

From: **MBX WHO White House Financial Disclosures** <MBX.WHO.WhiteHouseFinancialDisclosures@who.eop.gov>
Date: Wed, Apr 22, 2020 at 1:38 PM
Subject: Requested Financial Disclosure Report
To: MBX WHO White House Financial Disclosures
<MBX.WHO.WhiteHouseFinancialDisclosures@who.eop.gov>

Please find attached the public financial disclosure reports you requested: Short, Marc

--

Meredith Lerner
Research Associate
CREW | Citizens for Responsibility and Ethics in Washington
mlerner@citizensforethics.org

4 Attachments

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Short, Marc T

WH Director of Legislative Affairs, White House -- Trump-Pence

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Short, Marc T [electronically signed on 07/03/2017 by Short, Marc T in Integrity.gov] - Filer received a 90 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Passantino, Stefan, Certifying Official [electronically signed on 07/27/2017 by Passantino, Stefan in Integrity.gov]

Other review conducted by

/s/ Passantino, Stefan, Ethics Official [electronically signed on 07/27/2017 by Passantino, Stefan in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 01/25/2018 by Skalla, Daniel L in Integrity.gov]

Data Revised 07/12/2017

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Kinder Morgan Inc	Sale	03/20/17	No	\$1,001 - \$15,000
2	SPDR S&P Bank ETF	Sale	03/20/17	No	\$1,001 - \$15,000
3	IShares TR US Fin SVC ETF	Sale	03/20/17	No	\$15,001 - \$50,000
4	Apple Inc. - Common Stock	Sale	03/23/17	No	\$1,001 - \$15,000
5	Amazon	Sale	03/23/17	No	\$1,001 - \$15,000
6	Caterpillar Inc	Sale	03/23/17	No	\$1,001 - \$15,000
7	Devon Energy Corp	Sale	03/23/17	No	\$15,001 - \$50,000
8	Dominion Resources Inc	Sale	03/23/17	No	\$1,001 - \$15,000
9	Enterprise Products Partners	Sale	03/23/17	No	\$15,001 - \$50,000
10	Blackhawk Network Holdings, Inc. - Class A Common Stock	Sale	03/23/17	No	\$1,001 - \$15,000
11	Intl Business Machines Corp	Sale	03/23/17	No	\$15,001 - \$50,000
12	Kinder Morgan Inc	Sale	03/23/17	No	\$1,001 - \$15,000
13	Coca-Cola Co	Sale	03/23/17	No	\$1,001 - \$15,000
14	Lilly Eli & Co	Sale	03/23/17	No	\$1,001 - \$15,000
15	NetFlix Inc	Sale	03/23/17	No	\$1,001 - \$15,000
16	QUALCOMM Incorporated - Common Stock	Sale	03/23/17	No	\$1,001 - \$15,000
17	Tesoro Corp	Sale	03/23/17	No	\$15,001 - \$50,000
18	Energy Select Sector SPDR (ETF) - XLE	Sale	03/23/17	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
19	iShares Core S&P Total U.S. Stock Market ETF	Purchase	06/20/17	No	\$1,001 - \$15,000
20	SPDR S&P Dividend ETF	Purchase	06/20/17	No	\$1,001 - \$15,000
21	Riverfront Dynamic Equity Income	Purchase	06/20/17	No	\$15,001 - \$50,000
22	PowerShares QQQ Trust, Series 1	Purchase	06/20/17	No	\$15,001 - \$50,000
23	ISHARES Core High Dividend	Purchase	06/20/17	No	\$15,001 - \$50,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of

Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Short, Marc T

WH Director of Legislative Affairs, White House -- Trump-Pence

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Short, Marc T [electronically signed on 08/04/2017 by Short, Marc T in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Passantino, Stefan, Certifying Official [electronically signed on 08/07/2017 by Passantino, Stefan in Integrity.gov]

Other review conducted by

/s/ Passantino, Stefan, Ethics Official [electronically signed on 08/07/2017 by Passantino, Stefan in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 01/25/2018 by Skalla, Daniel L in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Enterprise Products Partners	Purchase	07/07/17	No	\$15,001 - \$50,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Short, Marc T

Director of Legislative Affairs, White House -- Trump-Pence

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Short, Marc T [electronically signed on 01/26/2018 by Short, Marc T in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Passantino, Stefan, Certifying Official [electronically signed on 02/09/2018 by Passantino, Stefan in Integrity.gov]

Other review conducted by

/s/ Gast, Scott F, Ethics Official [electronically signed on 02/08/2018 by Gast, Scott F in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Skalla, Daniel L, Certifying Official [electronically signed on 03/15/2018 by Skalla, Daniel L in Integrity.gov]

Data Revised 02/09/2018

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Short, Marc

Director of Legislative Affairs, White House -- Trump-Pence

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Short, Marc [electronically signed on 08/15/2018 by Short, Marc in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gast, Scott F, Certifying Official [electronically signed on 10/30/2018 by Gast, Scott F in Integrity.gov]

Other review conducted by

/s/ Gast, Scott F, Ethics Official [electronically signed on 10/30/2018 by Gast, Scott F in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Christopher, Dale, Certifying Official [electronically signed on 12/07/2018 by Christopher, Dale in Integrity.gov]

Data Revised 10/30/2018

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(10/30/2018, Vetter, Mark): Filer submitted Report on August 15, 2018 for transactions covering February 5 - May 18, 2018; however, all transactions involved widely held investment funds and thus were not required to be reported on a Periodic Transaction Report, per STOCK Act (Pub. L. 112-173), Sec. 14.

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ISHARES US FINANCIALS ETF (IYF)	Purchase	02/05/2018	Yes	\$15,001 - \$50,000
2	ISHARES MSCI EAFE ETF (EFA)	Purchase	02/05/2018	Yes	\$15,001 - \$50,000
3	PowerShares QQQ Trust, Series 1	Purchase	02/05/2018	Yes	\$15,001 - \$50,000
4	ISHARES CORP CREDIT ETF (IGSB)	Sale	03/08/2018	Yes	\$1,001 - \$15,000
5	ISHARES INTER CREDIT ETF (IGIB)	Sale	03/08/2018	Yes	\$1,001 - \$15,000
6	ISHARES MSCI EAFE ETF (EFA)	Sale	04/09/2018	Yes	\$15,001 - \$50,000
7	ISHARES US FINANCIALS ETF (IYF)	Sale	04/09/2018	Yes	\$15,001 - \$50,000
8	EQUINOX MUTUAL HEDGE FUTURES STRATEGY (MHFIX)	Sale	05/18/2018	Yes	\$1,001 - \$15,000
9	LOCORR MACRO STRATEGIES FUND (LFMIX)	Sale	05/09/2018	Yes	\$1,001 - \$15,000
10	PowerShares QQQ Trust, Series 1	Sale	4/9/2018	Yes	\$15,001 - \$50,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(02/09/2018, Passantino, Stefan): No late filing fee assessed as report not required. 5 U.S.C. app. 4 Section 103(l).

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	iShares S&P 100 Index	Purchase	12/04/17	Yes	\$1,001 - \$15,000
2	iShares MSCI USA Min Volatility (USMV)	Purchase	12/04/17	Yes	\$1,001 - \$15,000
3	iShares Core MSCI EAFE ETF	Purchase	12/04/17	Yes	\$1,001 - \$15,000
4	IQ 50 Percent Hedged FTSE Intl. ETF (HFXI)	Purchase	12/04/17	Yes	\$15,001 - \$50,000
5	PowerShares QQQ Trust, Series 1	Purchase	12/04/17	Yes	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
