FEDERAL ELECTION COMMISSION

In the matter of:

TH Holdings LLC

Marvin C. Schwartz

Heather Oaks, LLC

Right to Rise USA

Charles R. Spies, as treasurer, Right to Rise USA

COMPLAINT

1. Citizens for Responsibility and Ethics in Washington ("CREW") and Noah Bookbinder bring this complaint before the Federal Election Commission ("FEC") seeking an immediate investigation and enforcement action against TH Holdings LLC, Marvin C. Schwartz, Heather Oaks, LLC, Right to Rise USA, and its treasurer, Charles R. Spies, for direct and serious violations of the Federal Election Campaign Act ("FECA").

Summary

- 2. In early 2015, TH Holdings LLC and Heather Oaks, LLC each made \$100,000 contributions to Right to Rise USA, a super PAC supporting Jeb Bush's presidential campaign. Both appear to be contributions made in the name of another individual or entity in violation of the FECA and FEC regulations.
- 3. TH Holdings LLC is a limited liability company apparently owned in whole or in part by Marvin C. Schwartz, a managing director at New York private investment firm Neuberger Berman. The company's only known activity is owning a property in the Hamptons area of Long Island, New York. If, as it appears, TH Holdings LLC did not generate any income,

and the funds for its \$100,000 contribution to Right to Rise USA in fact came from Mr. Schwartz, then the contribution was made in the name of another in violation of the law.

- 4. The Heather Oaks, LLC that made the \$100,000 contribution to Right to Rise USA appears to be a Delaware limited liability company that was established just two weeks before the contribution was made. Heather Oaks, LLC does not have any known business activity, and it is highly unlikely the company generated sufficient income to pay for the \$100,000 contribution in two weeks. Accordingly, if, as it appears, the funds for the \$100,000 contribution to Right to Rise USA came from another individual or organization, the contribution was made in the name of another in violation of the law.
- 5. Furthermore, if Right to Rise USA knew the contributions were made in the name of another, it likely violated the law as well.

Complainants

- 6. Complainant CREW is a non-profit corporation, organized under section 501(c)(3) of the Internal Revenue Code. CREW is committed to protecting the right of citizens to be informed about the activities of government officials and to ensuring the integrity of government officials. CREW is dedicated to empowering citizens to have an influential voice in government decisions and in the governmental decision-making process. CREW uses a combination of research, litigation, and advocacy to advance its mission.
- 7. In furtherance of its mission, CREW seeks to expose unethical and illegal conduct of those involved in government. One way CREW does this is by educating citizens regarding the integrity of the electoral process and our system of government. Toward this end, CREW monitors the campaign finance activities of those who run for federal office and those who make

expenditures to influence federal elections, and publicizes those who violate federal campaign finance laws through its website, press releases, and other methods of distribution. CREW also files complaints with the FEC when it discovers violations of the FECA. Publicizing campaign finance violations and filing complaints with the FEC serves CREW's mission of keeping the public informed about individuals and entities that violate campaign finance laws and deterring future violations of campaign finance law.

- 8. In order to assess whether an individual or entity is complying with federal campaign finance law, CREW needs the information contained in disclosure reports political committees must file pursuant to the FECA, 52 U.S.C. §§ 30104(a), (b); 11 C.F.R. §§ 104.1, 104.3. CREW is hindered in its programmatic activity when an individual or entity fails to disclose campaign finance information in reports required by the FECA.
- 9. CREW relies on the FEC's proper administration of the FECA's reporting requirements because the FECA-mandated disclosure reports are the only source of information CREW can use to determine if an individual or entity is complying with the FECA. The proper administration of the FECA's reporting requirements includes mandating that all disclosure reports required by the FECA are properly and timely filed with the FEC. CREW is hindered in its programmatic activity when the FEC fails to properly administer the FECA's reporting requirements.
- 10. Complainant Noah Bookbinder is the executive director of Citizens for Responsibility and Ethics in Washington. At all times relevant to the complaint, he has been and remains a citizen of the United States and a registered voter and resident of Maryland. As a registered voter, Mr. Bookbinder is entitled to receive information contained in disclosure reports

required by the FECA, 52 U.S.C. § 30104; 11 C.F.R. §§ 104.1, 104.3. Mr. Bookbinder is harmed when an individual, candidate, political committee, or other entity fails to report campaign finance activity as required by the FECA. *See FEC v. Akins*, 524 U.S. 11, 19 (1998), *quoting Buckley v. Valeo*, 424 U.S. 1, 66-67 (1976) (political committees must disclose contributors and disbursements to help voters understand who provides which candidates with financial support). Mr. Bookbinder is further harmed when the FEC fails to properly administer the FECA's reporting requirements, limiting his ability to review campaign finance information.

Respondents

- 11. TH Holdings LLC is a domestic limited liability company organized and registered in New York State, and located in Suffolk County. New York State Department of State, Division of Corporations, Entity Information for TH Holdings LLC (attached as Exhibit A) ("TH Holdings LLC Registration").
- 12. Marvin C. Schwartz is a managing director of Neuberger Berman LLC, a "private, independent, employee-owned investment manager." Neuberger Berman, Annual Report 2014, at 69 (excerpts attached as Exhibit B); Jeb 2016, Inc., FEC Form 3P, July 2015

 Quarterly Report, Amended, at 1344 (September 4, 2015) (excerpts attached as Exhibit C);

 Neuberger Berman website, "About Neuberger Berman" page (available at http://www.nb.com/pages/public/global/our-firm.aspx).
- 13. Heather Oaks, LLC is a domestic limited liability company organized and registered in Delaware. Delaware Department of State, Division of Corporations, Entity Detail for Heather Oaks, LLC (attached as Exhibit D).

- 14. Right to Rise USA is an independent expenditure-only committee ("super PAC") established on January 6, 2015. Right to Rise USA, <u>FEC Form 1</u>, <u>Statement of Organization</u> (January 6, 2015) (excerpts attached as Exhibit E). Right to Rise USA asserts it is "the leading independent super PAC supporting Jeb Bush's campaign for President." Right to Rise USA website, Homepage (*available at* https://righttorisesuperpac.org/home?lang=en).
 - 15. Charles R. Spies is treasurer of Right to Rise USA. Exhibit E.

