC ID number of contributing
federal political committee.

C

Name of Employer
NEUBERGER BERMANOccupation
PORTFOLIO MANAGER

Receipt For: 2016

☐ Primary ☒ General
☐ Other (specify) ▼

Election Cycle-to-Date ▼

5400.00

Transaction ID : SA17.149637

Date of Receipt

MM / DD / YYYY
09 / 22 / 2015**CONTRIBUTION**

Amount of Each Receipt this Period

2700.00

[MEMO ITEM]

REDESIGNATION FROM PRIMARY

Subtotal Of Receipts This Page (optional).....

2700.00

Total This Period (last page this line number only).....

EXHIBIT K

NYS Department of State

Division of Corporations

Entity Information

The information contained in this database is current through December 7, 2015.

Selected Entity Name: TUTHILL HOLDINGS LLC

Selected Entity Status Information

Current Entity Name: TUTHILL HOLDINGS LLC

DOS ID #: 3809135

Initial DOS Filing Date: MAY 12, 2009

County: NEW YORK

Jurisdiction: NEW YORK

Entity Type: DOMESTIC LIMITED LIABILITY COMPANY

Current Entity Status: ACTIVE

Selected Entity Address Information

DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

C/O PAUL FREEDMAN
FREEDMAN & COMPANY
61 BROADWAY SUITE 1405
NEW YORK, NEW YORK, 10006

Registered Agent

NONE

This office does not require or maintain information regarding the names and addresses of members or managers of nonprofessional limited liability companies. Professional limited liability companies must include the name(s) and address(es) of the original members, however this information is not recorded and only available by [viewing the](#)

***Stock Information**

# of Shares	Type of Stock	\$ Value per Share
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No Information Available		
--------------------------	--	--

*Stock information is applicable to domestic business corporations.

Name History

Filing Date	Name Type	Entity Name
MAY 12, 2009	Actual	TUTHILL HOLDINGS LLC

A **Fictitious** name must be used when the **Actual** name of a foreign entity is unavailable for use in New York State. The entity must use the fictitious name when conducting its activities or business in New York State.

NOTE: New York State does not issue organizational identification numbers.

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EXHIBIT L

Deeds

Liber	Page	Amount	Date	Tax Map #	Grantor Name	Grantee Name
D00012288	488	0.00	12/08/2003	0900-380.00-03.00-121.000/0900	SMITH, FRANK RALPH/SMITH, FRANK R	SMITH, FRANK RALPH/SMITH, FRANK R
D00012571	872	0.00	11/14/2008	0900-380.00-03.00-121.000/0900	SMITH, FRANK RALPH/SMITH TRUST AGREEMENT/SMITH TRUST	HAYMES, STEPHEN D
D00012577	861	0.00	01/14/2009	0900-380.00-02.00-096.000/0900	SMITH, FRANK RALPH/SMITH TRUST AGREEMENT/SMITH TRUST	HAYMES, STEPHEN D
D00012590	277	3,400,000.00	06/05/2009	0900-380.00-03.00-042.000/0900	SMITH, FRANK RALPH/SMITH TRUST/SMITH TRUST FRANK RALPH	TUTHILL HOLDINGS LLC

Libor	D00012590	Page	277
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Grantors

Last Name	Suffix	First Name	Middle Initial	Corporation	Addr 1	Addr 2
LEAVITT		NORMAN LEO				
				SMITH TRUST FRANK RALPH		
				FRANK RALPH SMITH TRUST		

Grantees

Last Name	Suffix	First Name	Middle Initial	Corporation	Addr 1	Addr 2
				TUTHILL HOLDINGS LLC		

Tax Map IDs

Tax Map
0900-380.00-03.00-042.000
0900-380.00-03.00-121.000

Close

Tax Map From = 0900-380.00-03.00-042.000

To view details click on fiber

Print

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Next

Last

Total Records: 4, Page 1 of 1

Date	View	Doc	Parcel	Owner	Grantor	Grantee	Document	Amount	Balance
12/8/2003	View	D00012288	439	0900-380.00-03.00-121.000 more	SMITH, FRANK RALPH more		FRANK RALPH SMITH TRUST/SMITH TRUST FRANK RALPH	DEED	\$0.00 \$0.00
11/14/2008	View	D00012671	572	0900-380.00-03.00-121.000 more	LEAVITT, NORMAN LEO	FRANK RALPH SMITH TRUST AGREEMENT/SMITH TRUST AGREEMENT FRANK RALPH	HAYMES, STEPHEN D	CONTRACT / OPTION	\$0.00 \$0.00
1/14/2009	View	D00012577	651	0900-380.00-02.00-095.000 more	LEAVITT, NORMAN LEO	FRANK RALPH SMITH TRUST AGREEMENT/SMITH TRUST AGREEMENT FRANK RALPH	HAYMES, STEPHEN D	CONTRACT / OPTION	\$0.00 \$0.00
6/5/2009	View	D00012590	277	0900-380.00-03.00-042.000 more	LEAVITT, NORMAN LEO	FRANK RALPH SMITH TRUST/SMITH TRUST FRANK RALPH	TUTHILL HOLDINGS LLC	DEED	\$3,400,000.00 \$47,000.00

EXHIBIT M

[illegible]