

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Kushner, Jared C

Assistant to the President & Senior Adviser to the President, White House

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kushner, Jared C [electronically signed on 05/15/2019 by Kushner, Jared C in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gast, Scott F, Certifying Official [electronically signed on 07/31/2019 by Gast, Scott F in Integrity.gov]

Other review conducted by

/s/ Gast, Scott F, Ethics Official [electronically signed on 07/31/2019 by Gast, Scott F in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/22/2019

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Westminster Management, LLC (Management company; New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Distributions and Dividends	\$1,500,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Ivanka M. Trump Business Trust (IT Collection LLC, Holding Company in New York, NY) (value not readily ascertainable)	See Endnote	N/A		Rent or Royalties	\$1,000,001 - \$5,000,000
1.1	Ivanka Trump Marks LLC (Owner of Various Trademarks; New York, NY)	See Endnote	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2	Ivanka Trump Marks Jewelry LLC (Licensing contracts for fine jewelry; New York, NY)	N/A			
1.3	IT Operations LLC (Management Company in New York, NY)	N/A			
1.4	IT Apparel II LLC (Licensing contracts for clothing and cold weather accessories; New York, NY)	N/A			
1.5	IT Baby Home LLC (Licensing contracts for baby products - bedding giftables; New York, NY)	N/A			
1.6	IT Eyewear LLC (Licensing contracts for sunglasses; New York, NY)	N/A			
1.7	IT Fashion Jewelry LLC (Licensing contracts for costume jewelry; New York, NY)	N/A			
1.8	IT Fragrance LLC (Licensing contracts for perfume; New York, NY)	N/A			
1.9	IT Handbags, LLC (Licensing contracts for handbags; New York, NY)	N/A			
1.10	IT Home Marks LLC (Licensing contracts for home products; New York, NY)	N/A			
1.11	IT Promotions LLC (Event Promoter in New York, NY)	N/A			
1.12	Ivanka Trump Marks II LLC (Licensing contracts for shoes; New York, NY)	N/A			
1.13	Ivanka M. Trump Business Trust (IT Collection LLC, Holding Company in New York, NY)	N/A			
1.14	IT ECommerce LLC (Website sales of Ivanka Trump related products; New York, NY)	N/A			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
1.15	IT Retail LLC (Retail store selling Ivanka Trump related products; New York, NY)	N/A				
2	Ivanka OPO LLC (Investment in Trump Old Post Office LLC; Washington, DC)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Hotel-Related Revenue	\$3,952,480
3	T International Realty LLC (Luxury Real Estate Brokerage Company; obligation to pay filer \$100,000 per calendar year; New York, NY) (value not readily ascertainable)	See Endnote	N/A		Guaranteed payment	\$100,000
4	TTT Consulting LLC (Consulting, licensing, and management services for real estate projects; New York, NY) (value not readily ascertainable)	See Endnote	N/A		Guaranteed payment	\$802,378
5	TTTT Venture LLC (Royalties and licensing for real estate projects; obligation to pay filer \$600,000 per calendar year; New York, NY) (value not readily ascertainable)	See Endnote			Guaranteed payment	\$600,000
5.1	4T Holdings One LLC (Holding company for future interests in Trump hotel manager entities; New York, NY)					
5.2	4T Holdings Two LLC (Holding company for future interests in Scion and Szion manager entities; New York, NY)					
5.2.1	SC Cleveland MS Management LLC (Hotel Management Company, Cleveland, MS)					
5.3	DT Tower Gurgaon LLC (license deal in India; New York, NY)					
5.4	DT Bali Golf Manager LLC (management of future golf course; New York, NY)					
5.5	DT Bali Hotel Manager LLC (Management of future hotel; New York, NY)					