Factual Allegations

- 16. On February 26, 2015, TH Holdings LLC made a \$100,000 contribution to Right to Rise USA. On March 9, 2015, Heather Oaks, LLC made a \$100,000 contribution to Right to Rise USA. Right to Rise USA, <u>FEC Form 3X, Mid-Year Report, Amended</u>, at 1319, 1407 (December 5, 2015) (attached as Exhibit F).
- 17. Right to Rise USA's disclosure reports provide little information about these limited liability companies. The only information provided is that TH Holdings LLC's address is 605 Third Avenue, 42nd Floor, New York, NY 10158-0180 and Heather Oaks, LLC's address is 216 Summit Boulevard, Birmingham, Alabama, 35243-3507. *Id.*
- 18. These contributions, and the lack of information about who ultimately paid for them, has led to questions about "mystery money" and "ghost companies" funding groups supporting presidential candidates. Russ Choma, Who's Behind the Ghost Companies Funding Jeb Bush's Super-PAC, Mother Jones, December 2, 2015 (available at http://www.motherjones.com/politics/2015/11/ghost-companies-funding-jeb-bush-super-pac-right-to-rise); Eric Lichtblau and Nick Confessore, From Fracking to Finance, a Torrent of Campaign Cash, New York Times, October 10, 2015 (available at http://www.nytimes.com/2015/10/11/us/politics/wealthy-

families-presidential-candidates.html). *Mother Jones* described TH Holdings LLC as a "special case" that "represents the worst-case scenario of in the post-*Citizens United* campaign finance landscape: untraceable corporations shoveling untraceable cash into the political system." Choma, *Mother Jones*, Dec. 2, 2015. Heather Oaks, LLC, *Mother Jones* added, "is even more ghostly than TH Holdings." *Id.* Similar questions were raised in 2011 regarding contributions by limited liability companies to a super PAC supporting Mitt Romney's 2012 campaign for president, and the FEC has still not resolved complaints about those contributions. *Id.*

TH Holdings LLC

19. Despite the difficulty of obtaining information about TH Holdings LLC, public records indicate this company is owned in whole or in part by Mr. Schwartz. According to the Division of Corporations of New York State's Department of State, TH Holdings LLC filed its articles of organization with the Department of State on June 9, 2010. TH Holdings LLC Registration (Exhibit A). Two months later, on August 10, 2010, TH Holdings LLC completed the purchase of a property in Suffolk County, New York for \$452,000. Suffolk County Clerk's Office, Deeds for Tax Map ID 0900-380.00-02.00-096.000 (attached as Exhibit G). The tax identification number on the recorded deed corresponds to 23 Tuthill Lane, Remsenburg, New York. Town of Southampton, New York, Assessment Rolls, Taxable Status Date March 1, 2015, at 5884 ("Southampton Assessment Rolls") (page attached as Exhibit H, full record available at http://www.southamptontownny.gov/DocumentCenter/View/49); see also Zillow listing for 23 Tuthill Lane, Remsenburg, New York (available at http://www.zillow.com/homedetails/23-Tuthill-Ln-Remsenburg-NY-11960/111526497_zpid/) (listing parcel number in "Home Facts" section).

- 20. The Southampton Assessment Rolls list the owner of 23 Tuthill Lane as TH Holdings LLC. The address listed for TH Holdings LLC is: "c/o Marvin Schwartz, 605 Third Ave, FL 42, New York, NY, 10158-0180." Southampton Assessment Rolls, at 5884.
- 21. As noted, that address 605 Third Avenue, 42nd Floor, New York, NY is the same address Right to Rise USA reported to the FEC as the address for TH Holdings LLC. *Id.*It also is the address of the global headquarters of Neuberger Berman, where Mr. Schwartz is a managing director, as well as the address Mr. Schwartz provided to other political committees as his address. Neuberger Berman website, "Global Office Locations" page (*available at* (http://www.nb.com/pages/public/global/office-locations.aspx)¹; Jeff Merkley for Oregon, FEC Form 3, 2012 Year-End Report, at 298 (February 16, 2013) (excerpts attached as Exhibit I); Jeb 2016, Inc., FEC Form 3P, July 2015 Quarterly Report, Amended, at 1344 (September 4, 2015).
- 22. Mr. Schwartz's contributions to political committees include a total of \$5,400 to Jeb Bush's presidential campaign, the maximum amount permitted under FECA. *Id.*; Jeb 2016, Inc., <u>FEC Form 3P. October 2015 Quarterly Report</u>, at 2594 (October 10, 2015) (excerpts attached as Exhibit J).
- 23. Mr. Schwartz's interests in the property at 23 Tuthill Lane may stem from his apparent ownership of the property directly across the street at 16 and 22 Tuthill Lane through another limited liability company, Tuthill Holdings LLC. That company filed its articles of organization on May 12, 2009. New York State Department of State, Division of Corporations, Entity Information for Tuthill Holdings LLC (attached as Exhibit K). A month later, Tuthill Holdings LLC completed its purchase of 16 and 22 Tuthill Lane for \$3.4 million. Suffolk

¹ The website states Neuberger Berman is located on the 41st floor, but public records indicate the firm and its associated companies are located on multiple floors, including the 42nd floor.

County Clerk's Office, Deeds for Tax Map ID 0900-380.00-03.00-042.000 (attached as Exhibit L); Southampton Assessment Rolls, at 5892, 5899 (page attached as Exhibit M). The Southampton Assessment Rolls list the owner of 16 and 22 Tuthill Lane as Tuthill Holdings LLC, and again provide as an address: "c/o Marvin Schwartz, 605 Third Ave, FL 42, New York, NY, 10158-0180."

24. On information and belief, TH Holdings LLC does not conduct any business and has not generated any income, and does not serve any function other than owning 23 Tuthill Lane. TH Holdings LLC appears to have no presence on the Internet. Based on a search of the Suffolk County Clerk's Office website, https://kiosk.suffolkcountyny.gov/KioskWeb/
Default.aspx, TH Holdings LLC does not appear to have a business certificate to operate as a partnership in Suffolk County. In addition, TH Holdings LLC has not sold 23 Tuthill Lane to generate income. Suffolk County Clerk's Office, Deeds for Tax Map ID 0900-380.00-03.00-042.000. Limited liability companies like TH Holdings LLC commonly are used as shell companies to purchase real estate in New York. See, e.g., Louise Story and Stephanie Saul, https://www.nytimes.com/2015/02/08/nyregion/stream-of-foreign-wealth-flows-to-time-warner-condos.html).²

² Mr. Schwartz also is indirectly linked to TH Holdings LLC through the party named on the limited liability company's registration form to receive mail, Paul Freedman of Freedman and Company, located at 61 Broadway, Suite 1405, New York, NY. TH Holdings LLC Registration (Exhibit A). Mr. Freedman also keeps the books and prepares the tax returns for the private foundation of Mr. Schwartz and his wife. Donna and Marvin Schwartz Foundation, 2013 Form 990-PF, Part VII-A, Line 14 and page 13 (*available at* http://990s.foundationcenter.org/990pf_pdf_archive/137/137114848/137114848_201404_990PF.pdf?_ga=1.166277502.1135072_798.1449169471).