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	DT Bali Technical Services Manager LLC (Technical services for hotel construction; New York, NY)					
5.7	DT Dubai II Golf Manager LLC (Management of future golf course; New York, NY)					
5.8	DT Lido Golf Manager LLC (Management of future golf course; New York, NY)					
5.9	DT Lido Hotel Manager LLC (Management of Future Hotel; New York, NY)					
5.10	DT Lido Technical Services Manager LLC (Technical services for hotel construction; New York, NY)					
5.11	T Express LLC (Hotel Franchising Agreements, New York, NY)					
5.12	T Retail LLC (ECommerce Venture, New York, NY)					
6	IT WWW PUB LLC (Book Contract with Penguin Random House LLC; New York, NY)	See Endnote	N/A			
6.1	"Women Who Work," Penguin Random House LLC (value not readily ascertainable)	See Endnote	N/A		Advance	\$263,500
7	WWW OPERATIONS LLC (holds URL associated with Women Who Work; value not readily ascertainable; New York, NY)		N/A			None (or less than \$201)
8	"The Trump Card," Simon & Schuster (value not readily ascertainable)		N/A			None (or less than \$201)
9	Trump Payroll Corp, 401(k) plan		No			
9.1	Fidelity Overseas Fund (FOSFX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
9.2	Fidelity Growth Company (FDGRX)		Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3	Fidelity Value Fund (FDVLX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
9.4	Fidelity Dividend Growth Fund (FDGFX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
9.5	Fidelity Freedom 2040 Fund (FFFX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
10	Screen Actors Guild - Producers Pension Plan (defined benefit pension plan - value not readily ascertainable)		N/A			None (or less than \$201)
11	Universal City Studios LLC (Residuals from appearances on Saturday Night Live and Late Night with Jimmy Fallon) (value not readily ascertainable)	See Endnote	N/A		Rent or Royalties	\$201 - \$1,000
12	MGM/Mark Burnett Productions (Residuals from The Apprentice) (value not readily ascertainable)	See Endnote	N/A			None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Trust #1		No			
1.1	ELMWOOD V. ASSOCIATES, LP (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$50,001 - \$100,000
1.2	LANDINGS APARTMENTS, LLC (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties	\$50,001 - \$100,000
1.3	OAKWOOD GARDEN ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
1.4	WALLKILL APARTMENTS ASSOCIATES, LP (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.5	VILLAGE KF 4-9-10 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$100,001 - \$1,000,000
1.6	PUCK BUILDING, LP (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
1.7	U.S. bank account #1 (checking)		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
2	Trust #2		No			
2.1	ELMWOOD V. ASSOCIATES, LP (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$15,001 - \$50,000	Rent or Royalties	\$15,001 - \$50,000
2.2	LANDINGS APARTMENTS, LLC (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
2.3	OAKWOOD GARDEN ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.4	WALLKILL APARTMENTS ASSOCIATES, LP (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$100,001 - \$1,000,000
2.5	VILLAGE KF 4-9-10 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.6	K GAIA VILLAGE 1 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$250,001 - \$500,000	Rent or Royalties	\$100,001 - \$1,000,000
2.7	K GAIA VILLAGE 2 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.8	KUSHNER PHILADELPHIA MEMBER, LLC (Residential Real Estate in Philadelphia, PA)	See Endnote	N/A	None (or less than \$1,001)	Rent or Royalties Capital Gains	\$100,001 - \$1,000,000
2.9	PINECREST LAKE BUILDING ASSOCIATES, LP (Undeveloped Real Estate in Tobyhanna Township, PA)		N/A	\$100,001 - \$250,000		None (or less than \$201)
2.10	PUCK BUILDING, LP (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	PUCK RESIDENTIAL ASSOCIATES, LLC (Residential Real Estate in New York, NY and Leonia, NJ)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.12	715 PARK AVENUE ASSOCIATES, LP (Residential Real Estate in New York, NY)		N/A	\$250,001 - \$500,000	Rent or Royalties	\$1,001 - \$2,500
2.13	SIXTY ONE ASSOCIATES, LLC (Commercial Real Estate in New York, NY)		N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
2.14	WESTMINSTER MAD ASSOCIATES, LLC (Commercial Real Estate in New York, NY)		N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
2.15	WEST END BUILDING ASSOCIATES, LLC (Residential Real Estate in New York, NY)		N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
2.16	WESTMINSTER LINDEN, LLC (Residential Real Estate in Brooklyn, NY)		N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
2.17	U.S. money market account #1 (cash)		N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Trust #3		No			
3.1	30 FLORHAM ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)		N/A	\$250,001 - \$500,000	Rent or Royalties	\$100,001 - \$1,000,000
3.2	CK BERGEN ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
3.3	COLTS NECK BUILDING ASSOCIATES, LLC (Undeveloped Real Estate in Colts Neck, NJ)		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
3.4	COLUMBIA CORPORATE CENTER DEVELOPMENT ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)		N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
3.5	DEER TREE BUILDING ASSOCIATES, LLC (Undeveloped Real Estate in Hardyston Township, NJ)		N/A	\$1,001 - \$15,000		None (or less than \$201)
3.6	FLORHAM PARK REALTY ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)		N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.7	LANDINGS AT CASPIAN POINTE, LLC (Undeveloped Real Estate in Atlantic City, NJ)		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
3.8	MAGNOLIA BUILDING ASSOCIATES, LLC (Undeveloped Assets in Pemberton, NJ)		N/A	\$1,001 - \$15,000		None (or less than \$201)
3.9	SIXTY-SIX WEST ASSOCIATES, LLC (Commercial Real Estate in Livingston, NJ)		N/A	\$250,001 - \$500,000	Rent or Royalties	\$50,001 - \$100,000
3.10	SKYLINE KUSHNER, LLC (Residential Real Estate in Hasbrouck Heights & Lodi, NJ)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
3.11	THE LANDINGS AT HARBORSIDE, LLC (Residential Real Estate and Undeveloped Assets in Perth Amboy, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
3.12	VREELAND AVENUE ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
3.13	55 CHALLENGER ROAD ASSOCIATES, LLC (Commercial Real Estate in Ridgely Park, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
3.14	LINDENLAWN APARTMENT ASSOCIATES, LLC (Residential Real Estate in Englewood, NJ)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
3.15	MERRITT ASSOCIATES, LLC (Residential Real Estate in Dumont, NJ)		N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
3.16	ROUTE 10 ASSOCIATES, LLC (Industrial & Commercial Real Estate in Whippany and Hanover, NJ)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4	Trust #4		No			
4.1	184 KENT ASSOCIATES, LLC (Residential Real Estate in Brooklyn, NY)	See Endnote	N/A	\$250,001 - \$500,000	Income from sale of condo units and rent or royalties	\$265,000
4.2	CHATHAM HILL ASSOCIATES, LLC (Residential Real Estate in Chatham, NJ)	See Endnote	N/A	\$250,001 - \$500,000	Rent or Royalties	\$100,001 - \$1,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.3	ELMWOOD V. ASSOCIATES, LP (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$15,001 - \$50,000	Rent or Royalties	\$15,001 - \$50,000
4.4	LANDINGS APARTMENTS, LLC (Commercial Real Estate in Chicago, IL)	See Endnote	N/A	\$250,001 - \$500,000	Rent or Royalties	\$100,001 - \$1,000,000
4.5	GELLERT 80 WEST END, LLC (Residential and Commercial Real Estate in Long Branch, NJ)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
4.6	GOWAN ASSOCIATES, LLC (Commercial Real Estate in Brooklyn, NY)	See Endnote	N/A	None (or less than \$1,001)	Rent or Royalties Capital Gains	\$100,001 - \$1,000,000
4.7	IROQUOIS PROPERTIES, LP (Residential Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.8	K 26 JOURNAL SQUARE, LLC (Commercial Real Estate in Jersey City, NJ)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$50,001 - \$100,000
4.9	K 30 JOURNAL SQUARE, LLC (Commercial Real Estate in Jersey City, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
4.10	HACK PROSPECT JV, LLC (Residential Real Estate in Hackensack, NJ)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.11	K STONEHAGE VILLAGE 1 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.12	VILLAGE KF 2 KM, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.13	VILLAGE KF 4-9-10 ASSOCIATES, LP (Residential Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.14	65 BAY, LLC (Residential Real Estate in Jersey City, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.15	PV BUNGALOW, LLC (Hotel in Long Branch, NJ)		N/A	\$250,001 - \$500,000	Hotel revenue	\$268,000
4.16	KC DUMBO HOTEL, LLC (Note Receivable from RF Dumbo Holding LLC; Brooklyn, NY)	See Endnote	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.17	RP MD, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
4.18	KF MIDDLE RIVER, LLC (Residential Real Estate in Middle River & Essex, MD)	See Endnote	N/A	\$250,001 - \$500,000	Rent or Royalties	\$100,001 - \$1,000,000
4.19	KF WESTWOOD PARTNER, LLC (Residential Real Estate in Westwood & River Vale, NJ)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.20	KF-BLS MEMBER, LLC (Residential Real Estate in Brooklyn, NY)	See Endnote	N/A	\$250,001 - \$500,000	Capital Gains Rent or Royalties	\$50,001 - \$100,000
4.21	PUCK BUILDING, LP (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.22	STATE TOWER OF SYRACUSE ASSOCIATES, LLC (Residential Real Estate in Leonia, NJ)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
4.23	TIMES SQUARE ASSOCIATES, LLC (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
4.24	WARREN AT BAY ASSOCIATES, LLC (Commercial Real Estate in Jersey City, NJ)	See Endnote	N/A	\$250,001 - \$500,000		None (or less than \$201)
4.25	WT 25 COLUMBIA, LLC (Commercial Real Estate in Brooklyn, NY)	See Endnote	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
4.26	115 EAST 87, LLC (Contingent right to ownership interest in Residential Real Estate in New York, NY)	See Endnote	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4.27	80 ML, LLC (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
4.28	PIER VILLAGE III HOTEL JV, LLC (Hotel Under Construction in Long Branch, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4.29	KKC ASSOCIATES, LLC (Residential Real Estate in Kansas City, MO)	See Endnote	N/A	\$1,001 - \$15,000	Rent or Royalties	\$5,001 - \$15,000
4.30	STONE 16 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.31	P.V. DEVELOPMENT, LLC (Commercial Real Estate Under Construction in Long Branch, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4.32	KCOF-WEST 24TH ST, LLC (Loan Receivable from Siras Development; New York, NY)		N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
4.33	KCOF-215 MOORE, LLC (Loan Receivable from Heritage Equities; Brooklyn, NY)	See Endnote	N/A	None (or less than \$1,001)	Interest	\$100,001 - \$1,000,000
4.34	OBSERVER CAPITAL SM FUND, LP (Preferred Interest in Source Media Holdings Inc.; New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Capital Gains	\$1,000,001 - \$5,000,000
4.35	KC DUMBO OFFICE, LLC (Commercial Real Estate, Brooklyn, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.36	KC DUMBO OFFICE, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)	See Endnote	N/A	\$15,001 - \$50,000	Rent or Royalties	\$201 - \$1,000
4.37	KC DUMBO NEWCO, LLC (Commercial Real Estate, Brooklyn, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
4.38	KC DUMBO NEWCO, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.39	PV MOTEL, LLC (Undeveloped Real Estate in Long Branch, NJ)		N/A	\$250,001 - \$500,000		None (or less than \$201)
4.40	184 KENT ASSOCIATES 2, LLC (Residential Real Estate & Real Estate Under Construction in Brooklyn, NY)	See Endnote	N/A	\$100,001 - \$250,000	Rent or Royalties Income from Sale of Condo Units	\$121,000
4.41	BUNGALOW HOTEL LIQUOR LICENSE, LLC (Liquor License of a hotel in Long Branch, NJ)	See Endnote	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Trust #5		No			
5.1	BRYNWOOD GARDENS (Residential Real Estate in Old Bridge, NJ)		N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.2	CHERRY PINES APARTMENTS (Residential Real Estate in Elizabeth, NJ)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
5.3	COLONIAL HEIGHTS, LLC (Residential Real Estate in Parsippany, NJ)	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
5.4	DARTMOUTH VILLAGE, LLC (Residential Real Estate in Parsippany, NJ)	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
5.5	EASTON NORTH ASSOCIATES, LP (Residential Real Estate in Franklin Township, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
5.6	FELMORE ASSOCIATES (Residential Real Estate in South River, NJ)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
5.7	FIVE ACRE JV (Industrial Real Estate in Union, NJ)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$2,501 - \$5,000
5.8	KAY & ARR REALTY ASSOCIATES (Residential Real Estate in Elizabeth, NJ)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$5,001 - \$15,000
5.9	LMP JV (Industrial Real Estate in Edison, NJ)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
5.10	LODI PHILLIPSBURG APARTMENT ASSOCIATES (Residential Real Estate in Lodi, NJ)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
5.11	MARTHA BELL ASSOCIATES, LLC (Residential Real Estate in Elizabeth, NJ)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
5.12	MT. PLEASANT JV (Commercial Real Estate in West Orange, NJ)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$5,001 - \$15,000
5.13	NETCONG HEIGHTS ASSOCIATES, LLC (Residential Real Estate in Netcong, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
5.14	OXFORD ARMS ASSOCIATES LIMITED PARTNERSHIP (Residential Real Estate in Edison, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.15	PARK LAKE VILLAGE (Residential Real Estate in Parsippany, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
5.16	PARK LANE MOBILE HOME PARK (Residential Real Estate in Union, NJ)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$1,001 - \$2,500
5.17	PINEFIELD MANOR, LLC (Residential Real Estate in Denville, NJ)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
5.18	PRINCETON HORIZON APARTMENTS (Residential Real Estate in South Brunswick, NJ)	N/A	\$250,001 - \$500,000	Rent or Royalties	\$50,001 - \$100,000
5.19	RIVER EDGE APARTMENTS (Residential Real Estate in Ewing, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
5.20	SKYTOP GARDENS (Residential Real Estate in Sayreville, NJ)	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
5.21	TAYLOR EDISON JV (Industrial Real Estate in Edison, NJ)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$2,501 - \$5,000
5.22	THE PINES APARTMENTS (Residential Real Estate in Elizabeth, NJ)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
5.23	TOV MANOR ASSOCIATES, LP (Residential Real Estate in New Brunswick, NJ)	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$50,001 - \$100,000
5.24	WESTFIELD JV (Commercial Real Estate in Westfield, NJ)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$5,001 - \$15,000
5.25	SCHOOLHOUSE JV (Commercial Real Estate in Milburn, NJ)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$2,501 - \$5,000
6	Trust #6	No			
6.1	200 EAST 62ND 25A, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.2	200 EAST 62ND 25B, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.3	200 EAST 62ND 26D, LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6.4	212 5TH 7C, LLC (Residential Real Estate in New York, NY)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$15,001 - \$50,000
6.5	212 5TH 8C, LLC (Residential Real Estate in New York, NY)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$15,001 - \$50,000
6.6	212 5TH 9C, LLC (Residential Real Estate in New York, NY)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$15,001 - \$50,000
6.7	184 KENT C212, LLC (Residential Real Estate in Brooklyn, NY)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$15,001 - \$50,000
6.8	184 KENT C614, LLC (Residential Real Estate in Brooklyn, NY)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$15,001 - \$50,000
6.9	184 KENT B512, LLC (Residential Real Estate in Brooklyn, NY)	N/A	\$1,001 - \$15,000	Rent or Royalties	\$15,001 - \$50,000
6.10	438 East 12th 3M, LLC (Residential Real Estate in New York, NY)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$15,001 - \$50,000
6.11	438 East 12th 5P, LLC (Residential Real Estate in New York, NY)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$15,001 - \$50,000
6.12	438 East 12th 6P, LLC (Residential Real Estate in New York, NY)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$15,001 - \$50,000
6.13	269 West 87th, 7C LLC (Residential Real Estate in New York, NY)	N/A	\$50,001 - \$100,000		None (or less than \$201)
6.14	269 West 87th, 8C LLC (Residential Real Estate in New York, NY)	N/A	\$50,001 - \$100,000		None (or less than \$201)
6.15	269 West 87th 9C LLC (Residential Real Estate in New York, NY)	N/A	\$50,001 - \$100,000		None (or less than \$201)
6.16	21 East 12th Street 10A LLC (Residential Real Estate in New York, NY)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.17	21 East 12th Street 11A LLC (Residential Real Estate in New York, NY)		N/A	\$100,001 - \$250,000		None (or less than \$201)
6.18	21 East 12th Street 12A LLC (Residential Real Estate in New York, NY)		N/A	\$100,001 - \$250,000		None (or less than \$201)
7	Trust #7		No			
7.1	Lincoln National Life Insurance Company, Variable Life		No			
7.1.1	SSGA Bond Index Fund		Yes	\$100,001 - \$250,000		None (or less than \$201)
7.1.2	JPMorgan High Yield Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
7.1.3	Delaware Diversified Floating Rate Fund		Yes	\$15,001 - \$50,000		None (or less than \$201)
7.1.4	Delaware VIP REIT Series		Yes	\$50,001 - \$100,000		None (or less than \$201)
7.1.5	Dimensional International Core Equity Fund		Yes	\$100,001 - \$250,000		None (or less than \$201)
7.1.6	Dimensional U.S. Core Equity 2 Fund		Yes	\$250,001 - \$500,000		None (or less than \$201)
8	55 CHALLENGER ROAD ASSOCIATES, LLC (Commercial Real Estate in Ridgefield Park, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
9	570 WEST MT. PLEASANT ASSOCIATES, LLC (Residential Real Estate in Livingston, NJ)		N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
10	CHATHAM HILL ASSOCIATES, LLC (Residential Real Estate in Chatham, NJ)	See Endnote	N/A	\$1,001 - \$15,000	Rent or Royalties	\$201 - \$1,000
11	GELLERT 80 WEST END, LLC (Residential and Commercial Real Estate in Long Branch, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	K 30 JOURNAL Manager Corp. (Commercial Real Estate in Jersey City, NJ)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	K MARYLAND ASSOCIATES, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
14	RP MD PROMOTE, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
15	NEW PUCK CORP. (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$50,001 - \$100,000
16	TIMES SQUARE ASSOCIATES, LLC (Commercial Real Estate in New York, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
17	411 WEST PUTNAM AVENUE (Commercial Real Estate in Greenwich, CT)		N/A	\$1,001 - \$15,000		None (or less than \$201)
18	BFPS VENTURES, LLC (Holding Company; New York, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Capital Gains Rent or Royalties Interest	\$1,000,001 - \$5,000,000
18.1	U.S. bank account #2 (checking)		N/A	\$15,001 - \$50,000		None (or less than \$201)
18.2	Contingent rights to ownership interests in entities holding real estate directly or indirectly (value not readily ascertainable) (entities listed in endnote)	See Endnote	N/A			None (or less than \$201)
18.3	K STONEHAGE VILLAGE 1 ASSOCIATES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
18.4	KC PROFIT PARTICIPATION - K GAIA VILLAGE 2 SERIES, LLC (Residential Real Estate in New York, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
18.5	KC PROFIT PARTICIPATION - 65 BAY STREET SERIES, LLC (Residential Real Estate in Jersey City, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.6	KC PROFIT PARTICIPATION - GOWANUS SERIES, LLC (Undeveloped Real Estate in Brooklyn, NY)	See Endnote	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
18.7	KC PROFIT PARTICIPATION - 25-30 COLUMBIA SERIES, LLC (Undeveloped Real Estate in Brooklyn, NY)	See Endnote	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
19	KF MIDDLE RIVER, LLC (Residential Real Estate in Middle River & Essex, MD)	See Endnote	N/A	\$1,001 - \$15,000	Rent or Royalties	\$201 - \$1,000
20	KF WESTWOOD PARTNER, LLC (Residential Real Estate in Westwood & River Vale, NJ)	See Endnote	N/A	\$1,001 - \$15,000	Rent or Royalties	\$201 - \$1,000
21	KUSHNER MIDWEST PARTNERS 1, LLC (Residential Real Estate in Middletown, Akron & Bedford Heights, OH)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
22	KUSHNER MIDWEST PARTNERS 1, LLC (Commercial Real Estate in Brooklyn, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
23	KC DUMBO PROMOTE, LLC (Commercial Real Estate, Brooklyn, NY)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$100,001 - \$1,000,000
24	KC DUMBO PROMOTE, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)	See Endnote	N/A	\$50,001 - \$100,000	Rent or Royalties	\$201 - \$1,000
25	QUAIL RIDGE, LLC (Residential Real Estate in Plainsboro, NJ)	See Endnote	N/A	\$5,000,001 - \$25,000,000	Rent or Royalties	\$1,000,001 - \$5,000,000
26	JCK CADRE, LLC (Holding Company for Quadro Partners, Inc. (d/b/a Cadre); Real Estate Investment Platform in New York, NY)		N/A	\$25,000,001 - \$50,000,000		None (or less than \$201)
27	Artwork		N/A	\$5,000,001 - \$25,000,000		None (or less than \$201)
28	U.S. money market account #3 (cash)	See Endnote	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
29	U.S. money market account #6 (cash)		N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
30	U.S. money market account #5 (cash)		N/A	\$500,001 - \$1,000,000	Interest	\$5,001 - \$15,000
31	U.S. money market account #4 (cash)		N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
32	U.S. bank account #3 (checking)		N/A	\$250,001 - \$500,000		\$201 - \$1,000
33	U.S. bank account #4 (checking)		N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
34	U.S. bank account #5 (savings)		N/A	\$50,001 - \$100,000		None (or less than \$201)
35	U.S. bank account #6 (checking)		N/A	\$15,001 - \$50,000		None (or less than \$201)
36	U.S. bank account #7 (checking)		N/A	\$1,001 - \$15,000		None (or less than \$201)
37	U.S. bank account #8 (checking)		N/A	\$1,001 - \$15,000		None (or less than \$201)
38	U.S. bank account #14 (checking)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
39	U.S. Bank Account #9 (cash)		N/A	\$100,001 - \$250,000		\$201 - \$1,000
40	INVESCO S&P 500 LOW VOLATILITY (SPLV)	See Endnote	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
41	ISHARES MSCI EAFE ETF (EFA)		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
42	ISHARES MSCI ACWI ETF (ACWI)		Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
43	ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)		Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
44	VANGUARD VALUE ETF (VTV)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
45	VANGUARD GROWTH ETF (VUG)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
46	EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
47	OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
48	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROSX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
49	THORNBURG INTL VALUE FUND I (TGVIX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
50	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
51	ISHARES 20+ YEARS TREAS BOND ETF (TLT)	Yes	\$100,001 - \$250,000		None (or less than \$201)
52	ISHARES NATL MUNI BOND ETF (MUB)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
53	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Yes	\$100,001 - \$250,000		None (or less than \$201)
54	PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
55	VANGUARD TOTAL BOND MKT ETF (BND)	Yes	\$50,001 - \$100,000		None (or less than \$201)
56	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
57	LORD ABBETT HIGH YIELD FUND CLASS F (LHYFX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
58	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
59	TEMPLETON GLOBAL BOND ADV (TGBAX)		Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
60	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX)		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
61	ISHARES 7-10 YEAR TREAS BOND ETF	See Endnote	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
62	VANGUARD FTSE EMERGING MARKETS ETF	See Endnote	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
63	EuroPacific Growth Fund - 529-A (1016) (CEUAX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
64	Washington Mutual Investors Fund - 529-A (1001) (CWMAX)		Yes	\$100,001 - \$250,000		None (or less than \$201)
65	EuroPacific Growth Fund - 529-A (1016) (CEUAX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
66	Washington Mutual Investors Fund - 529-A (1001) (CWMAX)		Yes	\$100,001 - \$250,000		None (or less than \$201)
67	EuroPacific Growth Fund - 529-A (1016) (CEUAX)		Yes	\$50,001 - \$100,000		None (or less than \$201)
68	Washington Mutual Investors Fund - 529-A (1001) (CWMAX)		Yes	\$100,001 - \$250,000		None (or less than \$201)
69	BLACKSTONE STRATEGIC ALLIANCE FD II LP	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
70	U.S. Bank Account #13 (cash)		N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
71	U.S. Bank Account #10 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
72	U.S. Bank Account #11 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
73	INVESCO S&P 500 LOW VOLATILITY (SPLV)	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
74	ISHARES CORE S&P MIDCAP (IJH)		Yes	\$1,001 - \$15,000		None (or less than \$201)
75	ISHARES MSCI EAFE ETF (EFA)		Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
76	ISHARES MSCI ACWI (ACWI)		Yes	\$1,001 - \$15,000		None (or less than \$201)
77	ISHARES CORE MSCI EMERGING (IEMG)		Yes	\$1,001 - \$15,000		None (or less than \$201)
78	VANGUARD VALUE ETF (VTI)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
79	VANGUARD GROWTH ETF (VUG)		Yes	\$50,001 - \$100,000		\$201 - \$1,000
80	EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISM)		Yes	\$1,001 - \$15,000		\$15,001 - \$50,000
81	OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVY)		Yes	\$15,001 - \$50,000		None (or less than \$201)
82	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TOSX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
83	THORNBURG INTL VALUE FUND I (TGVIX)		Yes	\$15,001 - \$50,000		None (or less than \$201)
84	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
85	ISHARES 20+ YEAR TREAS BOND ETF (TLT)		Yes	\$1,001 - \$15,000		None (or less than \$201)
86	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
87	PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)		Yes	\$1,001 - \$15,000		None (or less than \$201)
88	VANGUARD TOTAL BOND MKT ETF (BND)		Yes	\$1,001 - \$15,000		None (or less than \$201)
89	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
90	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
91	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX)		Yes	\$1,001 - \$15,000		None (or less than \$201)
92	FIRST EAGLE GLOBAL FUNDS CLASS I	See Endnote	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
93	U.S. Bank Account #12 (cash)		N/A	\$1,001 - \$15,000		None (or less than \$201)
94	INVESCO S&P 500 LOW VOLATILITY ETF (SPLV)		Yes	\$1,001 - \$15,000		None (or less than \$201)
95	ISHARES CORE S&P MIDCAP		Yes	\$1,001 - \$15,000		None (or less than \$201)
96	ISHARES MSCI EAFE ETF (EFA)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
97	ISHARES MSCI ACWI ETF (ACWI)		Yes	\$1,001 - \$15,000		None (or less than \$201)
98	VANGUARD VALUE ETF (VTV)		Yes	\$1,001 - \$15,000		\$201 - \$1,000
99	VANGUARD GROWTH ETF (VUG)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
100	EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)		Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
101	OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)		Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
102	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
103	THORNBURG INTL VALUE FUND I (TGVIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
104	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
105	ISHARES 20+ YEAR TREAS BOND ETF (TLT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
106	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
107	PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE TRADED FD (MINT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
108	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
109	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
110	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
111	PIMCO TOTAL RETURN FUND CLASS I2	See Endnote	Yes	None (or less than \$1,001)	\$201 - \$1,000
112	UBS RMA Government Money Market Fund (RMGXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
113	INVESCO S&P 500 LOW VOLATILITY ETF (SPLV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
114	ISHARES MSCI ACWI ETF (ACWI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
115	ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
116	VANGUARD VALUE ETF (VTV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
117	VANGUARD GROWTH ETF (VUG)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
118	VANGUARD FTSE DEVELOPED MKT ETF (VEA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
119	EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
120	OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
121	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROSX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
122	THORNBURG INTL VALUE FUND I (TGVIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
123	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
124	ISHARES 20+ YEAR TREAS BOND ETF (TLT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
125	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
126	PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
127	VANGUARD TOTAL BOND MKT ETF (BND)	Yes	\$1,001 - \$15,000		None (or less than \$201)
128	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
129	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
130	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
131	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
132	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
133	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
134	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
135	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
136	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
137	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
138	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
139	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
140	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
141	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$15,001 - \$50,000
142	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
143	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
144	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
145	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$2,501 - \$5,000
146	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
147	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	None (or less than \$201)
148	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
149	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
150	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
151	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
152	Treasury Note	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
153	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
154	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000
155	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
156	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	None (or less than \$201)
157	Treasury Note	N/A	\$1,000,001 - \$5,000,000	Interest	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
158	ISHARES RUSSELL 1000 ETF (IWB)		Yes	\$5,000,001 - \$25,000,000		\$50,001 - \$100,000
159	INVESCO QQQ TR UNIT SER 1 (QQQ)	See Endnote	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
160	JANUS ENTERPRISE FUND CL I (JMGR X)		Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
161	WELLS FARGO SPECIAL SMALL CAP VALUE (ESPNX)		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
162	KUSHNER MIDWEST PARTNERS 1, LLC (Residential Real Estate in Middletown, Akron & Bedford Heights, OH)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
163	KUSHNER MIDWEST PARTNERS 1, LLC (Commercial Real Estate in Brooklyn, NY)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
164	KC DUMBO NEWCO, LLC (Commercial Real Estate, Brooklyn, NY)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
165	KC DUMBO NEWCO, LLC (Commercial Real Estate, 175 Pearl Street, Brooklyn, NY)	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
166	QUAIL RIDGE, LLC (Residential Real Estate in Plainsboro, NJ)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
167	K MARYLAND ASSOCIATES, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)	See Endnote	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
168	RP MD, LLC (Residential Real Estate in Baltimore & Prince George's County, MD)	See Endnote	N/A	\$500,001 - \$1,000,000	Rent or Royalties	\$100,001 - \$1,000,000
169	502 6G LLC (Residential Real Estate in New York, NY)		N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	JANUS ENTERPRISE FUND CL I		Purchase	10/22/18	\$500,001 - \$1,000,000
2	WELLS FARGO SPECIAL SMALL CAP VALUE FUND INSTL CL		Purchase	10/22/18	\$250,001 - \$500,000
3	INVESCO QQQ TR UNIT SER 1		Purchase	10/23/18	\$500,001 - \$1,000,000
4	ISHARES RUSSELL 1000 ETF		Purchase	10/23/18	\$1,000,001 - \$5,000,000
5	KCOF-WEST 24TH ST, LLC (Loan Receivable from Siras Development; New York, NY)	See Endnote	Purchase	03/14/18	\$250,001 - \$500,000
6	438 East 12th 3M, LLC (Residential Real Estate in Brooklyn, NY)	See Endnote	Purchase	03/21/18	\$500,001 - \$1,000,000
7	438 East 12th 5P, LLC (Residential Real Estate in Brooklyn, NY)	See Endnote	Purchase	03/21/18	\$500,001 - \$1,000,000
8	438 East 12th 6P, LLC (Residential Real Estate in Brooklyn, NY)	See Endnote	Purchase	03/21/18	\$500,001 - \$1,000,000
9	PV BUNGALOW, LLC (Hotel in Long Branch, NJ)	See Endnote	Purchase	05/11/18	\$1,000,001 - \$5,000,000
10	PV BUNGALOW LIQUOR LICENSE, LLC (Liquor License of a hotel in Long Branch, NJ)	See Endnote	Purchase	06/08/18	\$15,001 - \$50,000
11	PV MOTEL, LLC (Undeveloped Real Estate in Long Branch, NJ)	See Endnote	Purchase	08/10/18	\$500,001 - \$1,000,000
12	184 KENT OWNER, LLC (74 Condo units in Brooklyn, NY)	See Endnote	Sale	Various dates	\$250,001 - \$500,000
13	175-225 THIRD OWNER, LLC (Undeveloped Real Estate in Brooklyn, NY)	See Endnote	Sale	04/18/18	\$500,001 - \$1,000,000
14	Ishares 7-10 Year Treas Bond ETF		Purchase	11/09/18	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
15	Ishares 7-10 Year Treas Bond ETF	Sale	12/18/18	\$100,001 - \$250,000
16	Vanguard FTSE Emerging Markets ETF	Purchase	01/19/18	\$50,001 - \$100,000
17	Vanguard FTSE Emerging Markets ETF	Sale	06/25/18	\$50,001 - \$100,000
18	ISHARES MSCI EAFE ETF (EFA)	Purchase	Various dates	\$15,001 - \$50,000
19	ISHARES MSCI EAFE ETF (EFA)	Sale	12/18/18	\$1,001 - \$15,000
20	ISHARES MSCI ACWI ETF (ACWI)	Sale	Various dates	\$100,001 - \$250,000
21	ISHARES MSCI ACWI ETF (ACWI)	Purchase	11/09/18	\$100,001 - \$250,000
22	ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	Purchase	12/18/18	\$100,001 - \$250,000
23	VANGUARD GROWTH ETF (VUG)	Purchase	10/29/18	\$15,001 - \$50,000
24	EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)	Purchase	12/05/18	\$15,001 - \$50,000
25	OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)	Purchase	Various dates	\$15,001 - \$50,000
26	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROSX)	Purchase	Various dates	\$500,001 - \$1,000,000
27	THORNBURG INTL VALUE FUND I (TGVIX)	Sale	Various dates	\$500,001 - \$1,000,000
28	THORNBURG INTL VALUE FUND I (TGVIX)	Purchase	Various dates	\$100,001 - \$250,000
29	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)	Purchase	12/18/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
30	ISHARES 20+ YEARS TREAS BOND ETF (TLT)	Purchase	12/18/18	\$100,001 - \$250,000
31	ISHARES NATL MUNI BOND ETF (MUB)	Sale	Various dates	\$500,001 - \$1,000,000
32	ISHARES NATL MUNI BOND ETF (MUB)	Purchase	Various dates	\$250,001 - \$500,000
33	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Purchase	Various dates	\$250,001 - \$500,000
34	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Sale	07/26/18	\$100,001 - \$250,000
35	PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)	Sale	02/26/18	\$100,001 - \$250,000
36	PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)	Purchase	Various dates	\$100,001 - \$250,000
37	VANGUARD TOTAL BOND MKT ETF (BND)	Sale	Various dates	\$100,001 - \$250,000
38	VANGUARD TOTAL BOND MKT ETF (BND)	Purchase	10/03/18	\$1,001 - \$15,000
39	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)	Purchase	08/24/18	\$15,001 - \$50,000
40	LORD ABBETT HIGH YIELD FUND CLASS F (LHYFX)	Purchase	Various dates	\$15,001 - \$50,000
41	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)	Purchase	Various dates	\$100,001 - \$250,000
42	TEMPLETON GLBAL BOND ADV (TGBAX)	Purchase	Various dates	\$15,001 - \$50,000
43	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX)	Purchase	Various dates	\$100,001 - \$250,000
44	FIRST EAGLE GLOBAL FUNDS CLASS I	Sale	Various dates	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
45	ISHARES NATL MUNI BOND ETF	Purchase	01/02/18	\$1,001 - \$15,000
46	ISHARES NATL MUNI BOND ETF	Sale	11/09/18	\$1,001 - \$15,000
47	ISHARES 7-10 YEAR TREAS BOND ETF	Purchase	06/26/18	\$1,001 - \$15,000
48	ISHARES 7-10 YEAR TREAS BOND ETF	Sale	12/18/18	\$1,001 - \$15,000
49	PRINCIPAL SMALL-MIDCAP DIVIDEND INC FUND CLASS INST	Sale	12/12/18	\$1,001 - \$15,000
50	VANGUARD FTSE EMERGING MARKETS ETF	Purchase	01/19/18	\$1,001 - \$15,000
51	VANGUARD FTSE EMERGING MARKETS ETF	Sale	06/25/18	\$1,001 - \$15,000
52	INVESCO S&P 500 LOW VOLATILITY (SPLV)	Purchase	01/02/18	\$15,001 - \$50,000
53	ISHARES CORE S&P MIDCAP (IJH)	Purchase	12/12/18	\$1,001 - \$15,000
54	ISHARES MSCI EAFE ETF (EFA)	Sale	01/02/18	\$15,001 - \$50,000
55	ISHARES MSCI ACWI (ACWI)	Purchase	Various dates	\$1,001 - \$15,000
56	ISHARES MSCI ACWI (ACWI)	Sale	Various dates	\$1,001 - \$15,000
57	ISHARES CORE MSCI EMERGING (IEMG)	Purchase	12/18/18	\$1,001 - \$15,000
58	VANGUARD VALUE ETF (VTV)	Sale	01/02/18	\$1,001 - \$15,000
59	VANGUARD GROWTH ETF (VUG)	Purchase	01/02/18	\$1,001 - \$15,000
60	EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)	Sale	01/02/18	\$15,001 - \$50,000
61	OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)	Purchase	01/02/18	\$1,001 - \$15,000
62	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROX)	Purchase	08/06/18	\$15,001 - \$50,000
63	THORNBURG INTL VALUE FUND I (TGVIX)	Purchase	01/02/18	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
64	THORNBURG INTL VALUE FUND I (TGVIX)	Sale	08/06/18	\$15,001 - \$50,000
65	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)	Purchase	01/02/18	\$1,001 - \$15,000
66	ISHARES 20+ YEAR TREAS BOND ETF (TLT)	Purchase	12/18/18	\$1,001 - \$15,000
67	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Purchase	Various dates	\$1,001 - \$15,000
68	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Sale	06/26/18	\$1,001 - \$15,000
69	PIMCO TOTAL RETURN FUND CLASS 12	Sale	01/02/18	\$50,001 - \$100,000
70	PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)	Purchase	Various dates	\$1,001 - \$15,000
71	VANGUARD TOTAL BOND MKT ETF (BND)	Purchase	Various dates	\$15,001 - \$50,000
72	VANGUARD TOTAL BOND MKT ETF (BND)	Sale	Various dates	\$1,001 - \$15,000
73	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)	Purchase	01/02/18	\$1,001 - \$15,000
74	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)	Purchase	01/02/18	\$1,001 - \$15,000
75	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX)	Purchase	Various dates	\$1,001 - \$15,000
76	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX)	Sale	11/09/18	\$1,001 - \$15,000
77	FIRST EAGLE GLOBAL FUNDS CLASS I	Sale	01/02/18	\$1,001 - \$15,000
78	ISAHRES 7-10 YEAR TREAS BOND ETF	Purchase	06/26/18	\$1,001 - \$15,000
79	ISHARES 7-10 YEAR TREAS BOND ETF	Sale	12/18/18	\$1,001 - \$15,000
80	PIMCO TOTAL RETURN FUND CLASS I2	Sale	01/02/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
81	PRINCIPAL SMALL MIDCAP DIVIDEND INC FUND CLASS INST	Sale	Various dates	\$1,001 - \$15,000
82	INVESCO S&P 500 LOW VOLATILITY ETF (SPLV)	Purchase	01/02/18	\$1,001 - \$15,000
83	ISHARES CORE S&P MIDCAP	Purchase	12/12/18	\$1,001 - \$15,000
84	ISHARES MSCI EAFE ETF (EFA)	Sale	01/02/18	\$1,001 - \$15,000
85	ISHARES MSCI ACWI ETF (ACWI)	Purchase	11/09/18	\$1,001 - \$15,000
86	ISHARES MSCI ACWI ETF (ACWI)	Sale	01/02/18	\$1,001 - \$15,000
87	VANGUARD GROWTH ETF (VUG)	Purchase	01/02/18	\$1,001 - \$15,000
88	EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)	Sale	01/02/18	\$1,001 - \$15,000
89	OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)	Purchase	01/02/18	\$1,001 - \$15,000
90	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROSX)	Purchase	08/06/18	\$1,001 - \$15,000
91	THORNBURG INTL VALUE FUND I (TGVIX)	Purchase	01/02/18	\$1,001 - \$15,000
92	THORNBURG INTL VALUE FUND I (TGVIX)	Sale	08/06/18	\$1,001 - \$15,000
93	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)	Purchase	02/18/18	\$1,001 - \$15,000
94	ISHARES 20+ YEAR TREAS BOND ETF (TLT)	Purchase	12/18/18	\$1,001 - \$15,000
95	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Purchase	Various dates	\$1,001 - \$15,000
96	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Sale	06/26/18	\$1,001 - \$15,000
97	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)	Purchase	01/02/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
98	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)	Purchase	01/02/18	\$1,001 - \$15,000
99	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX)	Purchase	01/02/18	\$1,001 - \$15,000
100	FIRST EAGLE GLOBAL FUNDS CLASS I	Sale	01/02/18	\$100,001 - \$250,000
101	ISHARES MSCI EAFE ETF	Purchase	01/02/18	\$1,001 - \$15,000
102	ISHARES MSCI EAFE ETF	Sale	12/12/18	\$15,001 - \$50,000
103	ISHARES NATL MUNI BOND ETF	Purchase	01/02/18	\$1,001 - \$15,000
104	ISHARES NATL MUNI BOND ETF	Sale	11/09/18	\$1,001 - \$15,000
105	ISHARES 7-10 YEAR TREAS BOND ETF	Purchase	06/26/18	\$1,001 - \$15,000
106	ISHARES 7-10 YEAR TREAS BOND ETF	Sale	12/18/18	\$1,001 - \$15,000
107	PIMCO TOTAL RETURN FUND CLASS I2	Sale	01/02/18	\$50,001 - \$100,000
108	INVESCO S&P 500 LOW VOLATILITY ETF (SPLV)	Purchase	01/02/18	\$15,001 - \$50,000
109	ISHARES MSCI ACWI ETF (ACWI)	Purchase	Various dates	\$15,001 - \$50,000
110	ISHARES MSCI ACWI ETF (ACWI)	Sale	Various dates	\$1,001 - \$15,000
111	ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	Purchase	12/18/18	\$1,001 - \$15,000
112	VANGUARD VALUE ETF (VTV)	Purchase	01/02/18	\$1,001 - \$15,000
113	VANGUARD GROWTH ETF (VUG)	Purchase	01/02/18	\$15,001 - \$50,000
114	VANGUARD FTSE DEVELOPED MKT ETF (VEA)	Purchase	Various dates	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
115	VANGUARD FTSE DEVELOPED MKT ETF (VEA)	Sale	06/25/18	\$1,001 - \$15,000
116	EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)	Purchase	12/05/18	\$1,001 - \$15,000
117	EATON VANCE ATLANTA CAP SMID CAP FUND CLASS I (EISMX)	Sale	01/02/18	\$15,001 - \$50,000
118	OPPENHEIMER DEVELOPING MARKETS CLASS Y (ODVYX)	Purchase	01/02/18	\$15,001 - \$50,000
119	T. ROWE PRICE OVERSEAS STOCK FUND CLASS NL (TROX)	Purchase	08/06/18	\$15,001 - \$50,000
120	THORNBURG INTL VALUE FUND I (TGVIX)	Purchase	01/02/18	\$15,001 - \$50,000
121	THORNBURG INTL VALUE FUND I (TGVIX)	Sale	08/06/18	\$15,001 - \$50,000
122	VIRTUS KAR SMALL-CAP CORE FUND CLASS I (PKSFX)	Purchase	01/02/18	\$15,001 - \$50,000
123	ISHARES 20+ YEAR TREAS BOND ETF (TLT)	Purchase	12/18/18	\$1,001 - \$15,000
124	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Purchase	Various dates	\$1,001 - \$15,000
125	ISHARES JP MORGAN USD EMERGING MARKETS BOND ETF (EMB)	Sale	06/26/18	\$1,001 - \$15,000
126	PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)	Purchase	Various dates	\$1,001 - \$15,000
127	VANGUARD TOTAL BOND MKT ETF (BND)	Purchase	Various dates	\$15,001 - \$50,000
128	VANGUARD TOTAL BOND MKT ETF (BND)	Sale	Various dates	\$15,001 - \$50,000
129	ASHMORE EMERGING MARKETS TOTAL RETURN FUND CLASS I (EMKIX)	Purchase	01/02/18	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
130	LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS F (LISFX)	Purchase	01/02/18	\$1,001 - \$15,000
131	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX)	Purchase	01/02/18	\$1,001 - \$15,000
132	WELLS FARGO INTERMEDIATE TAX/AMTFREE FUND CLASS INST (WITIX)	Sale	11/09/18	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Deutsche Bank	See Endnote	Unsecured line of credit (paid off and closed)	\$5,000,001 - \$25,000,000	2015	LIBOR+2.5%	revolving
2	IDB Bank	See Endnote	Unsecured line of credit (paid off and closed)	\$5,000,001 - \$25,000,000	2016	PRIME +1% (4.5%F)	revolving
3	New York Community Bank	See Endnote	Unsecured line of credit	\$5,000,001 - \$25,000,000	2016	PRIME (3.25% F)	revolving
4	Citi Group	See Endnote	Unsecured line of credit	\$1,000,001 - \$5,000,000	2015	LIBOR+2.9%	revolving
5	Signature Bank	See Endnote	Unsecured line of credit	\$5,000,001 - \$25,000,000	2016	PRIME+0.5% (4%F)	revolving
6	Millington Bank		Unsecured line of credit	\$1,000,001 - \$5,000,000	2016	PRIME (3.5%F)	revolving
7	Bank of America	See Endnote	Note Payable	\$5,000,001 - \$25,000,000	2017	LIBOR +3.25%	10/31/22

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1	This income figure includes \$125,000 in distributions and \$1,375,000 in dividends.
5.	1	Trust was formed on March 9, 2017. On July 24, 2018, filer's spouse announced her intention to close her fashion brands businesses, and instructed her trustees to begin the process of ceasing all operations.
5.	1.1	This entity holds the following intellectual property: (1) name- and initial-related trademarks registered in the United States, Canada, Mexico, UAE, EU, New Zealand, Saudi Arabia, Australia, Azerbaijan, Bahrain, Brazil, China, Indonesia, Israel, India, Japan, Kuwait, Panama, Philippines, Puerto Rico, Qatar, Turkey, Russia, Nigeria, Hong Kong, Korea, Taiwan; (2) "Women Who Work"-related trademarks registered in the United States; (3) copyrights related to Ivanka Trump branded jewelry registered in the United States; and (4) name- and initial-related copyrights registered in China and Scotland.
5.	2	A portion of this entity's interest in Trump Old Post Office LLC is held via a third entity, Trump Old Post Office Member Corp, as follows: 3.1 Trump Old Post Office Member Corp. 3.1.1 Trump Old Post Office LLC 3.2 Trump Old Post Office LLC
5.	3	Filer's spouse previously received fees through these businesses that varied based on the performance of the business, but now will receive fixed payments that have been cross-guaranteed.
5.	4	Filer's spouse previously received fees through these businesses that varied based on the performance of the business, but will now receive fixed payments that have been cross-guaranteed. Filer received a portion of the fixed payments that had been cross-guaranteed.
5.	5	Filer's spouse previously received fees through these businesses that varied based on the performance of the business, but now will receive fixed payments that have been cross-guaranteed.
5.	6	The book was released May 2, 2017.