Heather Oaks, LLC

- 25. A limited liability company named Heather Oaks, LLC was registered in Alabama in 1994 with purpose of managing an apartment complex, but merged into another limited liability company in 2004, which went out of business a week later. Choma, *Mother Jones*, Dec. 2, 2015; Alabama Secretary of State, Business Entity Details, Heather Oaks, L.L.C. (available at http://arc-sos.state.al.us/cgi/corpdetail.mbr/detail?corp=650497&page=name&file=M); Alabama Secretary of State, Business Entity Details, JM WH & RC Brown L.L.C. (available at http://arc-sos.state.al.us/cgi/corpdetail.mbr/detail?corp=658243&page=name&file=D).
- 26. An apparently unrelated limited liability company also named Heather Oaks, LLC was formed or incorporated on February 23, 2015 in Delaware. Delaware Department of State, Division of Corporations, Entity Detail for Heather Oaks, LLC. Just two weeks later, on March 9, 2015, Right to Rise USA received a \$100,000 contribution from a Heather Oaks, LLC. Right to Rise USA, FEC Form 3X, Mid-Year Report, Amended, at 1319. As a result, the contribution most likely came from the Delaware entity.
- 27. Little additional information appears to be available about this Heather Oaks, LLC. The address Right to Rise USA reported for Heather Oaks, LLC is 216 Summit Boulevard, Birmingham, Alabama, 35243-3507. *Id.* At the time of the contribution, Suite 300 at this address was the location of Sellers Richardson Holman & West LLP, an accounting and consulting firm. Press Release, RSM, McGladrey to Expand in Alabama Through Transaction with Sellers Richardson Holman & West LLP, February 10, 2015 (available at http://rsmus.com/newsroom/news-releases/2015/mcgladrey-to-expand-in-alabama-through-

<u>transaction-with-sellers.html</u>).³ The firm has since been acquired by McGladrey LLP, "a leading provider of audit, tax, and consulting services," which then changed its name to RSM. *Id*.

28. On information and belief, Heather Oaks, LLC did not generate sufficient income in the two weeks between its formation and the date on which it contributed \$100,000 to Right to Rise USA to account for the contribution. Heather Oaks, LLC does not appear to conduct any business, it does not have a presence on the Internet, and does not even appear to own any property. As *Mother Jones* observed, Heather Oaks, LLC appears to be a "classic" shell company with no assets or business operations of its own that exists "solely as a conduit for transactions by other companies or individuals." Choma, *Mother Jones*, Dec. 2, 2015.

Count I

- 29. The FECA and FEC regulations prohibit making a contribution in the name of another person and knowingly permitting one's name to be used to effect a contribution in the name of another person. 52 U.S.C. § 30122; 11 C.F.R. § 110.4(b).
- 30. Mr. Schwartz appears to have made a \$100,000 contribution to Right to Rise USA in the name of TH Holdings LLC in violation of 52 U.S.C. § 30122 and 11 C.F.R. § 110.4(b). Mr. Schwartz appears to own, in whole or in part, TH Holdings LLC, a limited liability company that seemingly does not conduct any business, has not generated any income, and does not serve any function other than owning a property in the Hamptons. Accordingly, the \$100,000 contribution appears to have been made by Mr. Schwartz, not TH Holdings LLC.

³ As *Mother Jones* noted, 216 Summit Boulevard is shopping mall, and the address reported by Right to Rise USA does not specify a particular suite or office. Choma, *Mother Jones*, Dec. 2, 2015. A Google Maps search of the address, however, shows the mall complex is split into several buildings with different street numbers, and number 216 appears to correspond only to a handful of stores. Of those, all are retail stores or restaurants, except for the accounting firm, a branch of the Fidelity Investments brokerage, and a travel agency.

- 31. TH Holdings LLC appears to have correspondingly violated 52 U.S.C. § 30122 and 11 C.F.R. § 110.4(b) by knowingly permitting its name to be used to effect a \$100,000 contribution by Mr. Schwartz to Right to Rise USA.
- 32. If these violations were knowing and willful, they are subject to criminal penalties and should be referred to the Department of Justice for investigation. 52 U.S.C. §§ 30109(a)(5)(C), 30109(d)(1).

Count II

- 33. The FECA and FEC regulations prohibit making a contribution in the name of another person and knowingly permitting one's name to be used to effect a contribution in the name of another person. 52 U.S.C. § 30122; 11 C.F.R. § 110.4(b).
- 34. An unknown individual or entity appears to have made a \$100,000 contribution to Right to Rise USA in the name of Heather Oaks, LLC in violation of 52 U.S.C. § 30122 and 11 C.F.R. § 110.4(b). Heather Oaks, LLC had been in existence for two weeks or less when it made the contribution, and it does not appear to conduct any business. It is, therefore, highly unlikely Heather Oaks, LLC generated sufficient income in that short period to account for the \$100,000 contribution. Accordingly, the \$100,000 contribution appears to have been made by an unknown individual or entity, not Heather Oaks, LLC.
- 35. Heather Oaks, LLC appears to have correspondingly violated 52 U.S.C. § 30122 and 11 C.F.R. § 110.4(b) by knowingly permitting its name to be used to effect the \$100,000 contribution to Right to Rise USA.

36. If these violations were knowing and willful, they are subject to criminal penalties and should be referred to the Department of Justice for investigation. 52 U.S.C. §§ 30109(a)(5)(C), 30109(d)(1).

Count III

- 37. The FECA and FEC regulations also prohibit knowingly accepting a contribution made by one person in the name of another person. 52 U.S.C. § 30122; 11 C.F.R. § 110.4(b).
- 38. If Right to Rise USA and Mr. Spies knowingly accepted a contribution made by Mr. Schwartz in the name of TH Holdings LLC, or knowingly accepted a contribution by an unknown individual or entity in the name of Heather Oaks, LLC, they may have violated 52 U.S.C. § 30122 and 11 C.F.R. § 110.4(b).

Conclusion

WHEREFORE, Citizens for Responsibility and Ethics in Washington and Noah Bookbinder request that the FEC conduct an investigation into these allegations, declare the respondents to have violated the FECA and applicable FEC regulations, and order respondents to correct these violations by amending the Right to Rise USA's disclosures to identify and make public the source(s) of the contribution to the PAC. In addition, respondents request that the FEC impose sanctions appropriate to these violations and take such further action as may be appropriate, including referring this case to the Department of Justice for criminal prosecution.

ON BEHALF OF COMPLAINANTS

Noah Bookbinder

Executive Director

Citizens for Responsibility and Ethics in

Washington

455 Massachusetts Ave. N.W., Sixth Floor

Washington, D.C. 20001

(202) 408-5565 (phone)

(202) 588-5020 (fax)

VERIFICATION

Citizens for Responsibility and Ethics in Washington and Noah Bookbinder verify that the statements made in the attached Complaint are, upon information and belief, true. Sworn pursuant to 18 U.S.C. § 1001.

Noah Bookbinder

Sworn to and subscribed before me this $\underline{10}$ th day of December 2015.

Notary Public

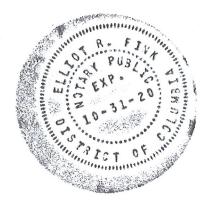


EXHIBIT A

NYS Department of State

Division of Corporations

Entity Information

The information contained in this database is current through December 3, 2015.

Selected Entity Name: TH HOLDINGS LLC

Selected Entity Status Information

Current Entity Name: TH HOLDINGS LLC

DOS ID #:

3959809

Initial DOS Filing Date: JUNE 09, 2010

County:

SUFFOLK

Jurisdiction:

NEW YORK

Entity Type:

DOMESTIC LIMITED LIABILITY COMPANY

Current Entity Status: ACTIVE

Selected Entity Address Information

DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

C/O PAUL FREEDMAN 61 BROADWAY SUITE 1405 NEW YORK, NEW YORK, 10006

Registered Agent

NONE

This office does not require or maintain information regarding the names and addresses of members or managers of nonprofessional limited liability companies. Professional limited liability companies must include the name(s) and address(es) of the original members, however this information is not recorded and only available by viewing the certificate.

Case 1:18-cv-01060 Document 1-1 Filed 05/04/18 Page 17 of 54 *Stock Information

of Shares

Type of Stock

\$ Value per Share

No Information Available

*Stock information is applicable to domestic business corporations.

Name History

Filing Date Name Type E

Entity Name

JUN 09, 2010 Actual

TH HOLDINGS LLC

A **Fictitious** name must be used when the **Actual** name of a foreign entity is unavailable for use in New York State. The entity must use the fictitious name when conducting its activities or business in New York State.

NOTE: New York State does not issue organizational identification numbers.

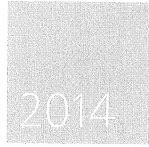
Search Results New Search

<u>Services/Programs</u> | <u>Privacy Policy</u> | <u>Accessibility Policy</u> | <u>Disclaimer</u> | <u>Return to DOS</u> <u>Homepage</u> | <u>Contact Us</u>

EXHIBIT B

NEUBERGER BERMAN

NEUBERMAN BERMAN ANUAL REPORT



MANAGING DIRECTORS

Ugo Lancioni

Andrew C. Laurino Joseph W. Lawrence Diane E. Lederman Wai Lee Richard S. Levine Jacques G. Lilly Melinda L. Lloyd Patrick C. Lomelo Elisabeth S. Lonsdale Linda J. Ludwig Raoul Christian Luttik James A. Lyman Joseph P. Lynch David Lyon Jeffrey A. Majit Thomas Marthaler Martin E. Messinger Stephen Miller Woolf N. Milner Arthur Moretti David H. Morse Richard S. Nackenson John D. Nadell Benjamin H. Nahum Christian Neira Holly Newman Kroft Kevin J. O'Friel Paul D. O'Halloran

Ryo Ohira
Bradley Franklin Okita
Thomas P. O'Reilly
Mark A. O'Sullivan
Daniel P. Paduano
Maria Pappas
Robert H. Pearlman
lan D. Peckett
David R. Pedowitz
Tristram C. Perkins
Cas A.H. Peters
Peter B. Phelan
Alexandra M. Pomeroy
Nish Vinayakraí Popat

Samuel N. Porat Michael S. Poremba David S. Portny Brendan J. Potter Joseph F. Quirk Douglas A. Rachlin Henry Ramallo Michelle L. Rappa Elizabeth Reagan Matthew Rees Michael D. Rees Brett S. Reiner Kenneth G, Rende Carter P. Reynolds F. Christian Reynolds Joana Palhava Rocha Scaff

Lucas J. Roonev

David J. Rosa

David M. Ross Martin James Rotheram Matthew L. Rubin Robert J. Ryan Sevan N. Sakayan Conrad A. Saldanha Eli M. Salzmann Martin A. Sankey Paul A. Sauer Henri A. Schupf Marvin C. Schwartz Mindy Schwartzapfel Benjamin E. Segal Saurin D. Shah Monica L. Sherer Steve S. Shiqekawa Jonathan D. Shofet Neil S. Siegel Yves C. Siegel Ronald B. Silvestri Prashant Singh Laura J. Sloate

Brien P. Smith

Amit Solomon

Thomas A. Sontag

Gregory G. Spiegel Sara Jill Sprung Dimitrios N. Stathopoulos

Michelle B. Stein Stephanie J. Stiefel David S. Stonberg Emma A. Sugarman Raymond A. Sullivan Richard J. Szelc Brian G. Talbot Lihui Tang Bradley C. Tank Anthony J. Taranto Lee J. Tawil Howard T. Taylor Terri L. Towers Kenneth J. Turek Carlton R. Turner Yolanda R. Turocy Anthony D. Tutrone

Judith M. Vale Bart Anton Van der Made

Gorky Urquieta

Dik van Lomwel Peter J. von Lehe George H. Walker Sean J. Ward David I. Weiner Eric D. Weinstein Richard M. Werman Obadiah J. Wilford Andrew Wilmont David A. Wilson Rhonda Wiswall Johnny YH Wong Kristen Chen Yang Frank Yulin Yao Patricia Miller Zollar Heather P. Zuckerman

EXHIBIT C

FEC FORM 3P

REPORT OF RECEIPTS AND DISBURSEMENTS

BY AN AUTHORIZED COMMITTEE OF A CANDIDATE FOR THE OFFICE OF PRESIDENT OR VICE PRESIDENT

I FOR II	TE OFFICE OF PRESIDE	:N I OR VICE PRESIDENT Office Use Only
1. NAME OF COMMITTEE (in full, type or print)	Example: If typing, type over the lines. 12FE4M5
JEB 2016, INC.	ukuwa Azzarka waka makaza kana kaza ka	
ADDRESS (number and street))	P.O. BOX 440669	
Check if different than previously reported. (ACC	MIAMI CIT	Y STATE ZIP CODE
2. FEC IDENTIFICATION NUM	BER C C00579458	3. THIS REPORT IS FOR Primary or General
4. TYPE OF REPORT (Choos	no-en understandstandstandstandstandstandstandstand	Check here if this is a Termination Report (TER)
Quarterly Re	ports:	Monthly Reports:
April 15 (Q1) October X July 15 (Q2) January	15 (Q3) 31 Year-End Report (YE)	Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) Apr 20 (M4) Jul 20 (M7) Oct 20 (M10)) Jan 31 (YE)
Thirtieth day report following M M / D D / on Is this Report an Amendment?	the General Election X yes no	Twelfth day report preceding election on in the State of .
5. Covering Period 04	01 2015	through 06 30 2015
certify that I have examined this	Report and to the best of my	y knowledge and belief it is true, correct and complete.
ype or Print Name of Treasurer	WILLIAM SIMON	
Signature of Treasurer WILLL	AM SIMON	[Electronically Filed] Date 09 04 2015
NOTE: Submission of false, errone A	ous, or incomplete information i Il previous versions of this form	may subject the person signing this Report to the penalties of 2 U.S.C. §437g. n are obsolete and should no longer be used.
Office Use Only		