PART	#	ENDNOTE
5.	6.1	Filer's spouse has donated advance payments received after she began government service to the Ivanka M. Trump Charitable Fund, a donor-advised fund that makes grants to organizations that empower and educate women and girls. She also has donated all royalties received from Women Who Work, in excess of her advance, to the Fund.
5.	11	Filer's spouse attempted to divest her interest in residuals for television appearances, but was unable to do so. These proceeds were donated to charity.
5.	12	Filer's spouse attempted to divest her interest in residuals for television appearances, but was unable to do so. These proceeds were donated to charity.
6.	1.1	The structure of this entity is as follows: 1.1.1 ELMWOOD CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL) 1.1.1.1 ELMWOOD CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL) 1.1.1.1.1 ELMWOOD CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)
6.	1.2	The structure of this entity is as follows: 1.2.1 LANDINGS CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL) 1.2.1.1 LANDINGS CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL) 1.2.1.1.1 LANDINGS CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)
6.	1.3	The structure of this entity is as follows: 1.3.1 OAKWOOD CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL) 1.3.1.1 OAKWOOD CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL) 1.3.1.1.1 OAKWOOD CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)
6.	1.4	The structure of this entity is as follows: 1.4.1 WALLKILL CHICAGO HOLDING, LLC (Commercial Real Estate in Chicago, IL) 1.4.1.1 WALLKILL CHICAGO MEMBER, LLC (Commercial Real Estate in Chicago, IL) 1.4.1.1.1 WALLKILL CHICAGO ASSOCIATES, LLC (Commercial Real Estate in Chicago, IL)
6.	1.5	This entity was previously named 321-44 Associates, LLC. The structure of this entity is as follows: 1.5.1 EAST 9TH MANAGER, LLC (Residential Real Estate in New York, NY) 1.5.1.1 KUSHNER VILLAGE 329 EAST 9TH, LLC (Residential Real Estate in New York, NY) 1.5.2 KUSHNER VILLAGE 3 SPE, LLC (Residential Real Estate in New York, NY) 1.5.2.1 KUSHNER VILLAGE 329 EAST 9TH, LLC (Residential Real Estate in New York, NY) 1.5.2.2 KUSHNER VILLAGE 325 EAST 10TH, LLC (Residential Real Estate in New York, NY) 1.5.3 KUSHNER VILLAGE 4 SPE, LLC (Residential Real Estate in New York, NY) 1.5.3.1 315 EAST 10TH STREET, LLC (Residential Real Estate in New York, NY) 1.5.3.2 118-120 EAST FOURTH OWNER, LLC (Residential Real Estate in New York, NY) 1.5.3.3 195 EAST FOURTH OWNER, LLC (Residential Real Estate in New York, NY) 1.5.3.4 199-203 EAST FOURTH OWNER, LLC (Residential Real Estate in New York, NY)