Case 1:18-cv-01060 Document 1-1 Filed 05/04/18 Page 23 of 54

Image# 201509049001615871

Transaction ID : SA17.110207 State Zip Code Contribution	ITEMIZED RECEIPTS Transport	(check only one) 16 X 17a 17b 17c 17d 19a 19b 20a 20b 20c person for the purpose of soliciting contribution from such committee to solicit contributions from such committee Transaction ID: SA17.110207 Date of Receipt M M D D V Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Transaction ID : SA17.11523 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contribution for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. A. Full Name (Last, First, Middle Initial) MS. LAUREN SCHWABE Mailing Address 16 DEER PARK MEADOW ROAD City GREENWICH CT GREENWICH CT GREENWICH CT GREENWICH CT GREENWICH CT GRESON COUNTRIBUTION COUNTRIBUTION FEC ID number of contributing federal political committee. C FINIT Name (Last, First, Middle Initial) MR. MARVIN C. SCHWARTZ Mailing Address 605 THIRD AVE. FL-42 City City CITy State COUNTRIBUTION Transaction ID : SA17.11523 Date of Receipt this Period Amount of Each Receipt this Period Transaction ID : SA17.11523 Date of Receipt this Period CONTRIBUTION CONTRIBUTION Transaction ID : SA17.11523 Date of Receipt this Period CONTRIBUTION CONTRIBUTION FIGURE AND	Any, information copied from such Reports and Statements may not be sold or used by any or for commercial purposes, other than using the name and address of any political committed. NAME OF COMMITTEE (In Full) JEB 2016, INC. A. Full Name (Last, First, Middle Initial) MS. LAUREN SCHWABE Mailling Address 16 DEER PARK MEADOW ROAD City State GREENWICH CT O6830-3835 FEC ID number of contributing federal political committee. Name of Employer STUDENT Receipt For: 2016 Primary Other (specify) ▼ State Election Cycle-to-Date FL-42 City State State Zip Code CC CC CC State STUDENT Receipt For: 2016 Election Cycle-to-Date FL-42 City NEW YORK State NY 10158-0180 FEC ID number of contributing federal political committee. C Name of Employer NEW YORK State NY 10158-0180 FEC ID number of contributing federal political committee. C Name of Employer NEW YORK State NY 10158-0180 FEC ID number of contributing federal political committee. C Name of Employer NEUBERGER BERMAN Receipt For: 2016 Primary General General C Election Cycle-to-Date Felection Cycle-to-Date Felection Cycle-to-Date	Transaction ID: SA17.115823 Date of Receipt Amount of Each Receipt this Period Transaction ID: SA17.115823 Date of Receipt M M D D D Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
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EXHIBIT D

Secretary's Letter

Newsroom Frequent Questions Related Links

Contact Us Office Location

File UCC's

Taxes

Expedited Services

Service of Process

Governor | General Assembly | Courts | Elected Officials | State Agencies

Department of State: Division of Corporations

Allowable Characters

Frequently Asked Questions View Search Results HOME About Agency

Entity Details

THIS IS NOT A STATEMENT OF GOOD STANDING

Incorporation Date / 2/23/2015 File Number: 5698126

SERVICES Formation Date: (mm/dd/yyyy) Pay Taxes Bulk Tax Payment (alternative entity payment only) Entity Name: HEATHER OAKS, LLC

Delaware Laws Online Limited Name Reservation Entity Kind: Entity Search Liability Entity Type: General

Status Company Validate Certificate

Customer Service Survey Residency: Domestic State: DELAWARE INFORMATION

Corporate Forms Corporate Fees REGISTERED AGENT INFORMATION UCC Forms and Fees

> Name: THE CORPORATION TRUST COMPANY

Registered Agents Address: **CORPORATION TRUST CENTER 1209 ORANGE ST** GetCorporate Status

Submitting a Request How to Form a New Business Entity City: WILMINGTON County: **New Castle** Certifications, Apostilles & Authentication of Documents

State: DE Postal Code: 19801 Phone:

> Additional Information is available for a fee. You can retrieve Status for a fee of \$10,00 or more detailed information including current franchise tax assessment, current filing history

and more for a fee of \$20.00. Would you like Status Status, Tax & History Information Submit

Back to Entity Search

302-658-7581

For help on a particular field click on the Field Tag to take you to the help area.

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EXHIBIT E

Right to Rise Super PAC, Inc.

601 Pennsylvania Avenue NW North Building, Suite 1000 Washington, D.C. 20004



2015 JAN -6 AM 10: 13

HAND DELIVER AND ADDRESS OF THE PARTY OF THE

January 6, 2015

Federal Election Commission 999 E Street, NW Washington, D.C. 20463

Re: Form 1, Statement of Organization—Unlimited Contributions

To Whom It May Concern:

This committee intends to make independent expenditures, and consistent with the U.S. Court of Appeals for the District of Columbia Circuit decision in *SpeechNow v. FEC*, it therefore intends to raise funds in unlimited amounts. This committee will not use those funds to make contributions, whether direct, in-kind, or via coordinated communications, to federal candidates or committees.

Respectfully submitted,

Charles R. Spies

Treasurer

HAND DELIVERS

FEC FORM 1		STATEMEN ORGANIZA		20	RECEIV N 5 JAN -6	
Application					F Onide Jude bniv	ENTER
1. NAME OF COMMITTEE (in	full)	(Check if name is changed)	Example:If typing, typover the lines.	e 12FE4M	5	
Right to Ris	e Super	PAC, Inc.				
		<u> </u>				
ADDRESS (number and	street) 601	Pennsylva	ania Avenue	NW	1 1 1 1 1 1	
	Nor	th Building	, Suite 1000		· · · · · · · · · · · · · · · · · · ·	
(Check if add is changed)	Wa	shington		DC	20004	- L
		Ć	CITY	STATE	ZIP CC	DDE
COMMITTEE'S E-MAIL	. ADDRESS (Pleas	e provide only one e-	mail address)			
	(CS)	ies@clark				1
(Check if address is changed)		1 1 1 3 1 1				
·			——————————————————————————————————————			
COMMITTEE'S WEB F			Sico Cum and A	C 2255		
(Check if ac	dress	νγ.τλίθητιστ	liseSuperPA	G.com		
is changed)			111111	1 1 1 1 1		
2. DATE 2	<u> </u>	015				
3. FEC IDENTIFICA	TION NUMBER	C				
4. IS THIS STATEME	NT NEW	/ (N) OR	AMENDED (A	A)		
I certify that I have exa	mined this Statem	ent and to the best of	of my knowledge and bel	lief it is true correc	of and complete	
Type or Print Name of	Ch	arles R. Sp			was complete.	
Signature of Treasurer		- Sp	,×	Date 💆	<u> </u>	2015
NOTE: Submission of fais	se, erroneous, or Inc	complete information m	ay subject the person sign	ing this Statement to	o the penalties of 2	U.S.C. §437g.
Office Use Only			For further informati Federal Election Com Toll Free 800-424-953	ion contact: mission	FEC FOF	