PART	#	ENDNOTE
6.	1.6	<p>This entity was previously named New Puck, LP.</p> <p>The structure of this entity is as follows: 1.6.1 NEW PUCK INVESTORS, LLC (Commercial Real Estate in New York, NY) 1.6.1.1 NEW PUCK, LLC (Commercial Real Estate in New York, NY)</p>
6.	2.1	See item 1.1 for entity structure.
6.	2.2	See item 1.2 for entity structure.
6.	2.3	See item 1.3 for entity structure.
6.	2.4	See item 1.4 for entity structure.
6.	2.5	This entity was previously named 321-44 Associates, LLC. See item 1.5 for entity structure.
6.	2.6	<p>This entity was previously named Kushner Village Member, LLC.</p> <p>The structure of this entity is as follows: 2.6.1 VILLAGE JV SPE LLC (Residential Real Estate in New York, NY) 2.6.1.1 120 MACDOUGAL STREET REALTY LLC (Residential Real Estate in New York, NY) 2.6.1.2 267 EAST 10TH STREET REALTY LLC (Residential Real Estate in New York, NY) 2.6.1.3 435 EAST 9TH STREET REALTY LLC (Residential Real Estate in New York, NY) 2.6.1.4 156 SULLIVAN STREET REALTY LLC (Residential Real Estate in New York, NY) 2.6.1.5 318 EAST 11TH STREET REALTY LLC (Residential Real Estate in New York, NY) 2.6.1.6 54 BARROW STREET ASSOCIATES LLC (Residential Real Estate in New York, NY) 2.6.1.7 99 EAST 7TH STREET REALTY LLC (Residential Real Estate in New York, NY) 2.6.1.8 318 EAST 6TH STREET REALTY LLC (Residential Real Estate in New York, NY)</p>
6.	2.7	<p>This entity was previously named Kushner Village 2 Member LLC.</p> <p>The structure of this entity is as follows: 2.7.1 VILLAGE 2 JV SPE LLC (Residential Real Estate in New York, NY) 2.7.1.1 VILLAGE JV 129 FIRST AVENUE LLC (Residential Real Estate in New York, NY) 2.7.1.2 VILLAGE JV 143 FIRST AVENUE LLC (Residential Real Estate in New York, NY) 2.7.1.3 VILLAGE JV 165 AVENUE A LLC (Residential Real Estate in New York, NY) 2.7.1.4 VILLAGE JV 191-193 AVENUE A LLC (Residential Real Estate in New York, NY) 2.7.1.5 VILLAGE JV 201 EAST 2ND STREET LLC (Residential Real Estate in New York, NY) 2.7.1.6 VILLAGE JV 211 AVENUE A LLC (Residential Real Estate in New York, NY) 2.7.1.7 VILLAGE JV 338 EAST 11TH LLC (Residential Real Estate in New York, NY) 2.7.1.8 VILLAGE JV 435 12TH LLC (Residential Real Estate in New York, NY) 2.7.1.9 VILLAGE JV 500 EAST 11TH LLC (Residential Real Estate in New York, NY)</p>

PART	#	ENDNOTE
6.	2.8	<p>This asset has been divested. Capital gain amount is estimated.</p> <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 2.8.1 Northern Liberties JV, LLC (Residential Real Estate in Philadelphia, PA) 2.8.1.1 Northern Liberties GP, LLC (Residential Real Estate in Philadelphia, PA) 2.8.1.1.1 Liberties Walk Associates, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.2 Piazza, LLC (Residential Real Estate in Philadelphia, PA) 2.8.1.1.3 Piazza Partners I, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.4 1050 North Hancock Partners, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.5 1010 North Hancock Partners, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.6 109 Wildey Partners, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.7 1011 North Hancock Partners, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.8 1022 Associates, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.9 LW Townhome Partners, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.10 George Street Partners, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.11 LW Partners III, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.12 1031 Germantown Partners, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.13 145 W. Wildey Associates, LP (Residential Real Estate in Philadelphia, PA) 2.8.1.1.14 137 W. Allen Street Park Associates, LP (Residential Real Estate in Philadelphia, PA)
6.	2.10	<p>This entity was previously named New Puck, LP. See item 1.6 for entity structure.</p>
6.	2.11	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 2.11.1 BROOKLYN KF NORTH 1 ASSOCIATES, LLC (Residential Real Estate in Brooklyn, NY)* 2.11.2 PUCK LEONIA LLC (Residential Real Estate in Leonia, NJ). <p>* This entity was previously named 50 North One, LLC.</p>
6.	3.2	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 3.2.1 95 BAUER DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ) 3.2.2 19-05 NEVINS ROAD ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ) 3.2.3 15-00 POLLITT DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ) 3.2.4 17-01 POLLITT DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ) 3.2.5 19-00 POLLITT DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ) 3.2.6 40 POTASH ROAD ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ) 3.2.7 5 THORNTON ROAD ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ) 3.2.8 128 BAUER DRIVE ASSOCIATES, LLC (Industrial Real Estate in Fairlawn & Oakland, NJ)

PART	#	ENDNOTE
6.	3.10	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 3.10.1 SKYLINE JV PARTNERSHIP, L.P. (Residential Real Estate in Hasbrouck Heights & Lodi, NJ) 3.10.1.1 SKYLINE GP, LLC (Residential Real Estate in Hasbrouck Heights & Lodi, NJ) 3.10.1.1.1 SKYLINE PROPERTY OWNER, LP (Residential Real Estate in Hasbrouck Heights & Lodi, NJ) 3.10.1.2 SKYLINE PROPERTY OWNER, LP (Residential Real Estate in Hasbrouck Heights & Lodi, NJ) 3.10.1.3 BOULEVARD GP, LLC (Residential Real Estate in Hasbrouck Heights & Lodi, NJ) 3.10.1.3.1 BOULEVARD PROPERTY OWNER, LP (Residential Real Estate in Hasbrouck Heights & Lodi, NJ) 3.10.1.4 BOULEVARD PROPERTY OWNER, LP (Residential Real Estate in Hasbrouck Heights & Lodi, NJ)
6.	3.11	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 3.11.1 Landings Building 136B LLC (Residential Real Estate in Perth Amboy, NJ) 3.11.2 Landings Building 138 LLC (Undeveloped Assets in Perth Amboy, NJ) 3.11.3 Landings Building 140B LLC (Undeveloped Assets in Perth Amboy, NJ) 3.11.4 Landings Building 236 LLC (Undeveloped Assets in Perth Amboy, NJ)
6.	3.12	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 3.12.1 THIRTY VREELAND ASSOCIATES, LLC (Commercial Real Estate in Florham Park, NJ)
6.	3.13	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 3.13.1 55 CHALLENGER, LLC (Commercial Real Estate in Ridgefield Park, NJ)
6.	3.14	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 3.14.1 ENGLEWOOD VILLAGE, LLC (Residential Real Estate in Englewood, NJ)
6.	3.16	<p>This entity was previously named 75 Montgomery Associates, LLC. The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 3.16.1 125 ALGONQUIN ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ) 3.16.2 145 ALGONQUIN ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ) 3.16.3 156 ALGONQUIN ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ) 3.16.4 30 LESLIE ASSOCIATES, LLC (Industrial Real Estate in Whippany, NJ) 3.16.5 416 ROUTE 10 ASSOCIATES, LLC (Commercial Real Estate in Hanover, NJ)
6.	4.1	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.1.1 KCLW ACQUISITION MANAGER LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1 KCLW ACQUISITION LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1.1 RP/K KENT AVENUE, LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1.1.1 MARATHON/JTR WILLIAMSBURG HOLDINGS, LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1.1.1.1 184 KENT FEE, LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1.1.1.1.1 184 KENT FEE, LLC (Residential Real Estate in Brooklyn, NY) 4.1.1.1.1.1.1.1.1 184 KENT OWNER, LLC (Residential Real Estate in Brooklyn, NY)

PART	#	ENDNOTE
6.	4.2	<p>The structure of this entity is as follows: 4.2.1 CHATHAM HILL HOLDINGS, LLC (Residential Real Estate in Chatham, NJ) 4.2.1.1 CHATHAM PARENT, LLC (Residential Real Estate in Chatham, NJ) 4.2.1.1.1 CHATHAM HOLDER, LLC (Residential Real Estate in Chatham, NJ)*</p> <p>* This entity was previously named Home Properties Chatham Hill, LLC.</p>
6.	4.3	See item 1.1 for entity structure.
6.	4.4	See item 1.2 for entity structure.
6.	4.5	<p>The structure of this entity is as follows: 4.5.1 80 WEST END PARTNERS, LLC (Residential Real Estate in Long Branch, NJ) 4.5.1.1 PIER VILLAGE I URBAN RENEWAL COMPANY, LLC (Residential and Commercial Real Estate in Long Branch, NJ) 4.5.1.2 PIER VILLAGE II URBAN RENEWAL COMPANY, LLC (Residential Real Estate in Long Branch, NJ)</p>
6.	4.6	<p>The structure of this entity is as follows: 4.6.1 KCLW 3RD STREET, LLC (Undeveloped Real Estate in Brooklyn, NY) 4.6.1.1 175-225 THIRD JV, LLC (Undeveloped Real Estate in Brooklyn, NY) 4.6.1.1.1 175-225 THIRD OWNER, LLC (Undeveloped Real Estate in Brooklyn, NY)*</p> <p>*This asset has been divested. Capital gain amount is estimated.</p>
6.	4.7	<p>The structure of this entity is as follows: 4.7.1 VILLAGE KF 12-13 ASSOCIATES, LLC (Residential Real Estate in New York, NY)* 4.7.1.1 VILLAGE KF 516 EAST 13TH, LLC (Residential Real Estate in New York, NY) 4.7.1.2 VILLAGE KF 504 EAST 12TH, LLC (Residential Real Estate in New York, NY)</p> <p>* This entity was previously named Village KF 12th Associates, LLC.</p>
6.	4.8	<p>The structure of this entity is as follows: 4.8.1 K2 26JS MEMBER, LLC (Commercial Real Estate in Jersey City, NJ) 4.8.1.1 K2W2 26JS HOLDINGS, LLC (Commercial Real Estate in Jersey City, NJ) 4.8.1.1.1 26 JOURNAL MASTER TENANT, LLC (Commercial Real Estate in Jersey City, NJ) 4.8.1.1.2 26 JOURNAL SQUARE MEMBER, LLC (Commercial Real Estate in Jersey City, NJ) 4.8.1.1.2.1 26 JOURNAL SQUARE OWNER, LLC (Commercial Real Estate in Jersey City, NJ) 4.8.2 K2 26JS PARTNER, LLC (Commercial Real Estate in Jersey City, NJ) 4.8.2.1 K2W2 26JS HOLDINGS, LLC (Commercial Real Estate in Jersey City, NJ) 4.8.2.1.1 26 JOURNAL MASTER TENANT, LLC (Commercial Real Estate in Jersey City, NJ) 4.8.2.1.2 26 JOURNAL SQUARE MEMBER, LLC (Commercial Real Estate in Jersey City, NJ) 4.8.2.1.2.1 26 JOURNAL SQUARE OWNER, LLC (Commercial Real Estate in Jersey City, NJ)</p>

PART	#	ENDNOTE
6.	4.9	The structure of this entity is as follows: 4.9.1 30 JOURNAL SQUARE PARTNERS, LLC (Undeveloped Real Estate in Jersey City, NJ)
6.	4.10	The structure of this entity is as follows: 4.10.1 KC PROSPECT JV, LLC (Residential Real Estate in Hackensack, NJ) 4.10.1.1 PROSPECT HACKENSACK JV, LLC (Residential Real Estate in Hackensack, NJ) 4.10.1.1.1 GSG RESIDENTIAL PROSPECT TOWERS, LLC (Residential Real Estate in Hackensack, NJ)
6.	4.11	This entity was previously named Village UK Kushner Member, LLC. The structure of this entity is as follows: 4.11.1 EV JV PARTNERSHIP, L.P. (Residential Real Estate in New York, NY) 4.11.1.1 EV AVENUE A PROPERTY OWNER, L.P. (Residential Real Estate in New York, NY) 4.11.1.2 EV 1ST AVENUE PROPERTY OWNER, L.P. (Residential Real Estate in New York, NY)
6.	4.12	The structure of this entity is as follows: 4.12.1 VILLAGE KF 2 ASSOCIATES, LLC (Residential Real Estate in New York, NY)
6.	4.13	This entity was previously named 321-44 Associates LLC. See item 1.5 for entity structure.
6.	4.14	This entity was previously named GAIA JC, LLC. The structure of this entity is as follows: 4.14.1 K MORGAN STREET, LLC (Residential Real Estate in Jersey City, NJ) 4.14.1.1 MORGAN STREET DEVELOPERS JOINT VENTURE, LLC (Residential Real Estate in Jersey City, NJ) 4.14.1.1.1 MORGAN STREET INVESTORS RETAIL UNIT 3, LLC (Residential Real Estate in Jersey City, NJ) 4.14.1.1.2 MORGAN STREET DEVELOPERS MEZZ ASSOCIATES, LLC (Residential Real Estate in Jersey City, NJ) 4.14.1.1.2.1 MORGAN STREET DEVELOPERS URBAN RENEWAL COMPANY, LLC (Residential Real Estate in Jersey City, NJ)
6.	4.16	This entity had an ownership interest in a limited liability company which owned an option to purchase commercial real property in Brooklyn, NY. On 8/29/17, the entity sold its ownership interests in the limited liability company and received a promissory note from the buyer upon sale. All promissory note obligations have been paid in full.

PART	#	ENDNOTE
6.	4.17	<p>The structure of this entity is as follows:</p> <p>4.17.1 MARYLAND APARTMENT HOLDINGS 10-PACK JV, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>4.17.1.1 MARYLAND APARTMENT HOLDINGS PE 10-PACK JV, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)</p> <p>4.17.1.1.1 RP COVE VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.1.1 RP COVE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2 SRH/LA BALTIMORE PROPERTIES, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1 SRH/LA BALTIMORE MEZZANINE, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1 WHITEMARSH I, II, IV MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1.1 COMMONS AT WHITEMARSH I, II, V, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1.1.1 SRH/LA WHITEMARSH I, II, V APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.1.2 COMMONS AT WHITEMARSH I, II, V, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.2.1 SRH/LA WHITEMARSH I, II, V APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.3 WHITEMARSH III MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.3.1 COMMONS AT WHITEMARSH III, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.3.1.1 SRH/LA WHITEMARSH III APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.4 COMMONS AT WHITEMARSH III, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.4.1 SRH/LA WHITEMARSH III APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.5 WHITEMARSH IVA MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.5.1 COMMONS AT WHITEMARSH IVA, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.5.1.1 SRH/LA WHITEMARSH IVA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.6 COMMONS AT WHITEMARSH IVA, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.6.1 SRH/LA WHITEMARSH IVA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.7 WHITEMARSH IVB MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.7.1 COMMONS AT WHITEMARSH IVB, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.7.1.1 SRH/LA WHITEMARSH IVB APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.8 COMMONS AT WHITEMARSH IVB, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.8.1 SRH/LA WHITEMARSH IVB APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.9 HARBOR POINT I, II, IV MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.9.1 HARBOR POINT ESTATES I, II, IV, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.2.1.9.1.1 SRH/LA HARBOR POINT I, II, IV APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p>

Baltimore County, MD)
Baltimore County, MD)
4.17.1.1.2.1.10 HARBOR POINT ESTATES I, II, IV, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.2.1.10.1 SRH/LA HARBOR POINT I, II, IV APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3 SRH/LA CHESAPEAKE APARTMENTS LLC (Residential Real Estate in Baltimore Baltimore and Prince George's County, MD)
4.17.1.1.3.1 SRH/LA CHESAPEAKE MEZZANINE, LLC (Residential Real Estate in Baltimore and Prince George's County, MD)
4.17.1.1.3.1.1 DUTCH VILLAGE MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.1.1 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.1.1.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.2 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.2.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.3 FONTANA MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.3.1 FONTANA, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.3.1.1 SRH/LA FONTANA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.4 FONTANA, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.4.1 SRH/LA FONTANA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.5 HAMILTON MANOR MANAGER, INC. (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.5.1 HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.5.1.1 SRH/LA HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.6 HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.6.1 SRH/LA HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.7 HIGHLAND #179 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.7.1 HIGHLAND #179, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.7.1.1 SRH/LA HIGHLAND #179 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.8 HIGHLAND #179, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.8.1 SRH/LA HIGHLAND #179 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.9 HIGHLAND #241 GP, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.1 DUTCH VILLAGE MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.1.1 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.1.1.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.2 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)

4.17.1.1.3.1.2 DUTCH VILLAGE, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.2.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.2.1 SRH/LA DUTCH VILLAGE APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.3 FONTANA MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.3.1 FONTANA, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.3.1.1 SRH/LA FONTANA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.4 FONTANA, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.4.1 SRH/LA FONTANA APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.5 HAMILTON MANOR MANAGER, INC. (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.5.1 HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.5.1.1 SRH/LA HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.6 HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.6.1 SRH/LA HAMILTON MANOR APARTMENTS, LLC (Residential Real Estate in Prince George's County, MD)
4.17.1.1.3.1.7 HIGHLAND #179 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.7.1 HIGHLAND #179, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.7.1.1 SRH/LA HIGHLAND #179 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.8 HIGHLAND #179, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.8.1 SRH/LA HIGHLAND #179 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.9 HIGHLAND #241 GP, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.9.1 HIGHLAND #241, LLLP (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.9.1.1 SRH/LA HIGHLAND #241 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.10 HIGHLAND #241, LLLP (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.10.1 SRH/LA HIGHLAND #241 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.11 HIGHLAND #689 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.11.1 HIGHLAND #689, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.11.1.1 SRH/LA HIGHLAND #689 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.12 HIGHLAND #689, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.12.1 SRH/LA HIGHLAND #689 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.13 PLEASANTVIEW MANAGER, INC. (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.13.1 PLEASANTVIEW, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.13.1.1 PLEASANTVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)
4.17.1.1.3.1.14 PLEASANTVIEW, LLC (Residential Real Estate in Baltimore County, MD)

PART	#	ENDNOTE
		<p>4.17.1.1.3.1.14 PLEASANTVIEW, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.14.1 PLEASANTVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.15 RIVERVIEW MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.15 RIVERVIEW MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.15.1 RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.15.1.1 SRH/LA RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.16 RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.16.1 SRH/LA RIVERVIEW APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.17 WHISPERING WOODS #250 MANAGER, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.17.1 WHISPERING WOODS #250, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.17.1.1 SRH/LA WHISPERING WOODS #250 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.18 WHISPERING WOODS #250, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.18.1 SRH/LA WHISPERING WOODS #250 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.19 WHISPERING WOODS #299 GP, INC. (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.19.1 WHISPERING WOODS #299 LIMITED PARTNERSHIP (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.19.1.1 WHISPERING WOODS #299 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.20 WHISPERING WOODS #299 LIMITED PARTNERSHIP (Residential Real Estate in Baltimore County, MD)</p> <p>4.17.1.1.3.1.20.1 WHISPERING WOODS #299 APARTMENTS, LLC (Residential Real Estate in Baltimore County, MD)</p>
6.	4.18	<p>The structure of this entity is as follows:</p> <p>4.18.1 AK MEMBER, LLC (Residential Real Estate in Middle River, MD)</p> <p>4.18.1.1 MIDDLE RIVER JV, LLC (Residential Real Estate in Middle River, MD)</p> <p>4.18.1.1.1 CARROLL PARK HOLDINGS, LLC (Residential Real Estate in Middle River, MD)</p> <p>4.18.1.1.2 MORNINGSIDE PARK HOLDINGS, LLC (Residential Real Estate in Middle River, MD)</p> <p>4.18.1.1.3 ESSEX PARK HOLDINGS, LLC (Residential Real Estate in Middle River, MD)</p>