			11000000
	FEC F	Form 1 (Revised 02/2009) Page 2	
TYF	PE OF	COMMITTEE	
Ca	ndida	te Committee:	
(a)		This committee is a principal campaign committee. (Complete the candidate information below.)	
(b)		This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)	•
	ne of ididate		1
	ididate ly Affilia	office State Sought: House Senate President District	Z
(c)		This committee supports/opposes only one candidate, and is NOT an authorized committee.	
	ne of didate		
Par	rty Co	mmittee:	
(d)		This committee is a (National, State or subordinate) committee of the Republican, etc.) Page 1	arty.
Pol	itical /	Action Committee (PAC):	
(e)		This committee is a separate segregated fund. (Identify connected organization on line 6.) Its connected organization	io o
		Corneration	
		Corporation Corporation w/o Capital Stock Labor Organizatio	n
		Membership Organization Trade Association Cooperative	•
		In addition, this committee is a Lobbyist/Registrant PAC.	
(f)	×	This committee supports/opposes more than one Federal candidate, and is NOT a separate segregated fund or pacommittee. (i.e., nonconnected committee)	arty
		In addition, this committee is a Lobbyist/Registrant PAC.	
		In addition, this committee is a Leadership PAC. (Identify sponsor on line 6.)	
Join	t Fund	draising Representative:	
(9)		This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, at least one of which is an authorized committee of a federal candidate.	
(h)		This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, none of which is an authorized committee of a federal candidate.	
	Com	nmittees Participating in Joint Fundraiser	
	1.	FEC ID number	
	2.	FEC ID number	7
	3.	FEC ID number C	7
	4.	FEC ID number	j

15 - ON - 176 - 7820

FEC Form 1 (Revised 02/2009)		
Write or Type Committee Name		Page 3
Right to Rise Super PAC	, Inc.	
6. Name of Any Connected Organization, Affil	liated Committee, Joint Fundralsing Represent	ative, or Leadership PAC Sponsor
1 []]]]]]]		
Mailing Address		
	CITY STA	TE ZIP CODE
Relationship: Connected Organization	Affiliated Committee Joint Fundraising Repre	esentative Leadership PAC Sponsor
 Custodian of Records: Identify by name, addr books and records. 	ress (phone number optional) and position of t	the person in possession of committee
Charles R. Spies	. .	
	sylvanja Avenue NW	
	ding, Suite 1000	<u> </u>
Washingto		Ci 120004
[t/St/mg(c	<u>'' </u>	/ 2004
Title or Position	CITY STATE	ZIP CODE
Treasurer	Telephone number	202 - 772 - 0909
Treasurer: List the name and address (phone n any designated agent (e.g., assistant treasurer).	number optional) of the treasurer of the commi	ittee; and the name and address of
Full Name Charles R. Spies	5	
Mailing Address 601 Penns	ylvanja Avenue NW	111111111111
North Build	ling, Suite 1000	
_l Washing <u>t</u> o		20004
	CITY STATE	ZIP CODE
Title or Position Treasurer	Telephone number	202, - 772, - 0909

EXHIBIT F

PAGE 1 / 1657

FEC

REPORT OF RECEIPTS AND DISBLIDGEMENTS

1.	NAME	L OF	TY	PE OR	PRINT	W	Ev	ample: If ty	voina tu	vn a	policy of	Office	Use Only	
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4.	TYPE (Choos	OF REPORT e One)	1		nthly oort e On:		20 (M2)		May 20	O (M5)		Aug 20 (M8)		Nov 20 (M11 (Non-Election Year Only)
	(a) Qı	uarterly Reports:					r 20 (M3)		Jun 20	(M6)		Sep 20 (M9)		Dec 20 (M12 (Non-Election Year Only)
		April 15 Quarterly Report (O1)	*************	of the design of the second	Apr	20 (M4)	***************************************	Jul 20	(M7)		Oct 20 (M10		Jan 31 (YE)
	500 198	July 15 Quarterly Report (1		12-Day PRE-Election Report for the:		Primary (12P) Convention (12C)			General (12G) Special (12S)			Runoff (12R)
		Quarterly Report (January 31 Year-End Report (,			Electic	on on		· 10 1 6		<u> </u>	, ,	in the	
	×	July 31 Mid-Year Report (Non-electic Year Only) (MY)		(d)	POST-Electi		- ¹ - 122	General (30	·G)		Rur	noff (30R)	State o	Special (30S)
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		I have examined that t Name of Treasure				e best of	my knov	vledge and	belief it	is true	, correc	and comple	te.	
Sign	ature of	Treasurer Char	les R	Spies			····	[Electronical	y Filed]	Da	te -	12 05	763, 8	2015
VOT	E: Subm	ission of false, errone	eous,	or inco	mplete i	information	may sub	oject the per	son sigr	ning this	s Report	to the penalti	es of 2 U	I.S.C. 8437a
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 $\label{eq:lmage} $$ 20151027900324 & 2.18-cv-01060 & Document 1-1 & Filed 05/04/18 & Page 33 of 54 \\ 118 &$

SCHEDULE A (FEC Form	n 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 1319 OF 1657 (check only one)				
ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a				
Any information copied from such Report or for commercial purposes, other than	orts and Statements mu using the name and a	ay not be sold or used by any paddress of any political committe	person for the purpose of soliciting contributions e to solicit contributions from such committee.				
NAME OF COMMITTEE (In Full) Right to Rise USA							
Full Name (Last, First, Middle Initial) A. HEALTH PROMOTERS LLC			Date of Receipt				
Mailing Address 875 MILITARY TRA	IL, SUITE 200		02 20 2015				
City JUPITER	State FL	Zip Code 33458-5700	Transaction ID : SA11.47785 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	rgoment of the strain engineers					
Name of Employer	Occupation		CONTRIBUTION				
Receipt For: Primary General Other (specify)	in the section	Year-to-Date ▼ 5000.00	-				
Full Name (Last, First, Middle Initial) B. HEATHER OAKS LLC		PD Competition Construct States in Section 1992 and 1992	Date of Receipt				
Mailing Address 216 SUMMIT BOUL	EVARD		THE HIS A COURT OF A SACRA OF A SACRA				
City	State	Zip Code	03 09 2015 Transaction ID : SA11.49106				
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federal political committee.	C	and the second second second	100000.00				
Name of Employer	Occupation		CONTRIBUTION				
Receipt For: Primary General Other (specify) ▼	Yes products	Year-to-Date ▼ 100000.00					
Full Name (Last, First, Middle Initial) C. HEICO CORPORATION			Date of Receipt				
Mailing Address 825 BRICKELL BAY	DRIVE SUITE 1644		THE WAY A SECRET A SECRET OF THE SECRET				
City MIAMI	State FL	Zip Code 33131-2920	03 17 2015 Transaction ID : SA11.50320 Amount of Each Receipt this Period				
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Name of Employer	Occupation		CONTRIBUTION				
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TOTAL This Period (last page this line		-					