PART	#	ENDNOTE
6.	4.19	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.19.1 KF WESTWOOD PARTNER, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.2 K/S WESTWOOD GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1 K/S WESTWOOD PARTNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.2 WESTWOOD JV GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1 WESTWOOD INVESTORS JV, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.1 STANFORD GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.1.1 WESTWOOD CENTER STANFORD PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.1.2 WESTWOOD CENTER STANFORD PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.3 RC VILLAGE GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.3.1 RIVERVALE COLLIGON VILLAGE PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.4 RIVERVALE COLLIGON VILLAGE PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.5 COVENTRY GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.5.1 WESTWOOD CHARLES CONVENTRY PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.6 WESTWOOD CHARLES CONVENTRY PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.7 MADISON GP, LLC (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.7.1 WESTWOOD MADISON PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ) 4.19.1.1.1.8 WESTWOOD MADISON PROPERTY OWNER, L.P. (Residential Real Estate in Westwood & River Vale, NJ)
6.	4.20	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.20.1 K-BLS PORTFOLIO, LLC (Residential Real Estate in Brooklyn, NY) 4.20.1.1 BLS ASSOCIATES, LLC (Residential Real Estate in Brooklyn, NY) 4.20.1.1.1 BLS PORTFOLIO, LLC (Residential Real Estate in Brooklyn, NY) 4.20.1.1.1.1 38 MONROE PLACE, LLC (Residential Real Estate in Brooklyn, NY) 4.20.1.1.1.2 100 PIERREPONT STREET, LLC (Residential Real Estate in Brooklyn, NY) 4.20.1.1.2.3 18 SYDNEY PLACE, LLC (Residential Real Estate in Brooklyn, NY) 4.20.1.1.2.4 89 HICKS STREET, LLC (Residential Real Estate in Brooklyn, NY) 4.20.1.1.2.5 144 WILLOW STREET, LLC (Residential Real Estate in Brooklyn, NY)* <p>* This asset has been divested.</p>
6.	4.21	<p>This entity was previously named New Puck, LP. See item 1.6 for entity structure.</p>
6.	4.22	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.22.1 ST LEONIA, LLC (Residential Real Estate in Leonia, NJ)

PART	#	ENDNOTE
6.	4.23	<p>The structure of this entity is as follows:</p> <p>4.23.1 ELMWOOD NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.1.1 ELMWOOD NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.1.1.1 ELMWOOD NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.1.1.1.1 ELMWOOD NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.2 OAKWOOD NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.2.1 OAKWOOD NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.2.1.1 OAKWOOD NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.2.1.1.1 OAKWOOD NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.3 WALLKILL NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.3.1 WALLKILL NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.3.1.1 WALLKILL NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.3.1.1.1 WALLKILL NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.4 LANDINGS NYT PRINCIPAL, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.4.1 LANDINGS NYT HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.4.1.1 LANDINGS NYT MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.23.4.1.1.1 LANDINGS NYT OWNER, LLC (Commercial Real Estate in New York, NY)</p>
6.	4.24	<p>The structure of this entity is as follows:</p> <p>4.24.1 WARREN AT BAY HOLDINGS, LLC (Commercial Real Estate in Jersey City, NJ)</p> <p>4.24.1.1 WARREN AT BAY URBAN RENEWAL COMPANY, LLC (Commercial Real Estate in Jersey City, NJ)*</p> <p>* This entity was previously named Warren at Bay, LLC.</p>
6.	4.25	<p>This asset has been divested. Capital gain amount is estimated.</p> <p>The structure of this entity is as follows:</p> <p>4.25.1 KRL COLUMBIA HEIGHTS BROOKLYN, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>4.25.1.1 25-30 COLUMBIA HEIGHTS (BROOKLYN) VENTURE, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>4.25.1.1.1 25-30 COLUMBIA HEIGHTS (Brooklyn), LLC (Commercial Real Estate in Brooklyn, NY)</p>
6.	4.26	<p>The structure of this entity is as follows:</p> <p>4.26.1 S.S.D.W. CO., L.P. (Residential Real Estate in New York, NY)</p> <p>4.26.1.1 115 EAST 87 OWNERS CORPORATION (Residential Real Estate in New York, NY)</p>
6.	4.27	<p>The structure of this entity is as follows:</p> <p>4.27.1 80 MAID, LLC (Commercial Real Estate in New York, NY)</p> <p>4.27.1.1 NK 80 MAIDEN HOLDINGS, LLC (Commercial Real Estate in New York, NY)</p> <p>4.27.1.1.1 NK 80 MAIDEN MEZZ, LLC (Commercial Real Estate in New York, NY)</p> <p>4.27.1.1.1.1 NK 80 MAIDEN OWNER, LLC (Commercial Real Estate in New York, NY)</p>

PART	#	ENDNOTE
6.	4.28	The structure of this entity is as follows: 4.28.1. PIER VILLAGE III HOTEL URBAN RENEWAL COMPANY, LLC (Hotel Under Construction in Long Branch, NJ)
6.	4.29	The structure of this entity is as follows: 4.29.1 BLOCK 110 RESIDENTIAL, LLC (Residential Real Estate in Kansas City, MO)* 4.29.2 BLOCK 140 RESIDENTIAL, LLC (Residential Real Estate in Kansas City, MO) *This asset has been divested. Due to an accounting error, this asset was previously reported as valued at \$50,001-\$100,000. However, this asset was partially divested for Block 110 Residential LLC in 2017 and should have been previously reported in Part 6 as valued at \$1,001-\$15,000 with an income from capital gains at \$15,001-\$50,000, and reported in Part 7 as a sale on 2/23/17 of \$50,001-\$100,000.
6.	4.30	The structure of this entity is as follows: 4.30.1 STONE PORTFOLIO JV LLC (Residential Real Estate in New York, NY) 4.30.1.1 STONE PORTFOLIO LLC (Residential Real Estate in New York, NY) 4.30.1.1.1 KC3 - 101 MACDOUGAL STREET LLC (Residential Real Estate in New York, NY) 4.30.1.1.2 KC3 - 104 EAST 7TH STREET LLC (Residential Real Estate in New York, NY) 4.30.1.1.3 KC3 - 233 EAST 82ND STREET LLC (Residential Real Estate in New York, NY) 4.30.1.1.4 KC3 - 234-238 EAST 33RD STREET LLC(Residential Real Estate in New York, NY) 4.30.1.1.5 KC3 - 310 EAST 83RD STREET LLC (Residential Real Estate in New York, NY) 4.30.1.1.6 KC3 - 319-321 EAST 78TH STREET LLC (Residential Real Estate in New York, NY) 4.30.1.1.7 KC3 - 325 EAST 83RD STREET LLC (Residential Real Estate in New York, NY) 4.30.1.1.8 KC3 - 410 EAST 64TH STREET LLC (Residential Real Estate in New York, NY) 4.30.1.1.9 KC3 - 438-440 EAST 13TH STREET LLC (Residential Real Estate in New York, NY) 4.30.1.1.10 KC3 - 504 EAST 88TH STREET LLC (Residential Real Estate in New York, NY) 4.30.1.1.11 KC3 - 354-356 STATE STREET LLC (Residential Real Estate in New York, NY)
6.	4.31	The structure of this entity is as follows: 4.31.1 PIER VILLAGE LOFTS JV, LLC (Commercial Real Estate Under Construction in Long Branch, NJ)* 4.31.1.1 PIER VILLAGE III URBAN RENEWAL COMPANY, LLC (Commercial Real Estate Under Construction in Long Branch, NJ) *Previously listed as PIER VILLAGE III JV, LLC.
6.	4.33	This asset represents a loan receivable that has been paid in full.
6.	4.34	This asset was previously listed as OBSERVER CAPITAL SM SPV, LLC (Shares of Corp. Stock in Source Media Holdings Inc.;New York, NY), which has been dissolved. The filer's ownership in Source Media Holdings Inc. has been restructured to own preferred interests through OBSERVER CAPITAL SM FUND, LP.

PART	#	ENDNOTE
6.	4.35	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.35.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1 RFR/K DUMBO, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.1 WATCHTOWER PROPERTY MANAGER LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.2 RFR/K DUMBO MT, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.3 RFR/K DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.3.1 RFR/K DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.3.1.1 RFR/K 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.3.1.2 RFR/K 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.3.1.3 RFR/K 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.35.1.1.3.1.4 RFR/K 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)
6.	4.36	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.36.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY) 4.36.1.1 KRL 175 PEARL, LLC (Commercial Real Estate in Brooklyn, NY) 4.36.1.1.1 MAPLE 3P KRL 175 PEARL HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 4.36.1.1.1.1 MAPLE 3P KRL 175 PEARL MEZZ, LLC (Commercial Real Estate in Brooklyn, NY) 4.36.1.1.1.1.1 MAPLE 3P KRL 175 PEARL OWNER, LLC (Commercial Real Estate in Brooklyn, NY)
6.	4.37	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.37.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1 RFR/K DUMBO, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.1 WATCHTOWER PROPERTY MANAGER LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.2 RFR/K DUMBO MT, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.3 RFR/K DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.3.1 RFR/K DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.3.1.1 RFR/K 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.3.1.2 RFR/K 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.3.1.3 RFR/K 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY) 4.37.1.1.3.1.4 RFR/K 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)
6.	4.38	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 4.38.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY) 4.38.1.1 KRL 175 PEARL, LLC (Commercial Real Estate in Brooklyn, NY) 4.38.1.1.1 MAPLE 3P KRL 175 PEARL HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY) 4.38.1.1.1.1 MAPLE 3P KRL 175 PEARL MEZZ, LLC (Commercial Real Estate in Brooklyn, NY) 4.38.1.1.1.1.1 MAPLE 3P KRL 175 PEARL OWNER, LLC (Commercial Real Estate in Brooklyn, NY)

PART	#	ENDNOTE
6.	4.40	The structure of this entity is as follows: 4.40.1 KCLW ACQUISITION, LLC (Residential Real Estate in Brooklyn, NY) 4.40.1.1 RP/K KENT AVENUE, LLC (Residential Real Estate in Brooklyn, NY) 4.40.1.1.1 MARATHON/JTR WILLIAMSBURG HOLDINGS, LLC (Residential Real Estate in Brooklyn, NY) 4.40.1.1.1.1 184 KENT FEE, LLC (Residential Real Estate in Brooklyn, NY) 4.40.1.1.1.1.1 184 KENT MEZZ, LLC (Residential Real Estate in Brooklyn, NY) 4.40.1.1.1.1.1.1 184 KENT OWNER, LLC (Residential Real Estate in Brooklyn, NY)
6.	4.41	The structure of this entity is as follows: 4.41.1 PV BUNGALOW LIQUOR LICENSE, LLC (Liquor License of a hotel in Long Branch, NJ)
6.	8	See item 3.13 for entity structure.
6.	10	See item 4.2 for entity structure.
6.	11	See item 4.5 for entity structure.
6.	12	The structure of this entity is as follows: 12.1 K 30 JOURNAL SQUARE, LLC (Undeveloped Real Estate in Jersey City, NJ) 12.1.1 30 JOURNAL SQUARE PARTNERS, LLC (Undeveloped Real Estate in Jersey City, NJ)
6.	13	The structure of this entity is as follows: 13.1 KUSHNER MARYLAND PARTNERS, LLC (Residential Real Estate in Baltimore and Prince George's County, MD) 13.1.1 KUSHNER MARYLAND INVESTMENT FUND, LLC (Residential Real Estate in Baltimore and Prince George's County, MD) 13.1.1.1 MARYLAND APARTMENT HOLDINGS 10-PACK INVESTOR, LLC (Residential Real Estate in Baltimore and Prince George's County, MD) 13.1.1.1.1 MARYLAND APARTMENT HOLDINGS 10-PACK JV, LLC (Residential Real Estate in Baltimore and Prince George's County, MD) See item 4.17 for the structure of Maryland Apartment Holdings 10-Pack JV, LLC.
6.	14	See item 4.17 for entity structure.
6.	15	The structure of this entity is as follows: 15.1 PUCK BUILDING, LP (Commercial Real Estate in New York, NY) 15.1.1 NEW PUCK INVESTORS, LLC (Commercial Real Estate in New York, NY) 15.1.1.1 NEW PUCK, LLC (Commercial Real Estate in New York, NY)
6.	16	See item 4.23 for entity structure.
6.	18	The conflicting assets of this interest have been divested.

PART	#	ENDNOTE
6.	18.2	<p>This entity holds contingent rights to ownership interests in the following entities if specified revenue targets are met:</p> <p>KC Dumbo Office, LLC (Commercial Real Estate in Brooklyn, NY) 80 ML, LLC (Commercial Real Estate in New York, NY) 25-30 Columbia Heights (Brooklyn) Venture, LLC (Real Estate Under Construction in Brooklyn, NY)* Warren At Bay Associates, LLC (Commercial Real Estate in Jersey City, NJ) K/S Westwood Partner, LP (Residential Real Estate in Westwood and River Vale, NJ) Middle River JV, LLC (Residential Real Estate in Middle River, MD) Chatham Hill Holdings, LLC (Residential Real Estate in Chatham, NJ) Puck Residential Associates, LLC (Residential Condominiums in New York, NY) K GAIA Village 2 Associates, LLC (Residential Real Estate in New York, NY) EV JV GP, LLC (Residential Real Estate in New York, NY) Northern Liberties JV, LLC (Residential Real Estate in Philadelphia, PA)* Brooklyn KF North 1 Associates, LLC (Residential Real Estate in Brooklyn, NY) Village KF 2 Associates, LLC (Residential Real Estate in New York, NY) BLS Portfolio, LLC (Residential Real Estate in Brooklyn, NY) Stone Portfolio, LLC (Residential Real Estate in New York, NY) K Morgan Street, LLC (Residential Real Estate in Jersey City, NJ) K 26 Journal Square, LLC (Commercial Real Estate in Jersey City, NJ) K 30 Journal Square, LLC (Commercial Real Estate in Jersey City, NJ) 175-225 Third JV, LLC (Undeveloped Real Estate in Brooklyn, NY)* 184 Kent Owner, LLC (Residential Real Estate in Brooklyn, NY) Pier Village III Urban Renewal Company, LLC (Commercial Real Estate Under Construction in Long Branch, NJ) KCOF-215 Moore, LLC (Loan Receivable from Heritage Equities; Brooklyn, NY)* 55 Challenger Road Associates LLC (Commercial Real Estate in Ridgefield Park, NJ) Kushner Skyline Promote LLC (Residential Real Estate in Hasbrouck Heights & Lodi, NJ) K GAIA Village 1 Associates, LLC (Residential Real Estate in New York, NY) K Maryland Associates, LLC (Residential Real Estate in Baltimore and Prince George's County)</p> <p>* This asset has been divested.</p>
6.	18.3	See item 4.11 for entity structure.

PART	#	ENDNOTE
6.	18.4	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 18.4.1 VILLAGE 2 JV SPE, LLC (Residential Real Estate in New York, NY) 18.4.1.1 VILLAGE JV 129 FIRST AVENUE, LLC (Residential Real Estate in New York, NY) 18.4.1.2 VILLAGE JV 143 FIRST AVENUE, LLC (Residential Real Estate in New York, NY) 18.4.1.3 VILLAGE JV 165 AVENUE A, LLC (Residential Real Estate in New York, NY) 18.4.1.4 VILLAGE JV 191-193 AVENUE A, LLC (Residential Real Estate in New York, NY) 18.4.1.5 VILLAGE JV 201 EAST 2ND STREET, LLC (Residential Real Estate in New York, NY) 18.4.1.6 VILLAGE JV 211 AVENUE A, LLC (Residential Real Estate in New York, NY) 18.4.1.7 VILLAGE JV 338 EAST 11TH, LLC (Residential Real Estate in New York, NY) 18.4.1.8 VILLAGE JV 435 12TH, LLC (Residential Real Estate in New York, NY) 18.4.1.9 VILLAGE JV 500 EAST 11TH, LLC (Residential Real Estate in New York, NY)
6.	18.5	<p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 18.5.1 65 BAY, LLC (Residential Real Estate in Jersey City, NJ) 18.5.1.1 K MORGAN STREET, LLC (Residential Real Estate in Jersey City, NJ) 18.5.1.1.1 MORGAN STREET DEVELOPERS JOINT VENTURE, LLC (Residential Real Estate in Jersey City, NJ) 18.5.1.1.1.1 MORGAN STREET INVESTORS RETAIL UNIT 3, LLC 18.5.1.1.1.2 MORGAN STREET DEVELOPERS MEZZ ASSOCIATES, LLC (Residential Real Estate in Jersey City, NJ) 18.5.1.1.1.2.1 MORGAN STREET DEVELOPERS URBAN RENEWAL COMPANY, LLC (Residential Real Estate in Jersey City, NJ)
6.	18.6	<p>Capital gain amount is estimated.</p> <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 18.6.1 GOWAN ASSOCIATES, LLC (Undeveloped Real Estate in Brooklyn, NY) 18.6.1.1 KCLW 3RD STREET, LLC (Undeveloped Real Estate in Brooklyn, NY) 18.6.1.1.1 175-225 THIRD JV, LLC (Undeveloped Real Estate in Brooklyn, NY) 18.6.1.1.1.1 175-225 THIRD OWNER, LLC (Undeveloped Real Estate in Brooklyn, NY)* <p>*This asset has been divested.</p>
6.	18.7	<p>This asset has been divested. Capital gain amount is estimated.</p> <p>The structure of this entity is as follows:</p> <ul style="list-style-type: none"> 18.7.1 WT 25 COLUMBIA, LLC (Commercial Real Estate in Brooklyn, NY) 18.7.1.1 KRL COLUMBIA HEIGHTS BROOKLYN, LLC (Commercial Real Estate in Brooklyn, NY) 18.7.1.1.1 25-30 COLUMBIA HEIGHTS (BROOKLYN) VENTURE, LLC (Commercial Real Estate in Brooklyn, NY) 18.7.1.1.1.1 25-30 COLUMBIA HEIGHTS (BROOKLYN), LLC (Commercial Real Estate in Brooklyn, NY)
6.	19	See item 4.18 for entity structure.

PART	#	ENDNOTE
6.	20	See item 4.19 for entity structure.
6.	21	<p>The structure of this entity is as follows:</p> <p>21.1 PUCK MIDWEST PARTNERS 1, LLC (Residential Real Estate in Middletown, Akron & Bedford Heights, OH)</p> <p>21.1.1 HEARTLAND MULTIFAMILY JV, LLC (Residential Real Estate in Middletown, Akron & Bedford Heights, OH)</p> <p>21.1.1.1 CEDARWOOD VILLAGE APARTMENTS I & II OWNER, LLC (Residential Real Estate in Akron, OH)</p> <p>21.1.1.2 CEDARWOOD VILLAGE APARTMENTS III OWNER, LLC (Residential Real Estate in Akron, OH)</p> <p>21.1.1.3 CEDARWOOD RIVERBEND OWNER, LLC (Residential Real Estate in Akron, OH)</p> <p>21.1.1.4 BAVARIAN WOODS OWNER, LLC (Residential Real Estate in Middletown, OH)</p> <p>21.1.1.5 COLUMBUS PARK PROPERTY OWNER, LLC (Residential Real Estate in Bedford, OH)</p>
6.	22	<p>The structure of this entity is as follows:</p> <p>22.1 PUCK MIDWEST PARTNERS 1, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1 HEARTLAND MULTIFAMILY JV, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1 KC DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1.1 KC DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1.1.1 KC 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1.1.2 KC 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1.1.3 KC 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>22.1.1.1.1.4 KC 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p>
6.	23	<p>The structure of this entity is as follows:</p> <p>23.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1 RFR/K DUMBO, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.1 WATCHTOWER PROPERTY MANAGER LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.2 RFR/K DUMBO MT, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.3 RFR/K DUMBO TIC, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.3.1 RFR/K DUMBO TIC HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.5.1.1 RFR/K 117 ADAMS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.5.1.2 RFR/K 77 SANDS OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.5.1.3 RFR/K 55 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>23.1.1.5.1.4 RFR/K 81 PROSPECT OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p>
6.	24	<p>The structure of this entity is as follows:</p> <p>24.1 KC DUMBO HOLDING, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>24.1.1 KRL 175 PEARL, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>24.1.1.1 MAPLE 3P KRL 175 PEARL HOLDINGS, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>24.1.1.1.1 MAPLE 3P KRL 175 PEARL MEZZ, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>24.1.1.1.1.1 MAPLE 3P KRL 175 PEARL OWNER, LLC (Commercial Real Estate in Brooklyn, NY)</p> <p>Due to an accounting error, this asset was not included on last year's Annual Report, but should have been reported with a value of \$50,001-\$100,000 and an income of None (or less than \$201).</p>

PART	#	ENDNOTE
6.	25	The structure of this entity is as follows: 25.1 QUAIL RIDGE ACQUISITIONS, LLC (Residential Real Estate in Plainsboro, NJ)
6.	28	Previously disclosed U.S. money market account #2 has been closed.
6.	38	This account was opened in 2018.
6.	40	This asset was previously called Powershares S&P 500 Low Volatility Portfolio ETF (SPLV), and was listed in the prior year disclosure by this name.
6.	61	This asset has been divested.
6.	62	This asset has been divested.
6.	69	This asset is in the divestment process. Filer's spouse and her family lack control over, and knowledge of, the underlying assets held by the portfolio funds that the fund has invested in.
6.	73	This asset was previously called Powershares S&P 500 Low Volatility Portfolio ETF (SPLV).
6.	92	This asset has been divested.
6.	111	This asset has been divested. This asset used to be called Pimco Total Return Fund Class P (PTTPX), and was listed by this name in the prior year disclosure.
6.	159	This asset used to be called POWERSHARES QQQ TR UNIT SER 1 (QQQ) and was listed as such in the prior year disclosures.
6.	162	See item 21 for entity structure.
6.	163	See item 22 for entity structure.
6.	164	See item 4.37 for entity structure.
6.	165	See item 4.38 for entity structure.
6.	166	See item 25 for entity structure.
6.	167	See item 4.17 for entity structure.
6.	168	See item 4.17 for entity structure.
7.	5	This transaction was made by a trust of which the filer is a beneficiary.
7.	6	This transaction was made by a trust of which the filer's children are beneficiaries.

PART	#	ENDNOTE
7.	7	This transaction was made by a trust of which the filer's children are beneficiaries.
7.	8	This transaction was made by a trust of which the filer's children are beneficiaries.
7.	9	This transaction was made by a trust of which the filer is a beneficiary.
7.	10	The transaction was made by an entity in which the filer has an indirect, passive interest; the reported amount is based upon the filer's interest in the entity making the purchase. For information regarding the underlying assets of this entity, please refer to the endnote to Part 6, Line 4.41.
7.	11	This transaction was made by a trust of which the filer is a beneficiary.
7.	12	The transaction was made by an entity in which the filer has an indirect, passive interest; the reported amount is based upon the filer's interest in the entity making the sale. For information regarding the underlying assets of this entity, please refer to the endnote to Part 6, Line 4.1 and 4.40.
7.	13	The transaction was made by an entity in which the filer has an indirect, passive interest; the reported amount is based upon the filer's interest in the entity making the sale. For information regarding the underlying assets of this entity, please refer to the endnote to Part 6, Line 4.6.
8.	1	Filer holds this line of credit jointly with his mother. This line of credit was paid off and closed in 2019.
8.	2	Filer holds this line of credit jointly with his father. This line of credit was paid off and closed in 2018.
8.	3	Filer holds this line of credit jointly with his father.
8.	4	This line of credit was amended in 2018 so that it is no longer jointly held with filer's mother.
8.	5	Filer holds this line of credit jointly with his father.
8.	7	Filer holds this note jointly with certain members of Quail Ridge, LLC.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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