Case 1:18-cv-01060 Document 1-1 Filed 05/04/18 Page 34 of 54 Image# 201512059004149053

ITEMIZED RECEIPTS	Use separate so for each categor Detailed Summa	chedule(s) (ry of the	FOR LINE NUMBER: PAGE 1407 OF 1653 (check only one)
			13 14 15 16 17
Any information copied from such Reports an or for commercial purposes, other than using	d Statements may not be sold or us the name and address of any polition	sed by any perso cal committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Right to Rise USA			
Full Name (Last, First, Middle Initial) A. TAXPAYERS IN ACTION			Date of Receipt
Mailing Address 610 SOUTH BOULEVARD	State Zip Code		06 01 2015
TAMPA	FL 33606-2647		Transaction ID : SA11.108633 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.			10000.00
Name of Employer	Occupation		CONTRIBUTION
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	10000.00	
Full Name (Last, First, Middle Initial) B. TEM			Date of Receipt
Mailing Address 6321 DANIELS PARKWAY SUITE 200			02 19 2015
City FORT MYERS	State Zip Code FL 33912-4773	-	Transaction ID : SA11.47593
FEC ID number of contributing federal political committee.	C		Amount of Each Receipt this Period 10000.00
Name of Employer	Occupation		CONTRIBUTION
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	10000 00	
Full Name (Last, First, Middle Initial) C. TH HOLDINGS LLC			Date of Receipt
Mailing Address 605 THIRD AVENUE 42ND FLOOR			02 26 2015
City NEW YORK	State Zip Code NY 10158-0180		Transaction ID : SA11.48272 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.			100000.00
Name of Employer	Occupation		CONTRIBUTION
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	00.0000	
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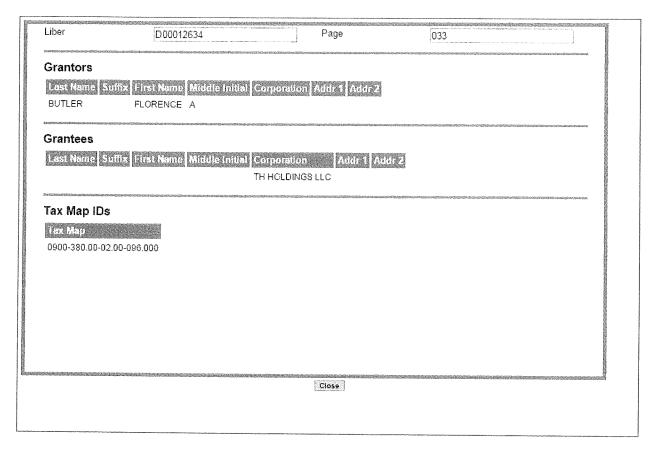
EXHIBIT G



Suffolk County Clerk's Office

Deeds

Tax Map Fr	om = 090	0-380.00-02	.00-096.000			
Liber	Page	Amount	Date	Tax Map #	Grantor Name	Grantee Name
D00012288	488	0.00	12/08/2003	0900-380.00-03.00-121.000/090	K SMITH, FRANK RALPH /SMITH, FRANK R	ERVANK RAARK SWITHITEE STANDERMAN TEGANK RALPH
D00012571	872	0.00	11/14/2008	0900-380.00-03.00-121.000/090	(LEENANKTRAIGH WOMITE OF RUST AGEEMENT/SMITH TRUST	HAYMES, STEPHEN D
D00012577	861	0.00	01/14/2009	0900-380.00-02.00-096.000/090	AGEEMENT FRANK RALPH (LECANTINALORALEMINECTRUST AGREEMENT/SMITH TRUST AGREEMENT FRANK RALPH	HAYMES, STEPHEN D
D00012585 D00012586 D00012634	284 062 033	400,000.00 452,000.00	04/20/2009	0900-380.00-02.00-096.000 0900-380.00-02.00-096.000 0900-380.00-02.00-096.000	THE AUTHOR HAIN RALPH DEPARTMENT OF TREASURY INTERNAL REVENUE SERVICE BUTLER, FLORENCE A	BUTLER, FLORENCE A SMITH, FRANK R TH HOLDINGS LLC



0012238 498	0900-380.00-03.00-	SMITH, FRANK						
2 : 2235 405								
		RALPHmore		SMITH, FRANK RALPH more	FRANK RALPH SMITH TRUST/SMITH TRUST FRANK RALPH	DEED	\$0.00	\$0
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012585 284		LEAMITT. NORMAN LEO	FRANK RALPH SMITH TRUST/SMITH TRUST FRANK RALPH	BUTLER, FLORENCE A		CEED	\$400.000.00	31,600
			DEPARTMENT OF TREASURY INTERNAL REVENUE SERVICE	SLIITH, FRANK R		RELEASE OF FEDERAL / ESTATE		
012034 033				A	TH HOLDINGS LLC	DEED	\$452,000.00	\$1,808
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EXHIBIT H

STATE OF NEW-YORK COUNTY OF SPEEDLE 2015		Town of Southampton Assessment Roll	ampton Roll			TAXABLE STATUS DAY	rare 03/01/2015 Fage 5,884 Uniform % = 100
SHIS CODE 473689 ROLL SECTION 1							
name and addr. of last reputed	SUPFOLK COUNT TAX MAD, ADDRESS AND ACCOUNT IDENTIF.	ASSESS LAND/TOTAL MARKET VALUE	EXEMPTIONS	EXEMPTIONS	ner Parael	NET TAXABLE VALUE	F
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REPORT OF RECEIPTS

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(Revised 02/2003)

14 FEB 24 AM 11: C5 AND DISBURSEMENT FORM 3 For An Authorized Committee Office Use Only NAME OF TYPE OR PRINT W Example: If typing, type 12FE4M5 COMMITTEE (in full) over the lines. Jeff Merkley for Oregon PO Box 14172 ADDRESS (number and street) Check if different than previously Portland OR 97293 reported. (ACC) 2. FEC IDENTIFICATION NUMBER W CITY STATE ZIP CODE STATE ▼ DISTRICT C00437277 3. IS THIS NEW **AMENDED** REPORT (N) OR QR 00 TYPE OF REPORT (Choose One) (b) 12-Day PRE-Election Report for the: (a) Quarterly Reports: Primary (12P) General (12G) Runoff (12R) April 15 Quarterly Report (Q1) Convention (12C) Special (12S) July 15 Quarterly Report (Q2) in the October 15 Quarterly Report (Q3) Election on State of January 31 Year-End Report (YE) 30-Day POST-Election Report for the: General (30G) Runoff (30R) Special (30S) Termination Report (TER) Election on State of Covering Period through I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Type or Print Name of Treasurer Kevin Neely 2014 Signature of Treasurer <u> Kevin Neely</u> Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g. Office Use FEC FORM 3

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EXHIBIT J

PAGE 1 / 4952

FEC FORM 3P

REPORT OF RECEIPTS AND DISBURSEMENTS

BY AN AUTHORIZED COMMITTEE OF A CANDIDATE FOR THE OFFICE OF PRESIDENT OR VICE PRESIDENT

		Office Use Only
1. NAME OF COMMITTEE	(in full, type or print)	Example: If typing, type over the lines. 12FE4M5
JEB 2016, INC.		Report to the second of the se
ADDRESS (number and street))	P.O. BOX 440669	
Check if different than previously reported. (ACC	MIAMI	Land the second
o eeo inchiticioation di	greening in easier of schedelich	ITY STATE ZIP CODE
2. FEC IDENTIFICATION NUM	IBER C C00579458	8 3. THIS REPORT IS FOR Primary or General
4. TYPE OF REPORT (Choose	se One)	Check here if this is a Termination Report (TER)
Quarterly Re	eports:	Monthly Reports:
April 15 (Q1) X October	15 (Q3)	Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11)
July 15 (Q2) January	31 Year-End Report (YE)	Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12)
	. , ,	Apr 20 (M4) Jul 20 (M7) Oct 20 (M10)) Jan 31 (YE)
Thirtieth day report following on on Is this Report an Amendment?	yes no	Twelfth day report preceding election election on in the State of
5. Covering Period 07	01 V Y 2015	through 09 30 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
I certify that I have examined this	Report and to the best of r	my knowledge and belief it is true, correct and complete.
Type or Print Name of Treasurer	WILLIAM SIMON	
Signature of Treasurer WILLI	IAM SIMON	[Electronically Filed] Date 10 15 2015
NOTE: Submission of false, errone	eous, or incomplete information	n may subject the person signing this Report to the penalties of 2 U.S.C. §437g. rm are obsolete and should no longer be used.
Office Use		

Case 1:18-cv-01060 Document 1-1 Filed 05/04/18 Page 45 of 54

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SCHEDULE A-P		900 parks 100 page 10			PAGE 2594 / 4952				
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		Detailed Summary Page	19a 19	Transaction ID: SA17.147133B Date of Receipt M M					
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EXHIBIT K

NYS Department of State

Division of Corporations

Entity Information

The information contained in this database is current through December 7, 2015.

Selected Entity Name: TUTHILL HOLDINGS LLC

Selected Entity Status Information

Current Entity Name: TUTHILL HOLDINGS LLC

DOS ID #:

3809135

Initial DOS Filing Date: MAY 12, 2009

County:

NEW YORK

Jurisdiction:

NEW YORK

Entity Type:

DOMESTIC LIMITED LIABILITY COMPANY

Current Entity Status: ACTIVE

Selected Entity Address Information

DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

C/O PAUL FREEDMAN FREEDMAN & COMPANY 61 BROADWAY SUITE 1405 NEW YORK, NEW YORK, 10006

Registered Agent

NONE

This office does not require or maintain information regarding the names and addresses of members or managers of nonprofessional limited liability companies. Professional limited liability companies must include the name(s) and address(es) of the original members, however this information is not recorded and only available by viewing the

Case 1:18-cv-01060 Document I-1 Filed 05/04/18 Page 48 of 54 certificate.

*Stock Information

of Shares

Type of Stock

\$ Value per Share

No Information Available

*Stock information is applicable to domestic business corporations.

Name History

Filing Date Name Type

Entity Name

MAY 12, 2009 Actual

TUTHILL HOLDINGS LLC

A **Fictitious** name must be used when the **Actual** name of a foreign entity is unavailable for use in New York State. The entity must use the fictitious name when conducting its activities or business in New York State.

NOTE: New York State does not issue organizational identification numbers.

Search Results New Search

<u>Services/Programs</u> | <u>Privacy Policy</u> | <u>Accessibility Policy</u> | <u>Disclaimer</u> | <u>Return to DOS</u> <u>Homepage</u> | <u>Contact Us</u>

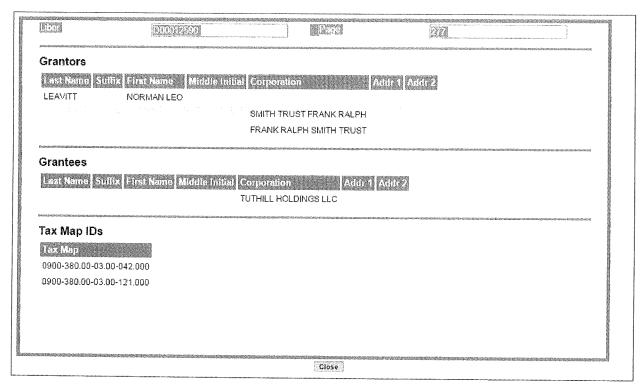
EXHIBIT L



Suffolk County Clerk's Office

Deeds

Tax Map I	10111 - 090	0-360.00-03	.00-042.000			
Liber	Page	Amount	Date	Tax Map #	Grantor Name	Grantee Name
D00012288	488	0.00	12/08/2003	0900-380.00-03.00-121.000/0900	SMITH, FRANK RALPH /SMITH, FRANK R	SMATHK RALARIK SMLTHHTBEJSJVBMITGHTRAISTLEGANK RALPH
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(1/14/2008	View	000012671	572	0900-380.00-03.00- 121.000more	LEAVITT, NORMAN-LEO	FRANK RALPH SMITH TRUST AGEEMENT/SMITH TRUST AGEEMENT FRANK RALPH	HAYMES. STEPHEND		CONTRACT? OPTION	\$0.90	\$0.6
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V5/2009	View	D60912590	277	0900-380.00-03.00- 042.000more	LEAVITT. NORMAN LEO	FRANK RALPH SMITH TRUST/SMITH TRUST FRANK RALPH		TUTHILL HOLDINGS LLC	DEED	\$3,400,000,00	\$47,000.0

EXHIBIT M

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